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1 **The relative controls on forest fires and fuel source fluctuations in the Holocene**
2 **deciduous forests of southern Wisconsin, USA**

3
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6
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11
12 **Abstract**

13 Reconstructing fire regimes and fuel characteristics is an important aspect of
14 understanding past forest ecosystem processes. Fuel sources and fire regimes in the
15 upper Midwestern United States have been shown to be sensitive to regional climatic
16 variability such as drought periods on millennial timescales. Yet, records documenting
17 the connections between disturbance activity and the corresponding fuel source
18 fluctuations in mesic deciduous forests and prairie/oak savanna in this region are limited.
19 Thus, it has been difficult to provide a framework to evaluate changes in moisture
20 availability on fire activity and the relationships with fuel source fluctuations in this
21 region. We present high-resolution charcoal analyses of lake sediments from four sites in
22 southeastern-southcentral Wisconsin (USA) to characterize fire activity and fuel source
23 fluctuation in mesic deciduous forests and prairie/oak savanna over the last 10,000 years.
24 We found that fire occurrence across the four study sites has been asynchronous
25 throughout the Holocene, because of site-specific differences that have strongly
26 influenced local fire regimes. Additionally, we found that during periods of high fire
27 activity the primary fuels were from arboreal sources, and during periods of low fire
28 activity the primary fuels were from non-arboreal sources. However, fluctuations in fuel
29 sources did not always correspond to changes in vegetation, or changes in fire frequency.

30
31 **Keywords: Fire, Vegetation, Fuels, Moisture, Midwest**

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35

36 **Introduction**

37 Wildfires are a common and widespread phenomenon that are the result of the
38 interactions between climate (e.g. precipitation and temperature), vegetation (e.g. fuel
39 availability and fuel condition) and ignition (lightning or human) (Whitlock and Larsen
40 2001). Teasing apart the effects of these variables on fire regimes can be challenging
41 because of variations in the strength of each component over time and space. In addition,
42 how combinations of factors contribute to periods of extreme fire conditions, such as the
43 recent fires in the western United States (Marlon *et al.*, 2012) or Alaskan tundra (Hu *et*
44 *al.*, 2010; Mack *et al.*, 2011) is not well understood. Knowledge of these complex
45 interactions and more specifically how fire regimes are altered as vegetation changed, can
46 provide greater insight into possible responses to future climate changes.

47

48 Moisture availability has direct effects on fire regimes over multiple timescales ranging
49 from years to millennia (Renkin and Despain, 1992). Possible mechanisms for the
50 influence of moisture on fire regime include: i) controlling the incidence of ignitions, ii)
51 determining the likelihood that fires will spread, and iii) changing vegetation type and
52 productivity, and hence the available fuel load (Booth *et al.*, 2006; Podur and Wotton,
53 2010; Power *et al.*, 2008). Moisture level in fuels is the major factor that determines how
54 readily and how much of the fuel will burn. Thus, moisture availability influences fuel
55 availability. However, there is a complex threshold that exists between very high and
56 very low levels of moisture, such that changes in moisture availability may have
57 unexpected and unpredictable effects on fire regimes depending on the initial climate
58 conditions and further feedbacks with vegetation type (Staver *et al.*, 2011). Increasing
59 moisture availability (i.e. increasing mean annual precipitation, MAP) has been shown to
60 increase fire frequency because of increases in primary productivity, biomass, and fuel
61 load (Krawchuk *et al.*, 2009). This mechanism of increased moisture providing increased
62 fuel availability may also be operating on millennial timescales, at least in grassland
63 systems (Grimm *et al.*, 2011). However, in wetter climates, increased moisture has been
64 shown to decrease fire frequency due to lower ignitions and less-flammable fuel
65 conditions (Krawchuk *et al.*, 2009). Distinguishing these two opposite scenarios within a

66 paleoecological record would be valuable when trying to understand the complex
67 dynamics of past fire regimes.

68

69 The climate driven historical movements of vegetation boundaries throughout the upper
70 Midwest have had effects on the fuels for regional fires over millennial timescales (Clark
71 *et al.*, 2001). The prairie/forest boundary is a well-documented vegetation boundary
72 located in the upper Midwestern U.S., which is characterized by an east-west moisture
73 gradient that has fluctuated over time (Baker *et al.*, 1992; McAndrews, 1964; Webb,
74 1987). The driver for the longitudinal movement of the prairie/forest boundary, and the
75 changes in vegetation from prairie/savanna to mesic deciduous forest, have been
76 attributed to shifts in air mass distribution over time (Bartlein *et al.*, 1984; Nelson and
77 Hu, 2008). However, fire has also been suggested as a factor on vegetation change
78 (Umbanhowar *et al.*, 2011). A previous study in this region noted that mesic deciduous
79 forest composition was not influenced by changes in fire regimes (Long *et al.* 2011).
80 However, it is unknown if this response was consistent across the prairie/forest boundary.
81 In addition, millennial-scale fire histories from prairie/oak savanna ecosystems are sparse
82 in North America (Marlon *et al.* 2009) and baseline information is needed to aid in
83 evaluating the impact of future climate conditions on these ecosystems (Long *et al.*
84 2011).

85

86 To assess the relative roles of climate and fire in the long-term vegetation composition of
87 upper Midwest, fire and vegetation histories were examined from an east-west transect
88 that included two sites in the moist deciduous forests of eastern Wisconsin (Long *et al.*
89 2011) and two new sites in the drier prairie/oak savanna of central Wisconsin. We
90 hypothesize the following: 1) Long-term regional changes in moisture affected the two
91 sets of sites differently, with the wet locations exhibiting more fire activity as moisture
92 availability decreased and the dry locations showing less response in fire activity with
93 increasing and decreasing moisture conditions, 2) Wet and dry sites showed an increase
94 in arboreal fuel sources as fire activity increased, and 3) Vegetation composition at all
95 sites was dynamic but was not the main factor in limiting fire activity.

96

97 **Site Description**

98 The four study sites were located in southern Wisconsin: Butler Lake, Lake Seven,
99 Comstock Lake and Lake George (Fig. 1). Butler Lake and Lake Seven are located in the
100 eastern mesic deciduous forest zone, classed in this study as wet sites; and Comstock
101 Lake and Lake George are located in the western prairie/oak savanna zone, classed in this
102 study as dry sites. All sites are kettle lakes with simple bathymetry, small watersheds,
103 and no inflowing or outflowing perennial streams. Butler Lake is 3 ha in size, with a
104 maximum depth of 4 m and a watershed of 200 ha. Lake Seven, which is 5 km south of
105 Butler Lake, is 10 ha in size, with a maximum depth of 7 m, a watershed of 80 ha and is
106 surrounded by large marshlands. Both lakes lie within the moraine deposits of the Green
107 Bay Lobe in eastern Wisconsin, now the Kettle Moraine State Forest. Dominant
108 vegetation includes *Acer saccharum*, *Tilia americana*, *Quercus* spp., with some *Ulmus*
109 spp., *Carya* spp., *Fraxinus* spp., and *Betula papyrifera*. Comstock Lake is 10 ha in size,
110 with a maximum depth of 9 m and a watershed of 50 ha. Lake George, located 60 km to
111 the south of Comstock Lake, is 15 ha in size, with a maximum depth of 7 m and a
112 watershed of 60 ha. Both are located on the outwash plain of the Green Bay Lobe in
113 south central Wisconsin (Fig. 1). Dominant vegetation at these sites includes *Quercus*
114 *alba*, *Quercus macrocarpa*, *Quercus velutina*, and various prairie grasses and forbs. The
115 study-area climate can be characterized as having warm, moist summers and cool, dry
116 winters. January and July mean monthly temperatures average -10 and 21°C
117 respectively. The wet sites, Butler Lake and Lake Seven, average 600 mm of
118 precipitation annually, while the dry sites, Comstock Lake and Lake George, average
119 approximately 450 mm of precipitation with the majority of the precipitation at all sites
120 falling between April and October. The fire season in this area occurs in spring, prior to
121 summer rains arriving, as temperatures rise and snowmelt occurs (Wisconsin Department
122 of Natural Resources).

123

124 **Methods**

125

126 The Butler Lake pollen and charcoal records and the Lake Seven charcoal record used in
127 this study were reported by Long *et al.* (2011). The methods for the new records from

128 Comstock Lake and Lake George discussed below follow those of Long *et al.* (2011).
129 The analysis of charcoal morphology from Butler Lake and Lake Seven is new to this
130 study. Sediment cores were collected from the deepest part of each lake using a 5-cm
131 diameter modified piston sampler (Wright, 1967). Cores were extruded in the field,
132 wrapped in cellophane wrap and aluminum foil, and then transported back to the
133 laboratory where they were refrigerated and stored. In the laboratory, the core sections
134 were sliced lengthwise, described, and subsampled for charcoal analysis. Subsamples
135 were taken for pollen analysis from Comstock Lake. The chronology for each record was
136 based on ^{14}C dates from terrestrial macrofossils, charcoal and sediment.

137

138 *Pollen*

139 Sediment samples of 1 cm^3 were taken every 10-40 cm for pollen extraction from
140 Comstock Lake core and processed following (Faegri, 1989). Samples had a known
141 concentration of microspheres added to allow pollen percentages to be calculated and
142 pollen was identified at 400X magnification. A minimum of 300 fossil terrestrial pollen
143 grains were analyzed in each sample. The percentages of each pollen type were
144 calculated relative to the terrestrial pollen sum of the sample.

145

146 *Charcoal and fire history reconstruction*

147 Charcoal sampling methods for charcoal followed (Long *et al.*, 1998). Sediment
148 subsamples of 2–3 cc were taken at contiguous 1-cm intervals and soaked in 10%
149 solution of hydrogen peroxide for 48-72 hours. The samples were washed through 250
150 and 125 μm nested sieves. The sieved samples were examined at 25-75 \times magnification,
151 and all charcoal pieces greater than 125 μm were counted and categorized according to
152 morphology (see description below) (Jensen *et al.* 2007).

153

154 Charcoal counts for each sample were converted to concentration (pieces cm^{-2}) and,
155 using the sediment deposition rate, to charcoal accumulation rates (CHAR; pieces cm^{-2}
156 yr^{-1}) at constant time stages to minimize any variations in the record due to fluctuations
157 in the deposition rates. The CHAR records were then decomposed into background and
158 peak components using the model Char Analysis (Higuera *et al.*, 2009). Background

159 charcoal is the slowly-varying trend in CHAR as a primary result of changes in fuel
160 abundance and composition. Peaks, which are positive deviations from the background
161 CHAR (BCHAR), represent input of charcoal as a result of a fire episode (Long *et al.*,
162 1998). The BCHAR component was then determined using a LOWESS smoother robust
163 to outliers with a 500-year window width. The background values for each time interval
164 were then subtracted from the total CHAR accumulation for each interval. Peaks in the
165 charcoal record (i.e. intervals with CHAR values above background) were tested for
166 significance using a Gaussian distribution, where peak CHAR values that exceeded the
167 95th percentile are then considered statistically significant (i.e. not the result of natural
168 signal noise or analytical error). This procedure was performed on every 500-year
169 overlapping portion of the CHAR record, producing a unique threshold for each sample.
170 Once identified, all peaks were then screened to eliminate those that resulted from
171 statistically insignificant variations in CHAR (Gavin *et al.*, 2006). If the maximum count
172 in a CHAR peak had a >5% chance of coming from the same Poisson-distribution
173 population as the minimum charcoal count with the preceding 75 years, then the peak
174 was rejected (Higuera *et al.*, 2009).

175

176 *Testing for synchronicity in the fire records*

177 We also tested for synchrony in fire event occurrence between Butler Lake and Lake
178 Seven (the paired wet locations) and Comstock Lake and Lake George (the paired dry
179 locations) (Gavin *et al.*, 2006). The K1D analysis computes the multivariate Ripley K-
180 function simplified for one dimension (time steps). K1D computes the dependence
181 between two or more events at a range of time windows.

182

183 *Charcoal morphology classification*

184 Grasses, forbs, conifer wood, and leaves of many broadleaved tree taxa all produce
185 characteristically distinct charcoal pieces that are preserved in lake sediments (Jensen *et al.*
186 *et al.*, 2007). All four charcoal records had charcoal pieces identified by the morphology
187 described below. Arboreal charcoal was characterized by three morphotypes: (1) Dark
188 (opaque, thick, solid, geometric in shape, some luster, and straight edges), (2) Lattice
189 (cross-hatched forming rectangular ladder like structure, and with spaces between), and

190 (3) Branched (dendroidal, generally cylindrical with successively smaller jutting arms).
191 Non-Arboreal charcoal was characterized by two morphotypes: (1) Cellular “graminoid”
192 (thin rectangular pieces; one cell layer thick with pores and visible vessels, and cell wall
193 separations,) and (2) Fibrous (collections or bundles of thin filamentous charcoal that is
194 clumped together) (Jensen *et al.*, 2007; Tweiten *et al.*, 2009).

195

196 Charcoal pieces were grouped into non-arboreal and arboreal categories based on their
197 morphology, which allowed for characterization of fuel sources in the charcoal record.
198 This level of detail provides a more precise characterization of past fire regimes than
199 charcoal counts alone. For example, low-intensity surface fire episodes will generally
200 produce a higher abundance of grass/shrub (non-arboreal) charcoal pieces, while major
201 crown fire episodes will produce significantly more hardwood/pine (arboreal) charcoal
202 pieces (Enache and Cumming, 2009). Thus, an abundance of grass/shrub charcoal in a
203 sedimentary interval represents a period in time when non-arboreal fuels were among the
204 primary fuel sources that may represent low-intensity ground fires. Similarly, a
205 sedimentary interval with an abundance of hardwood/pine charcoal represents a period in
206 time when arboreal fuels were the primary fuel sources and the fire regime may have
207 consisted of stand-replacing crown fires.

208

209 **Results**

210 Sediment cores of 9.19 m and 8.74 m were collected from Comstock Lake and Lake
211 George respectively. The cores can be characterized as consisting of fine-detritus gyttja
212 with basal sediments of sand and silty clay. The chronology for each record was based
213 on ¹⁴C dates from terrestrial macrofossils and sediment (Table 1). All dates were
214 converted to calibrated years before present (cal a BP) based on CALIB 6.0.1 (Reimer *et*
215 *al.*, 2009). The age-depth relations for each sediment record were based on linear
216 interpolation between dates and gave a basal date of 16,600 cal a BP for Comstock Lake
217 and 12,990 cal a BP for Lake George. (Fig. 2).

218

219 The pollen percentage data from Comstock Lake, in comparison with other regional
220 vegetation reconstructions, confirms the regional vegetation transitions that occurred over

221 the last 16,000 years (Williams *et al.*, 2009). Prior to 14,700 cal a BP the Comstock Lake
222 landscape was dominated by *Picea* forests before transitioning to *Pinus/Ulmus* forests
223 and then to *Quercus* as the major arboreal taxa by 10,000 cal a BP (Fig. 3). A similar
224 transition occurred at Butler Lake and throughout eastern Wisconsin with the present-day
225 *Quercus-Ulmus-Fraxinus* forest developing around 8600 cal a BP (Long *et al.*, 2011,
226 Webb, 1987) (Fig. 3).

227

228 *Fire history reconstruction*

229 The charcoal records from each of the four sites exhibit somewhat individualistic
230 patterns. Regional climate reconstructions indicate two periods of relatively cool and
231 moist conditions: (1) 10,000 cal a BP to 8000 cal a BP, and (2) 5000 cal a BP to
232 present time. The response to the higher overall effective moisture is generally seen at the
233 two wetter sites as increased fire frequency and BCHAR influx throughout the middle
234 and late Holocene. During the early Holocene wet period, Butler Lake fire frequency and
235 BCHAR gradually increased, while Lake Seven fire frequency remained low and
236 unchanged (Fig. 4). At the onset of the increased moisture conditions during the later
237 Holocene (around 5000 cal a BP), Lake Seven BCHAR increased from .01 to .04
238 particles cm^{-2} , and fire episode frequency rose from 1 to 2 episodes per 1000a^{-1} (Fig. 4).
239 However, Butler Lake BCHAR declined from .03 to .01 particles cm^{-2} at 5000 cal a BP,
240 with fire episode frequency dropping from 2 to 1 events per 1000a^{-1} (Fig. 4). Thus, both
241 BCHAR and fire frequency from Butler Lake and Lake Seven were sensitive to
242 increasing moisture levels from 5000 cal a BP to present time, but responded
243 differently (Fig. 4).

244

245 At the two dry locations—Comstock Lake and Lake George— there is little change in
246 fire frequency and BCHAR values during the early Holocene, while peak magnitude at
247 both locations slightly decreased throughout the early Holocene (Fig. 4). At Comstock
248 Lake around 5000 cal a BP, BCHAR remains low ($\sim .015$ particles cm^{-2}) until present
249 time. However, fire frequency increased from 1 to 6 events per 1000a^{-1} at 5000 cal a BP,
250 decreased to 1 event per 1000a^{-1} at 2800 cal a BP, and then increased to 6 events per
251 1000a^{-1} near present time. A similar dramatic change in fire frequency from

252 1 to 6 events per $1000a^{-1}$ occurred at Lake George. BCHAR records, however, are
253 similarly complacent at Lake George with a slow decrease from .07 to .02 particles cm^{-2}
254 from 5000 to 3000 cal a BP (Fig. 4). Thus, these drier sites show little sensitivity
255 in BCHAR during the regional increases in effective moisture. However, Lake George
256 (5500 cal a BP) and Comstock Lake (5000 cal a BP) did show peaks in fire frequency,
257 where moisture availability most likely provided abundant fuel loads, without
258 oversaturation, for a brief period of short, intense forest fires to occur.

259

260 *Fire episode synchronicity between sites*

261 Fire episodes at the two sites with higher current precipitation as compared to paired
262 current dry sites, demonstrate little to no synchrony at any time during the Holocene,
263 despite a proximity of 5 km and overall similar vegetation type (Fig. 5). The CHAR
264 records from Butler Lake and Seven Lake show independent fire episodes during the last
265 10,000 years. CHAR records from the two dry sites Comstock Lake and Lake George
266 display synchrony at the 600-year time window (Fig 5).

267

268 *Fire and fuel*

269 All study sites showed unique fire-fuel relationships, however, some similarities are
270 observed. All locations demonstrate periods of high BCHAR values and prominent fire
271 intensity when the primary fuel sources were arboreal (ratio values nearer to 0), and
272 during periods of low BCHAR values, the primary fuel sources were non-arboreal (ratio
273 values nearer to 1.0) (Fig. 4).

274

275 Butler Lake fuel ratio values started high at 10,000 cal a BP at 1.0, indicating a high
276 proportion of non-arboreal fuels. BCHAR values were low, ranging from 0 to 1 pieces
277 cm^{-2} , and fire frequency ranged from 4 to 6 episodes per $1000a^{-1}$ (Fig. 4). Fuel ratios then
278 decreased starting at c.8800 cal a BP, eventually reaching a low value of 0.1 at c.6500 cal
279 a BP, indicating an increasing proportion of arboreal fuels, while BCHAR increased from
280 1 to 2 pieces cm^{-2} , and fire frequency remained at ~6 episodes per $1000a^{-1}$ (Fig. 4). The
281 most dramatic change in the Butler Lake record after c.7000 cal a BP is a fluctuation in
282 fire frequency between 4 and 7 episodes per $1000a^{-1}$. These significant fluctuations were

283 not accompanied by changes fuel ratios. Fuel ratios then remained high ranging from
284 ~0.3 to 0.6 from 6500 cal a BP to 1300 cal a BP, while BCHAR values increased, 1 to 4
285 pieces cm⁻² at 5500 cal a BP, then dropping to 1, and fire frequency remained at 7
286 episodes per 1000a⁻¹ at this time. Ratios continued to remain high (0.5), containing more
287 non-arboreal fuels from c.1300 cal a BP to present time, while BCHAR values declined
288 to 0.5 pieces cm⁻², and fire frequency dropped to 2 event per 1000a⁻¹ (Fig. 4).

289

290 The other wet site, Lake Seven, demonstrated a similar range of absolute fuel ratio values
291 during the Holocene, but a much different temporal pattern than Butler Lake. During the
292 early Holocene (10,000 to 6500 cal a BP) Lake Seven fuel ratio values were low and
293 gradually increased, indicating fewer non-arboreal fuels over the first 4500 years of the
294 record. The ratio of non-arboreal to arboreal charcoal morphotypes increased from a
295 value of 0.2 at 10,000 cal a BP to 0.6 at 6200 cal a BP (Fig. 4). During the early
296 Holocene, BCHAR values were low while fire frequency steadily dropped (~ 1 pieces
297 cm⁻²; ~7 to 1 episodes per 1000a⁻¹; Fig. 4). At 5200 cal a BP, fuel ratios decreased to 0.1,
298 indicating more arboreal fuels, while BCHAR increased from 0.5 to 3 pieces cm⁻², and
299 fire frequency was low at 2 episodes per 1000a⁻¹ (Fig. 4). At 3200 cal a BP, fuel ratio
300 values continued to increase, indicating more non-arboreal fuels, while BCHAR
301 increased to 4 pieces cm⁻², and fire frequency decreased to 4 episodes per 1000a⁻¹ (Fig.
302 4). At 1200 cal a BP, fuel ratios suddenly decreased and remained low, indicating more
303 arboreal fuels, while BCHAR remained at 3 pieces cm⁻², and fire frequency remained at 4
304 episodes per 1000a⁻¹ (Fig. 4).

305

306 Non-arboreal fuel sources also gradually became more dominant in the early-Holocene
307 fires at Comstock Lake. The fuel source ratios decreased from 0.6 at c.10,000 cal a BP to
308 0.1 at c.7000 cal a BP, while BCHAR values remained consistently low at 1 to 2 pieces
309 cm⁻², and fire frequency remained steady at ~5 episodes per 1000a⁻¹ (Fig. 4). For the
310 majority of the mid to late Holocene (7000 - 2000 cal a BP) fuel ratios remained low (0.1
311 to 0.2), indicating more arboreal fuels, while BCHAR values gradually increased from
312 1.5 to 2 pieces cm⁻² (Fig. 4). At this time fire frequency increased from 5 to 10 episodes
313 per 1000a⁻¹ at c.3300 cal a BP (Fig. 4). Throughout the late Holocene (2000 - 1000 cal a

314 BP) fuel ratios rapidly increased from 0.3 to 0.75, containing more non-arboreal fuels,
315 while BCHAR also increased from 2 to 3 pieces cm^{-2} , and fire frequency dropped to 5
316 episodes per 1000a^{-1} (Fig. 4). Fuel ratios then decreased to 0.3 near present time, while
317 fire frequency dropped to 3 episodes per 1000a^{-1} near present time (Fig. 4).

318

319 Lake George fuel source ratios were low throughout the early Holocene (10,000 cal a BP
320 to 4000 cal a BP) suggesting mostly arboreal fuels, before increasing after the mid
321 Holocene, suggesting non-arboreal fuels, with little change in BCHAR influx (Fig. 4). At
322 this time fire frequency shows an increase from 4 to 8 episodes per 1000a^{-1} (Fig. 4).
323 From 4000 cal a BP to 1500 cal a BP, there was a period of low fuel ratios, suggesting a
324 higher abundance of arboreal fuels, while fire frequency remained high from 8 to 10
325 episodes per 1000a^{-1} during this time (Fig. 4). Toward the late Holocene, fuel ratios then
326 increased from 0.1 to 0.6, showing a higher abundance of non-arboreal fuels, for a period
327 from 1500 cal a BP to 1000 cal a BP (Fig. 4). Arboreal fuels then decreased from 0.6 to
328 0.1 near 250 cal a BP and rose to 0.5 near present time, while fire frequency decreased
329 from 10 to 5 episodes per 1000a^{-1} near present time (Fig. 4).

330

331 **Discussion**

332

333 *Moisture availability influence on Holocene fire regimes*

334 During a warming and wet early Holocene from 10,000 cal a BP to 8000 cal a BP, the
335 retreat of the Laurentide Ice Sheet allowed moisture conditions to increase throughout the
336 upper Midwest (Webb, 1987). Opposite responses are seen in fire frequency among the
337 wet sites. This result does not provide support for our first hypothesis, that the fire
338 regimes at sites with high modern MAP (Butler Lake and Lake Seven) would have
339 responded similarly in the past to changes in moisture availability. Increases in fire
340 frequency in the early Holocene at Butler Lake are likely due to lower moisture
341 conditions directly surrounding the watershed, which would have likely promoted more
342 frequent ignition rates of fuels, and for an increase in rate of spread when fires were
343 occurring (Govender *et al.*, 2006). Decreases in fire frequency at Lake Seven could be
344 due to relatively high moisture conditions as the larger marshlands surrounding the

345 watershed, which would have increased saturation of local fuels, limiting ignition of
346 fuels, and rate of spread (Govender *et al.*, 2006). Regional moisture increase seems not
347 to have affected fire frequency at the drier locations.

348

349 Differential sensitivity to a change in moisture is also seen in the mid-Holocene dry
350 period (8000 cal a BP to 5000 cal a BP), as fire frequency at Butler Lake remained high
351 and constant, but slowly decreased at Lake Seven until an increase c.5000 cal a BP.
352 Interestingly, the delayed response at Lake Seven can be attributed to the sustained high
353 effective moisture throughout the watershed, as local marshlands maintained high water
354 levels for much of the middle Holocene, while lake levels at Butler Lake likely decreased
355 much more rapidly (Long *et al.* 2011). Increases in fire frequency and BCHAR values at
356 dry locations directly follows the decrease in moisture levels throughout the region
357 (Booth *et al.*, 2006), which would have been cause for more frequent non-stand replacing
358 fires to occur and spread.

359

360 The overall pattern is one of idiosyncratic and site-specific response that is not consistent
361 in time. It has been suggested that fire regime activity increases and decreases directly in
362 response to climatic controls, such as changing temperatures on a global scale (Daniau *et*
363 *al.*, 2012). This is evident in our study locations, yet regional fire regimes throughout the
364 Midwest are not collectively fluctuating in response to climatic controls in similar ways
365 (Hotchkiss *et al.*, 2007; Long *et al.*, 2011). We see that there are site-specific
366 mechanisms, such as local moisture conditions and fuel load saturation, that are creating
367 unique fire-fuel relationships at each of these sites in southern Wisconsin. Forestry
368 managers controlling fire in these mesic deciduous and oak-savanna forests would benefit
369 from research that distinguishes fire regime characteristics on a site-based level.

370

371 *Wet and dry site asynchronicity throughout the Holocene.*

372 The fire history records of Butler Lake and Lake Seven display no periods of correlation
373 throughout the past 10,000 years (Fig. 5). This is surprising considering their proximity,
374 similar vegetation and climate histories. These results can be considered consistent with
375 an overall driver of fire frequency by moisture, as asynchronicity between sites is likely

376 due to differences in effective moisture (Long *et al.* 2011). The watershed of Butler Lake
377 has unique topographic features that may have raised the water level in the surrounding
378 marshes, producing and sustaining high effective moisture conditions. Lake Seven does
379 not have these vast wetlands in its watershed; thus effective moisture at this site may
380 respond more directly to periods of low moisture availability (drought). In addition,
381 minor differences in slope can affect fuel conditions between sites. It has been previously
382 suggested that site-specific differences between locations can strongly influence local fire
383 regimes, in that regional climatic controls may be obscured by local controls such as:
384 stochastic ignitions, topography, and fuel loads (Gavin *et al.*, 2006).

385

386 The two sites with drier modern climates, Comstock Lake and Lake George, display
387 direct correlation at time step 600 from the K1D synchrony function, however there is no
388 other evidence of direct synchrony throughout the remainder of the Holocene (Fig. 5).
389 Again this is puzzling given the similarities between watersheds, as both are similar in
390 relative area, and Lake George is only 1m deeper than Comstock Lake, which would not
391 likely influence moisture conditions. Both locations also have similar topography, as they
392 are both located on the southwestern edge of the Green Bay Lobe. However, these two
393 locations are relatively far apart, 60 km, which is significant distance to cause site-
394 specific moisture differentiation (Gavin *et al.*, 2006). Comstock Lake displayed higher
395 sensitivity to low moisture conditions throughout the Mid-Holocene than Lake George,
396 specifically the regional drought period at 4200 cal a BP.

397

398 The differences in charcoal morphotypes may reflect different available fuels due to
399 vegetation structure at each site. Generally, Lake Seven and Butler Lake are both *Pinus*
400 vegetation type during the early Holocene, yet arboreal fuels were dominant at Lake
401 Seven and non-arboreal fuels were dominant at Butler Lake. Also, middle Holocene
402 peaks in non-arboreal ratios at all four study sites coincide with the maximum expansion
403 of prairie vegetation into southern Wisconsin centered around 6500 cal a BP. (Fig. 3).
404 The vegetation fluctuations surrounding dry study sites are relatively gradual through
405 time, and display some level of synchrony with changes in charcoal morphotypes
406 throughout the majority of the Holocene. Yet, late Holocene fluctuations in charcoal

407 morphotypes are not synchronous with any such changes in vegetation. Early Holocene
408 morphotypes from the two dry sites indicate a gradual build-up of non-arboreal fuels that
409 correlated with the establishment of *Quercus* vegetation into the region, from 9000 cal a
410 BP to 6000 cal a BP (Figs. 3 and 4). Fuel morphotypes displayed little change
411 throughout the mid Holocene from 6000 cal a BP to 3000 cal a BP (Fig. 4). From 3000
412 cal a BP to present both dry sites display high abundances of non-arboreal fuels, which
413 shows no correlation with any major change in available fuel loads as seen in the pollen
414 diagram from Comstock Lake (Figs. 3 and 4).

415

416 *Fire intensity effects on available fuel type*

417 There was support for our second hypothesis, that wet and dry sites showed an increase in
418 arboreal fuel sources as fire activity increased. All four sites collectively display higher
419 ratios of arboreal fuels burned during periods of high fire frequency. Similarly, during
420 periods of relatively low fire activity, charcoal particles were composed of primarily non-
421 arboreal sources (Fig. 4). This suggests that forest fires occurring during periods of low
422 disturbance fire activity are likely not of high enough intensity to fully ignite arboreal
423 sources and create more intensive fires, rather providing more opportunity for
424 surface/ground fires to occur and deposit higher concentrations of non-arboreal charcoal
425 pieces than that of arboreal sources (Jensen *et al.*, 2007). During periods high fire
426 activity, the overall concentration of charcoal pieces is from arboreal fuel sources (Fig.
427 4). This suggests that during such times of high fire activity, fire episodes were properly
428 fueled to promote high intensity that created larger and more intensive fires to occur,
429 possibly crown fires such as those that occur in lodgepole pine (*Pinus contorta*) forests in
430 the western U.S. (Turner and Romme, 1994).

431

432 *Effect of vegetation on fire regime*

433 Differences in fuel sources among the study sites throughout the Holocene is the most
434 prominent observation from the records. Thus, there was support for our third hypothesis
435 that vegetation composition at all sites was dynamic but was not the main factor in
436 determining fire activity. For example, in the early Holocene (10,000 - 8600 cal a BP)
437 Lake Seven had high concentrations of arboreal charcoal, while in contrast Butler Lake

438 displayed high concentrations of non-arboreal fuels. These differences may be related to
439 landscape-scale differences in vegetation type among sites, but generally a variety of fire
440 regimes ranging from low-intensity frequent fires to high-intensity infrequent fires can be
441 observed in modern *Pinus* and *Quercus* forests, the two dominant pollen taxa at all sites
442 throughout most of the Holocene.

443

444 There are some changes in the pollen records that correspond with changes in charcoal
445 morphotypes. The early Holocene non-arboreal fuels at Butler Lake can be linked with
446 *Pinus* vegetation and the timing of regional *Pinus* forest establishment (Webb, 1987).
447 Some species of *Pinus*, such as *P. resinosa*, are relatively tolerant of low-intensity ground
448 fires (Habrouk *et al.*, 1999). Such fires do not produce high quantities of arboreal
449 charcoal pieces, suggesting that understory shrubs and grasses are the dominant fuel
450 source. Low-intensity fire regimes and high values of non-arboreal charcoal morphotypes
451 may also reflect the mesic deciduous forests established later in the Holocene in this
452 region. Pollen analysis indicates a dominant oak forest at several sites during the mid- to
453 late Holocene. *Quercus* species possess thick bark that is highly fire-resistant and has low
454 thermal conductivity (Abrams, 1992), thereby limiting the amount of arboreal fuel
455 sources. Non-arboreal pollen types such as *Poaceae* and *Ambrosia* do not demonstrate
456 regional synchrony, but these pollen types indicate the presence of herbaceous vegetation
457 in which fires may be moisture-limited. Fuel limitation at times of high non-arboreal
458 charcoal has also been interpreted in the African savanna biome from sediments of Lake
459 Challa (Nelson *et al.*, 2012).

460

461 One remaining unsolved question is how the late-Holocene fire regimes at Comstock
462 Lake and Lake George could have changed without any apparent change in the pollen
463 assemblages. The amount of non-arboreal fuels started to increase at both sites starting at
464 c.2000 cal a BP, yet there were no apparent synchronous changes in available fuels
465 surrounding the watersheds as seen in the pollen diagram (Fig. 3). A slight increase in
466 *Ambrosia* pollen may indicate an opening of the landscape during this time. It is possible
467 that a structural change in oak forest, such as abundant understory fuel growth, allowed
468 an increase in non-arboreal fuels throughout the late Holocene. Increased moisture has

469 been shown to cause a build-up of deciduous herbaceous understory fuels in the tropical
470 forests of Panama (Condit *et al.*, 1996).

471

472 **Conclusions**

473 The results from the paleoecological studies shown here provide valuable information
474 about the predictability of fire regimes both regionally and globally. In particular,
475 regional charcoal records demonstrate how such regimes may be governed from a single
476 climatic driver such as temperature or precipitation (Daniau *et al.*, 2012). We provide
477 evidence for increased moisture availability result in both in increasing or decreasing fire
478 return interval, due to interactions with fuel source (vegetation type) and fire intensity
479 (crown fires v. surface fires). *Similar* regional analyses of fire frequency, as calculated
480 from sedimentary charcoal, have demonstrated differences in fire regime among Alaskan
481 tundra types—a biome previously thought to be homogenous with regard to fire (Kelly *et al.*,
482 2013). Regional differences among fire regimes in deciduous and coniferous forest
483 types in North America certainly exist (Marlon *et al.*, 2009). Within a relatively similar
484 physiographic area in southern Wisconsin, these site-specific patterns of fire history
485 emphasize the need to accumulate a large number of charcoal records within a single
486 region to capture the spatial and temporal heterogeneity of fire regimes.

487

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Figure 1. Location of four study sites in the state of Wisconsin, USA. Butler Lake and Lake Seven are the paired wet sites in the mesic deciduous forests. Comstock Lake and Lake George are the paired dry sites in the prairie oak savanna complex. Presettlement vegetation is from Robert W. Finley, modified by the Wisconsin Department of Natural Resources.

Figure 2. (a) Age versus depth model for Lake George, Wisconsin. (b) Age versus depth model for Comstock Lake, Wisconsin. Bars indicate 1 sigma radiocarbon ages from Table 1.

Figure 3. Pollen percentages for selected taxa from Butler Lake, Wisconsin, USA (A) and Comstock Lake, Wisconsin, USA (B) plotted against the age of the sediment core.

Figure 4. Sedimentary charcoal records from four sites in Wisconsin, USA. Butler Lake and Lake Seven have higher current MAP than Comstock Lake and Lake George. (A) Fire-episode frequency plotted as number of peaks ka^{-1} . Boxes represent individual peak magnitude plotted as pieces cm^{-2} . (B) Charcoal accumulation rates per time step (14 years for Lake Seven) with BCHAR, solid line, superimposed. Curves plotted against the calibrated age of the cores. (C) Ratio values of observed charcoal morphotypes quantified at each 1 cm interval. High values near 0.8 represent non-arboreal fuels as dominant morphotypes. Low values near 0 represent arboreal fuels as dominant morphotypes.

Figure 5. The bivariate K-function for testing synchrony over a range of temporal windows for (a) two modern wet sites, and (b) two modern dry sites. Two records were tested, where in the first a series of events are placed on random years and the second events are placed within 50 yr of those in the first record. The L-function (transform of the K-function) for the events in (a) with 95% confidence envelope (thin lines) based on 1000 randomizations. In (b) with 95% confidence envelope (thin lines) based on 1000 randomizations. (a) The function never exceeds the upper confidence envelope, indicating no correlation of event times within windows of that scale. (b) The function exceeds the upper confidence envelope at time step 600, indicating some correlation of event times within windows of that scale.

Table 1. Radiocarbon dates and calibrated ages for Lake George and Comstock Lake