

Aberystwyth University

Space-time localization of inner heliospheric plasma turbulence using multiple spacecraft radio links

Richie-Halford, Adam C.; Iess, L.; Tortora, P.; Armstrong, J. W.; Asmar, S. W.; Woo, Richard; Habbal, Shadia Rifai; Morgan, Huw

Published in:
Space Weather

DOI:
[10.1029/2009SW000499](https://doi.org/10.1029/2009SW000499)

Publication date:
2009

Citation for published version (APA):

Richie-Halford, A. C., Iess, L., Tortora, P., Armstrong, J. W., Asmar, S. W., Woo, R., Habbal, S. R., & Morgan, H. (2009). Space-time localization of inner heliospheric plasma turbulence using multiple spacecraft radio links. *Space Weather*, 7(12), 12003. <https://doi.org/10.1029/2009SW000499>

General rights

Copyright and moral rights for the publications made accessible in the Aberystwyth Research Portal (the Institutional Repository) are retained by the authors and/or other copyright owners and it is a condition of accessing publications that users recognise and abide by the legal requirements associated with these rights.

- Users may download and print one copy of any publication from the Aberystwyth Research Portal for the purpose of private study or research.
- You may not further distribute the material or use it for any profit-making activity or commercial gain
- You may freely distribute the URL identifying the publication in the Aberystwyth Research Portal

Take down policy

If you believe that this document breaches copyright please contact us providing details, and we will remove access to the work immediately and investigate your claim.

tel: +44 1970 62 2400
email: is@aber.ac.uk

Space-time localization of inner heliospheric plasma turbulence using multiple spacecraft radio links

Adam C. Richie-Halford,¹ L. Iess,² P. Tortora,³ J. W. Armstrong,⁴ S. W. Asmar,⁴ Richard Woo,⁴ Shadia Rifai Habbal,⁵ and Huw Morgan⁵

Received 19 May 2009; revised 29 September 2009; accepted 4 October 2009; published 17 December 2009.

[1] Radio remote sensing of the heliosphere using spacecraft radio signals has been used to study the near-Sun plasma in and out of the ecliptic, close to the Sun, and on spatial and temporal scales not accessible with other techniques. Studies of space-time variations in the inner solar wind are particularly timely because of the desire to understand and predict space weather, which can disturb satellites and systems at 1 AU and affect human space exploration. Here we demonstrate proof of concept of a new radio science application for spacecraft radio science links. The differing transfer functions of plasma irregularities to spacecraft radio uplinks and downlinks can be exploited to localize plasma scattering along the line of sight. We demonstrate the utility of this idea using Cassini radio data taken in 2001–2002. Under favorable circumstances we demonstrate how this technique, unlike other remote sensing methods, can determine center-of-scattering position to within a few thousandths of an AU and thickness of scattering region to less than about 0.02 AU. This method, applied to large data sets and used in conjunction with other solar remote sensing data such as white light data, has space weather application in studies of inhomogeneity and nonstationarity in the near-Sun solar wind.

Citation: Richie-Halford, A. C., L. Iess, P. Tortora, J. W. Armstrong, S. W. Asmar, R. Woo, S. R. Habbal, and H. Morgan (2009), Space-time localization of inner heliospheric plasma turbulence using multiple spacecraft radio links, *Space Weather*, 7, S12003, doi:10.1029/2009SW000499.

1. Introduction

[2] Radio links to and from deep space probes are used for spacecraft command and control, telemetry, navigation, and radio science. Radio science applications include determination of planetary and satellite gravitational fields, measurements of properties of planetary atmospheres, ionospheres, and rings, studies of the solar wind, solar system tests of relativistic gravity, and searches for low-frequency gravitational radiation [e.g., Tyler *et al.*, 2001; Kliore *et al.*, 2004]. In addition to probing otherwise inaccessible regions of the solar system, the link signal-to-noise ratios (SNRs) and instrumental frequency stability are often excellent and allow sensitive propagation measurements [Asmar *et al.*, 2005]. For heliospheric plasma investigations, radio propagation observations have been used to study the solar wind plasma over a wide range of distances from the Sun, in and out of the ecliptic, and on

spatial and temporal scales which cannot be measured with other techniques.

[3] The high SNR and excellent time resolution of radio data lend themselves to studies of nonstationarity and inhomogeneity of the inner heliospheric plasma. Interest in space-time variations in the inner solar wind has been invigorated by the desire to understand and predict (via propagation or corotation) space weather, which can disturb satellites and systems at the Earth, affect human space exploration, and (via intensity and phase scintillation) cause significant disruption of deep space telecommunications [Woo, 2007, and references therein]. In this paper we present a new technique, based on processing of multiple simultaneous radio links to and from a spacecraft, for space-time localization of inner heliospheric plasma disturbances.

2. Transfer Functions of Plasma Irregularities to Doppler Links

[4] At microwave frequencies solar wind–induced refractive index variations are $\mu(\mathbf{r}) = -\lambda^2 \mathbf{r}_e \delta n_e(\mathbf{r}) / (2\pi)$, where λ is the radio wavelength, \mathbf{r}_e is the classical electron radius, and δn_e is the electron density fluctuation at three-dimensional position vector \mathbf{r} . A monochromatic wave propagating through a thin scattering region (“screen”)

¹Los Angeles Air Force Base, El Segundo, California, USA.

²Dipartimento di Ingegneria Aerospaziale e Astronautica, Università di Roma “La Sapienza,” Rome, Italy.

³DIEM, II Facoltà di Ingegneria, Università di Bologna, Forlì, Italy.

⁴Jet Propulsion Laboratory, California Institute of Technology, Pasadena, California, USA.

⁵Institute of Astronomy, University of Hawai‘i at Mānoa, Honolulu, Hawaii, USA.

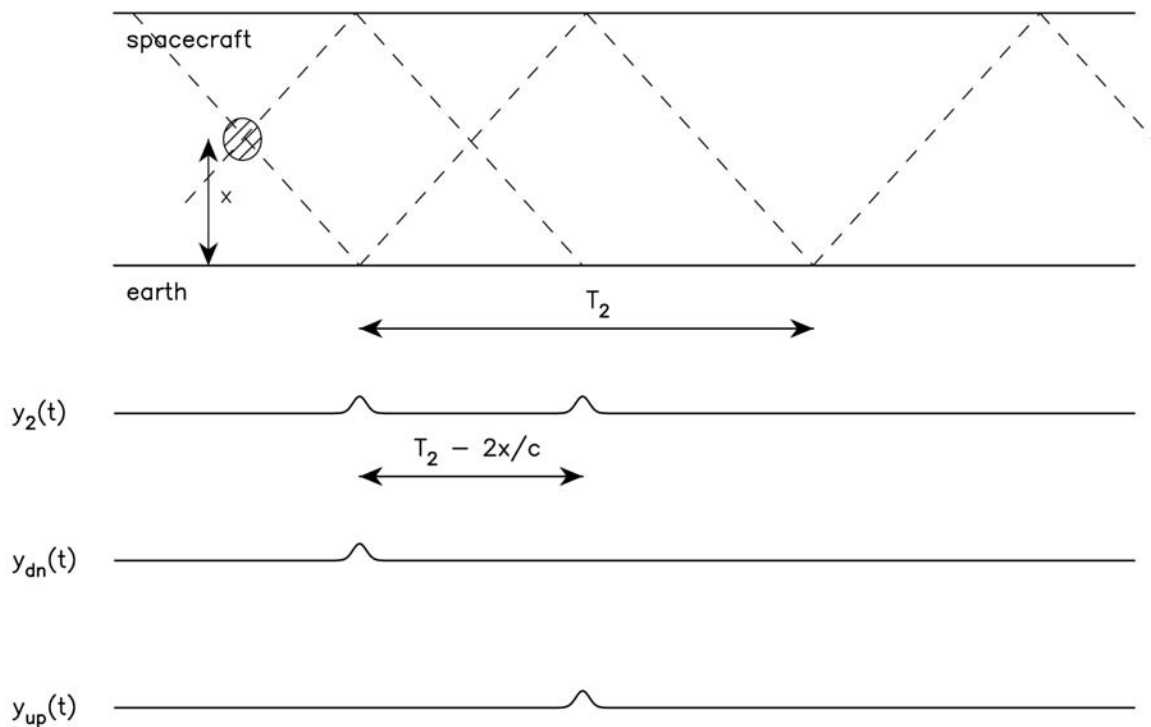


Figure 1. Transfer function of plasma fluctuations to one- and two-way phase/Doppler scintillation allows localization of plasma blobs along the line of sight. (top) A space-time diagram (space vertically, time horizontally). The ground station and the spacecraft are continuously exchanging microwave signals, some of which are shown as dashed lines. If the signals pass through a well-localized plasma blob (indicated by the hatched circular area), the phase is perturbed. This perturbation is observed on both the uplink and downlink signals and on the “two-way” (coherently transponded) Doppler. (bottom) The two-way, $y_2(t)$, and the one-way plasma contribution Doppler time series, $y_{up}(t)$ and $y_{dn}(t)$, are shown. The phase perturbation is seen initially on the downlink, and, in the two-way Doppler, later when the perturbation on the uplink is phase coherently retransmitted back to the ground. The effect in $y_2(t)$ is two positively correlated features in the time series, separated in time by $T_2 - 2x/c$, where T_2 is the two-way light time and x is the distance of the blob from the Earth. The one-way up and down Doppler time series detect the blob once each, but also separated by $T_2 - 2x/c$. Cross correlation or windowed matched filtering between pairs of Doppler time series allows estimates of the time delay, localizing the blob.

having thickness Δz develops a geometrical optics phase shift $(2\pi/\lambda)\mu(r)\Delta z$. This phase shift is the same for a wave propagating either “up” or “down” through the screen at point r . This attribute can be used for space-time localization of plasma irregularities.

[5] Although the application to plasma localization given here is new, the idea was originally used in noise budget analysis of precision Doppler tracking experiments [Estabrook and Wahlquist, 1975; Estabrook, 1978; Vessot and Levine, 1978]. The transfer function of plasma irregularities to the observed time series depends on the tracking mode. When spacecraft observations are in the two-way mode (downlink radio signal phase locked to an uplink radio transmission) plasma fluctuations have a “two-pulse” response in the Doppler frequency time series [Estabrook and Wahlquist, 1975; Estabrook, 1978]. This is illustrated in

Figure 1. Figure 1 (top) is a space-time diagram, showing the ground station continuously transmitting a signal to the spacecraft and continuously receiving a signal from that spacecraft. Suppose that the radio center frequencies of the uplink and downlink signals are the same. In the two-way mode, the Doppler time series $y_2(t)$ is the difference between the frequency of the received downlink signal and the frequency of a ground reference oscillator. For two-way observations the ground reference oscillator also provided the signal transmitted to the spacecraft a two-way light time, T_2 , earlier. A localized plasma blob at distance x along the line of sight perturbs the phase on both the uplink and downlink, as illustrated, giving rise to two events in the two-way tracking time series separated by a time lag depending on the Earth-blob distance: $T_2 - 2x/c$.

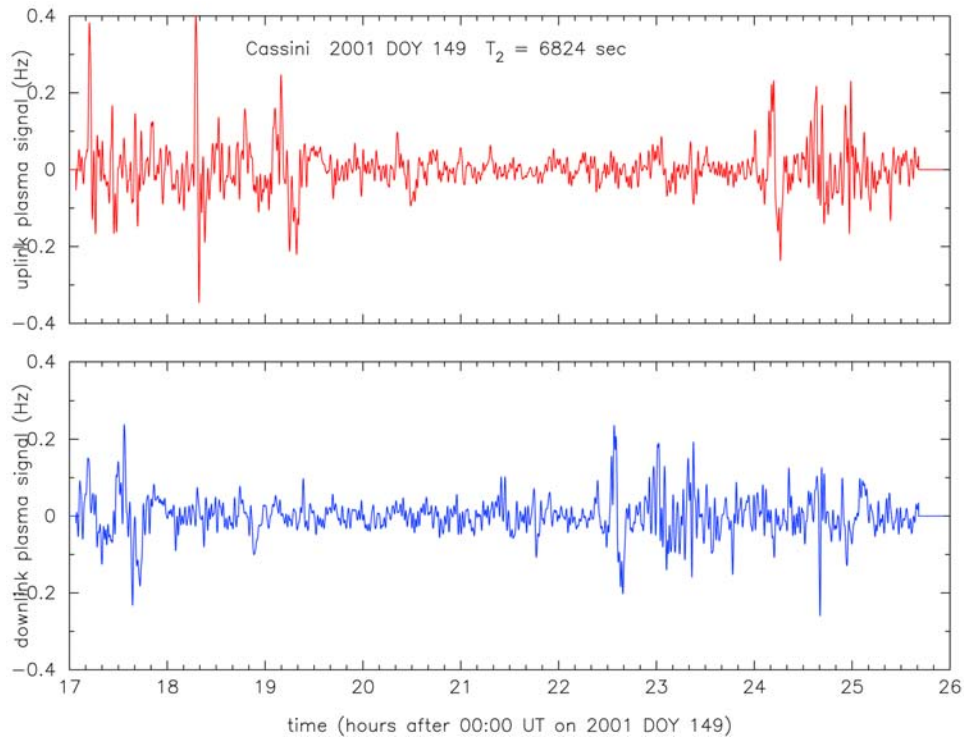


Figure 2. Time series of the plasma contribution to the X-band uplinks and downlinks, $y_{\text{up}}(t)$ and $y_{\text{dn}}(t)$, for DSS25 Cassini track on DOY 149 2001 (29 May 2001). The two-way light time T_2 was ≈ 6824 s. Several large amplitude features in the downlink time series (at, e.g., about 1730 UT, 2230 UT, and 2300 UT) have clear “echoes” in the uplink time series with time difference $\sim T_2 - 2 \times 1.028$ AU/c. Figure 3 shows the windowed cross correlation (see text) of these two time series, quantifying the space-time location of the plasma causing the Doppler variability.

[6] Comparison of two-way and downlink plasma time series have been used to localize a dominant plasma screen [e.g., *Armstrong*, 2006]. In some tracking situations, however, more information is available. The data analyzed here were taken with the five-link Cassini radio system [*Kliore et al.*, 2004]. With this radio configuration the plasma contribution to the uplinks and downlinks, $y_{\text{up}}(t)$ and $y_{\text{dn}}(t)$, can be computed separately [*Iess et al.*, 2003; *Bertotti et al.*, 2003; *Tortora et al.*, 2004]. The time series $y_{\text{up}}(t)$ and $y_{\text{dn}}(t)$ respond to a localized plasma blob with one event in each time series. These events are also separated in time by $T_2 - 2 \times c$ (Figure 1, bottom). By cross correlating the uplink and downlink Doppler time series the time separation of the plasma events can be measured and hence the plasma blob’s distance from the Earth determined. Since the plane-of-sky position is known (we point the ground antenna at the accurately known spacecraft position), this technique allows localization of plasma events in time and three space dimensions.

[7] In the idealized case of a geometrically thin screen, the uplink and downlink beams cross the thin screen at exactly one point, the phase shifts of uplink and downlink are exactly the same, and the time series of uplink and downlink Doppler are exact, temporally offset, copies. The

value of the uplink and downlink Doppler cross-correlation function is then unity at the correct $T_2 - 2 \times c$ lag. If the screen has finite thickness, different space-time line segments contribute to the uplinks and downlinks. Since the line segments traversed by the uplinks and downlinks in the thick screen case do not exactly overlap in space and time, the uplink and downlink time series are no longer offset copies of each other and peak cross-correlation values will be less than unity. The cross-correlation function’s (ccf’s) difference from unity can be used to bound the thickness of a screen dominating the observed Doppler scintillation, as discussed in Appendix A.

3. Observations and Signal Processing

[8] The data used in this proof-of-concept study are from superior conjunction tracks in 2001 and 2002 taken for the Cassini relativity experiment [*Tortora et al.*, 2002; *Bertotti et al.*, 2003]. During these solar conjunction intervals (± 15 days from conjunction during 2002) the spacecraft was several astronomical units from the Earth. The observations used the full 5-link Cassini radio system [*Kliore et al.*, 2004; *Bertotti et al.*, 2003]: X-band downlink (≈ 8.4 GHz) coherent with X-band uplink (≈ 7.2 GHz), Ka-band downlink (≈ 32 GHz) coherent with Ka-band uplink

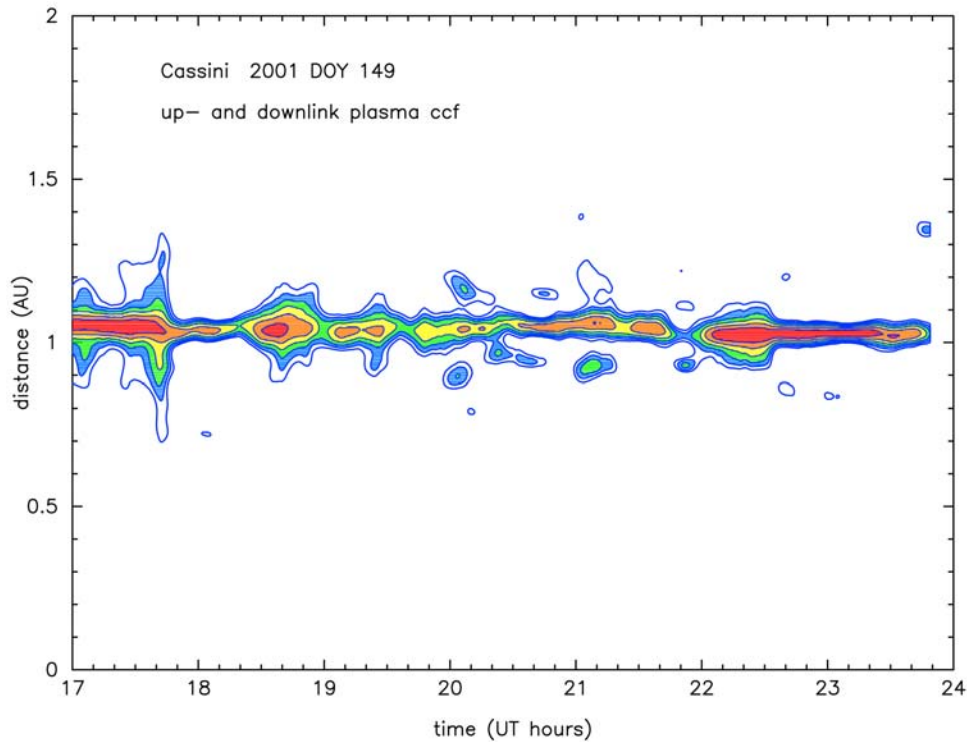


Figure 3. Space-time cross-correlation function of the DOY 149 2001 plasma uplink and downlink time series plotted in Figure 2. The y axis is the distance from the Earth; the x axis is downlink received time. Contours of cross-correlation value are plotted between 0.9 and 0.4 in 0.1 increments. Correlations >0.9 are shaded red, those between 0.8 and 0.9 are in orange, and so forth. The accuracy of the range determination and bounds to the thickness of the region contributing the scintillation are discussed in the text.

(≈ 34 GHz), and a Ka-band downlink coherent with the X-band uplink. All data used here were taken with the NASA/JPL Deep Space Network 34 m tracking antenna DSS25. The 5-link data allow plasma contributions to the Doppler, referenced here to X-band, to be separately computed for the uplinks and downlinks [Tortora *et al.*, 2003]. In this initial study we band-pass filtered $y_{\text{up}}(t)$ and $y_{\text{dn}}(t)$ separately using arbitrary but reasonable band edge frequencies of 0.00056 and 0.02 Hz. This filtering, the intrinsically large SNRs of the Cassini links, and the excellent frequency stability of the ground and spacecraft systems allowed accurate estimation of the plasma contribution: the RMS plasma signal is more than 300 times larger than the RMS nonplasma estimation error “noise” in the data shown here.

[9] To implement the method we computed the cross-correlation function (ccf) between $y_{\text{up}}(t)$ and $y_{\text{dn}}(t)$ as follows. Time series $y_{\text{dn}}(t')$ was multiplied by a triangular time window, $\Lambda((t - t')/1800 \text{ s})$, centered on reference downlink time, t . (Here $\Lambda(t) = 0$ if $|t| > 1$ and $1 - |t|$ if $|t| < 1$.) Similarly $y_{\text{up}}(t')$ was windowed with $\Lambda((t - t' - T_2 + 1000 \text{ s})/1800 \text{ s})$. The 1000 s offset was chosen because of the a priori expectation that the main contribution would be near 1 AU from the Earth (1 AU/speed of light ≈ 500 s). These

windowed time series were cross correlated, giving the cross-correlation function as a function of time lag, τ , and reference downlink time, t . Time lag was converted to line of sight range, x : $\tau = T_2 - 2x/c$. The triangular time windows were then advanced by 10 s for each of the uplink and downlink time series and the process repeated. This gave the plasma contribution ccf as a function of distance along the line of sight and time throughout the tracking pass.

4. Examples and Discussion

[10] Figure 2 shows the band-pass-filtered uplink and downlink plasma contribution time series for a tracking pass on 29 May 2001 (day of year (DOY) 149; Sun-Earth-spacecraft angle $\approx 6.6^\circ$; $T_2 \approx 6825$ s, varying by ≈ 2 s over the pass.). The data show clear temporal nonstationarity, with higher variability at the start and end of the pass and lower variability in the middle of the pass. Even by eye, there is clear positive correlation between the uplink and downlink time series, including several high SNR discrete “events” (e.g., near 1730 UT, 2230 UT, and 2300 UT in the downlink, with corresponding events $\sim T_2 - 1000$ s later in the uplink).

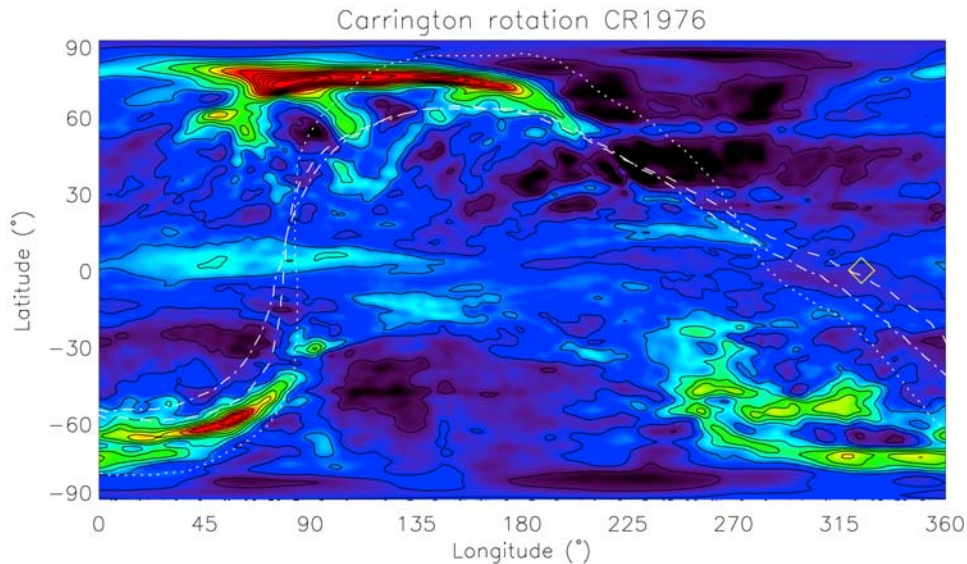


Figure 4. Map of coronal density structure at a height of 4 solar radii for Carrington Rotation 1976, calculated using a solar rotational tomography technique from ~ 2 weeks of LASCO/SOHO C2 coronagraph observations. Red is high density, and black is low density. The x axis refers to Carrington longitude (CRL), and the y axis refers to solar latitude. The point along the Earth-Cassini line of sight closest to the Sun is shown as a diamond at CRL 324° on the map. The dotted, dashed, and dash-dotted lines are the positions of the heliospheric current sheet (HCS), calculated using a potential field source surface (PFSS) extrapolation of photospheric magnetic field observations made by the Wilcox Solar Observatory. (The three lines give different positions of the HCS when different boundary conditions are applied. See main text.)

[11] Figure 3 shows the space-time correlation function for these DOY 149 2001 data. We plot contours down to 0.4 (pilot studies cross correlating these time series with zero time lag between them suggested correlations up to about 0.3 could occur by chance.) Figure 3 has two principal features. First, there is high correlation, greater than 0.95 near 1730 UT and peaking at ≈ 0.99 , e.g., for identifiable events near 2200–2300 UT, over much of the track. Second, the lags where the correlation function peaks indicate plasma disturbances mostly located systematically farther away than the raypath’s closest approach point to the Sun at $\approx 1 \text{ AU} \cos(6.6^\circ) = 0.99 \text{ AU}$. The regions where the correlation is high (e.g., >0.9) give upper bounds on the effective screen thickness (see Appendix A). Simulations of Kolmogorov turbulence in a uniform-thickness screen at 1 AU (and with the same signal processing used for the actual time series) give, as expected, correlation of unity when the screen is geometrically thin. Increasing the full-width screen thickness to $\approx 0.05 \text{ AU}$ gives peak correlation ≈ 0.9 . Thus, for the signal processing parameters in this pilot analysis, we estimate the full-width scattering region thickness in the high-correlation (>0.9) intervals of Figure 3 to be less than or $\approx 0.05 \text{ AU} = 7.5 \text{ million km}$. During some parts of the DOY 149 2001 track the screen thickness appears to be smaller than 0.05 AU. The region between ≈ 2210 and 2320 UT, for example, has very high correlation (greater than 0.97) and a perhaps slightly trending range

(as determined from the lag of the ccf’s peak) from about 1.025 AU near 2210 UT to about 1.03 AU near 2320 UT. The >0.97 correlation suggests, from the finite-thickness screen simulations, that the full width of the region dominating the plasma scattering is less than $\approx 0.02 \text{ AU}$ over this entire time interval. We also can estimate the accuracy of the fiducial range from the uplink and downlink cross spectrum, under the assumption that there is a unique range to screen over this interval (see Appendix A). For this highly correlated interval the range is particularly well determined: $1.028 \pm 0.003 \text{ AU}$.

[12] We looked at coronal images to see if there is an obvious plane-of-sky counterpart for these disturbances on DOY 149 2001. These disturbances could be associated with the line of sight crossing the (mainly face on) heliospheric current sheet (HCS) in the inner corona [Woo *et al.*, 1995]; the relevant scattering region may be associated with disturbances in and around the heliospheric plasma sheet (HPS) which surrounds the HCS [Winterhalter *et al.*, 1994]. Figure 4 shows a map of the coronal density structure at a height of 4 solar radii (R_s) for Carrington Rotation 1976, calculated using a solar rotational tomography technique [Morgan *et al.*, 2009] from ~ 2 weeks of the LASCO/SOHO C2 [Brueckner *et al.*, 1995] coronagraph observations. Red is high density, black is low. The x axis refers to Carrington rotation longitude (CRL), the y axis to solar latitude. During 29 May 2001, the meridional CRL

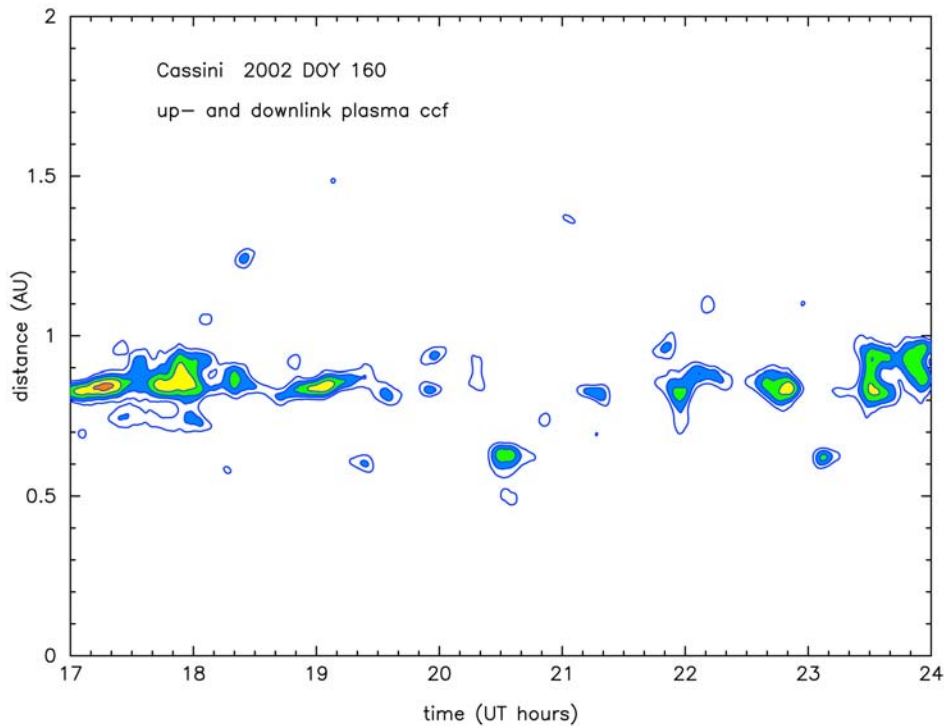


Figure 5. As Figure 3 but for DOY 160 2002 (9 June 2002). The Sun-Earth-spacecraft angle was about 9.5° , and the two-way light time was about 8365 s. Correlation levels are in general lower than those in Figure 3. At the start of the track a scattering region nearer to the Earth than the raypath closest approach point ($\approx 1 \text{ AU} \cos(9.5^\circ) = 0.986 \text{ AU}$) is indicated. In midtrack the correlation is particularly low, indicative of extended, rather than localized, scattering.

(along the Earth-Sun line) is 54° . The point along the Earth-Cassini line of sight closest to the Sun is at CRL 324° . This point is shown as a diamond on the map. The dotted, dashed, and dash-dotted lines are the position of the HCS, calculated using a potential field source surface (PFSS) extrapolation of photospheric magnetic field observations made by the Wilcox Solar Observatory [Altschuler and Newkirk, 1969; Schatten *et al.*, 1969; Wang and Sheeley, 1992]. The three lines give different positions of the HCS when different boundary conditions are applied. The reasonable agreement between the PFSS and tomography results at middle to high latitudes show that the estimate of the HCS position is fairly accurate (PFSS is not always accurate at times outside solar minimum [see Morgan and Habbal, 2007]), therefore the subsolar point along the Earth-Cassini line of sight must be close to the HCS during 29 May 2001. (For various reasons, the tomography method fails near the equator, so should not be trusted there.) The thicknesses of the HCS and HPS vary by an order of magnitude as observed by spacecraft at 1 and 5 AU [Winterhalter *et al.*, 1994; Smith, 2001; Zhou *et al.*, 2005]. We note that our approximate upper bound of 0.02 AU for the thickness of the DOY 149 2001 near-Sun scattering region is consistent with (about a factor of 2 larger than) the largest HPS thickness observed at 1 AU, but much

larger than the $\sim 0.001 \text{ AU}$ median HPS thickness at 1 AU [Winterhalter *et al.*, 1994; Zhou *et al.*, 2005].

[13] The data in Figures 2 and 3 show a relatively simple case of well-localized, dominant scattering and are exemplary of the method. The technique is also diagnostic in more complicated situations. Figure 5 shows the ccf for DOY 160 2002 (9 June 2002; Sun-Earth-spacecraft angle $\approx 9.5^\circ$; $T_2 \approx 8365 \text{ s}$.) In contrast with DOY 149 2001, the correlation levels are lower, indicative of less well localized scattering on this day. Especially during the middle part of this track, the correlation is comparable to or below the contouring threshold (0.4), indicating substantially extended scattering along the line of sight. Indeed we have data from tracks where the scintillation level, as evidenced from the RMS Doppler fluctuation, is substantial but the contribution is poorly localized over an entire $\sim 8 \text{ h}$ tracking pass.

[14] Finally, the technique is still in development and our current understanding of its strengths and limitations is not complete. It is, however, clear that localization will not work well when the temporal duration of a plasma fluctuation is long compared with the Earth-spacecraft light time. In this limit, the width of the Doppler disturbances on the uplinks and downlinks overlap and signature is lost. (This is not a practical problem for space

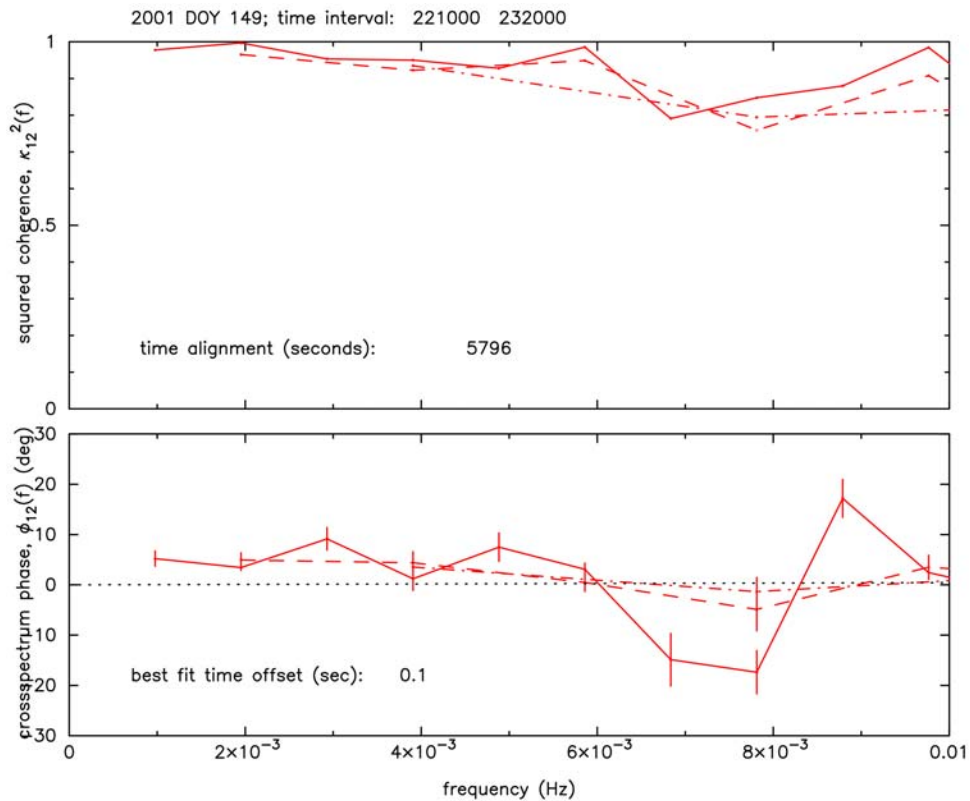


Figure A1. Smoothed squared coherence and smoothed cross-spectral phase for the uplink and downlink plasma contributions for time interval DOY 149 2001, 2210–2320 UT. The data have been prealigned by 5796 s and analyzed with resolution bandwidths of 1/1024 Hz (solid line), 1/512 Hz (dashed line), and 1/256 Hz (dash-dotted line). Uncertainties shown for the cross-spectral phase are formal ± 3 sigma, based on the numbers of degrees of freedom in the estimates and on the squared coherency at each frequency [Jenkins and Watts, 1969, equation (9.2.21)]. The nonzero slope in the phase spectrum gives a refined estimate for the lag giving best correlation between the uplink and downlink plasma time series: 5796.1 s. The uncertainty in the slope gives an uncertainty in this time offset and hence an uncertainty in the distance to the screen (see Appendix A).

weather studies.) We have done preliminary studies of the inversion of idealized thick-screen scattering distributions. In suitable situations the square of the Fourier transform of the scattering distribution along the line of sight multiplies the uplink and downlink squared coherency spectrum. Using this, one could recover a measure of an extended medium's line-of-sight spatial distribution. Finally, we have not yet experimented with prefiltering of the data. In this pilot study we chose a reasonable passband to demonstrate the method clearly but no attempt was made at optimization. By looking at a different region of the fluctuation spectrum, or by prewhitening the times series, we may be able to improve resolution.

5. Summary

[15] This paper gave a proof-of-concept demonstration of a technique to localize inner heliospheric plasma disturbances in space and time. The method is based on the differing transfer functions of plasma scintillation to

one- and two-way radio links between the Earth and a distant spacecraft. In the technique's simplest form, discussed here, the uplink and downlink plasma time series are compared to localize dominant plasma irregularities in time and along the line of sight. Examples were shown for a situation where the scattering is dominated by a thin screen at well-defined location (Figure 3) and a situation where the scattering is more extended (Figure 5). When combined with other remote sensing observations such as white light images (and other simultaneous radio observations, e.g., intensity scintillation), this method has application in studies of inhomogeneity, nonstationarity, and other manifestations of inner heliospheric plasma variability.

Appendix A: Distance Uncertainty and Screen Thickness Estimate

[16] The accuracy of the ccf time lag, hence the accuracy of the range to a plasma screen, can be estimated from the

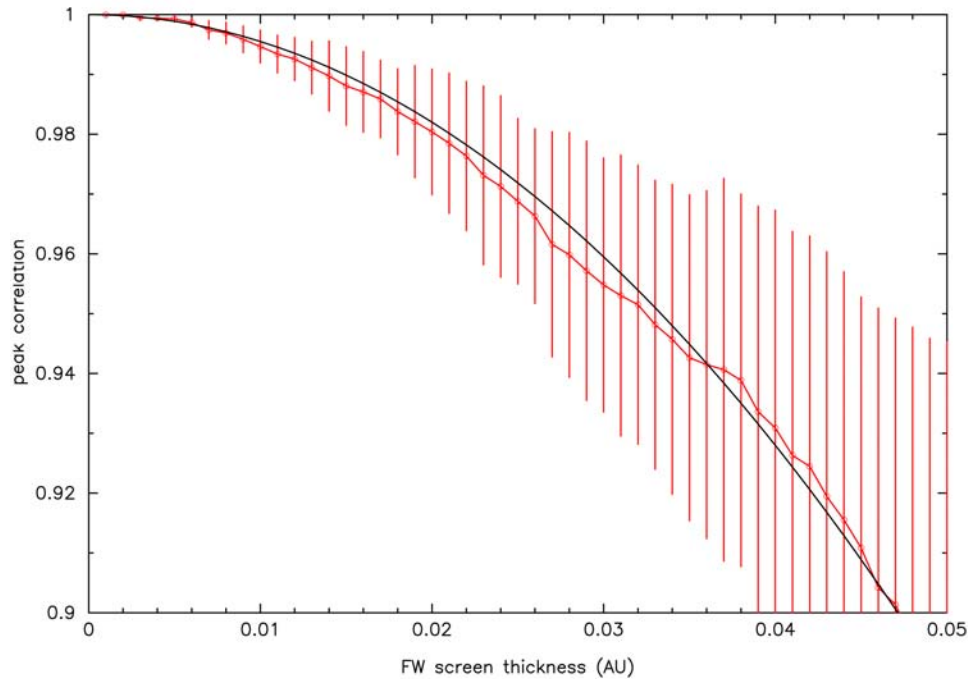


Figure A2. Peak correlation value versus full-width screen thickness from simulations of Kolmogorov spectrum turbulence. Simulated data were produced from uniformly weighted layers over the indicated full-width thickness with the “near” edge of the turbulence in the simulation at 1 AU. Red points are peak correlation and standard deviation for simulations of length slightly longer than one actual tracking pass, processed through the same software used in the analysis of the Figure 3 and Figure 5 data. Black line is a smooth curve, not a fit. For screen thickness that is small compared with the time constant of the low-pass filtering, cross-correlation function width is set by the low-pass filtering, and its decorrelation from unity can be used to estimate screen thickness.

cross spectrum of the uplink and downlink time series. Assume there is a thin screen (justified empirically in cases where the peak correlation of the ccf is close to unity), hence a unique distance of the plasma disturbances from Earth. Then there is a unique time lag in the ccf associated with that screen: the uplink and downlink time series are copies of themselves offset by $\tau_{\text{true}} = T_2 - 2x/c$. In real situations approximating this idealization (e.g., the data between ≈ 2210 and 2320 on DOY 149 2001), the lag will be nonunique due to variability of the true range over the time interval, finite thickness of the screen, and estimation error of the ccf. This nonuniqueness reflects itself in range uncertainty.

[17] We can estimate range accuracy from the statistics of the cross-spectral phase estimates [Jenkins and Watts, 1969]. The idea is to prealign the two time series, y_{up} and y_{dn} by an initial estimate of the true offset, τ^* , determined for example from the peak of the average ccf over the time interval. This initial lag estimate will in general have an error: $\tau^* = \tau_{\text{true}} + \delta\tau$. If $\delta\tau$ is nonzero then, from the shift theorem for Fourier transforms [Bracewell, 1965], there will be a nonzero slope in the cross-spectral phase (= inverse tangent of $\text{Im}[C(f)]/\text{Re}[C(f)]$, where $C(f)$ is the cross

spectrum). The numerical value of the slope will be $2\pi\delta\tau$, from which the correction $\delta\tau$ can be estimated. The uncertainty in the final best fit lag can then be determined from the uncertainty in the slope of the cross-spectral phase.

[18] Consider the downlink data during the received time interval 2210–2320 UT and its uplink counterpart in the interval $\sim T_2 - 1000$ s later. Based on the ccf in this time interval, the uplink time series was prealigned relative to the downlink by $\tau^* = 5796$ s. The smoothed auto spectra and smoothed cross spectrum were estimated in three separate procedures by Fourier transforming blocks of data 1024, 512, and 256 s long, giving 4+, 8+, and 16+ averages during the 2210–2320 UT time interval. Rectangular transform windows were used, giving frequency resolution of 1/1024 s, 1/512 s, and 1/256 s, respectively. Smoothing was done via simple averages of the Fourier transform squared (for the auto spectra) and separate averages of the real and imaginary parts of the cross spectrum. The smoothed squared coherency, $\kappa_{12}^2(f)$, was estimated by forming the modulus squared of the cross spectrum divided by the product of the auto spectra [Jenkins and Watts, 1969]. The smoothed cross-spectral

phase, $\phi_{12}(f)$, was computed from the inverse tangent of the ratio of the smoothed imaginary part of the cross spectrum to the smoothed real part. Figure A1 shows these quantities for the three frequency resolutions. The slope of the cross-spectral phase in the Fourier band 0–0.01 Hz, after alignment by 5796 s, is nonzero and indicates an additional offset of 0.1 s would be required for best alignment. The formal standard deviation in the slope of the cross-spectral phase is small (corresponding to a standard deviation in the estimated best lag of less than 1 s). The error in the slope is poorly estimated, however, due in part to the small number of points going into its determination. Instead of using the formal standard deviation in the slope, we adopt a more conservative viewpoint: the uncertainty in the slope of the residual cross-spectral phase in Figure A1 appears bounded by $\pm 10^\circ/0.01$ Hz. This gives an uncertainty in the 5796.1 s time lag estimate of <2.8 s and hence a formal uncertainty in the distance (for this high-correlation interval, not for a typical interval) of less than 0.003 AU.

[19] A finite-thickness screen will not have unity cross correlation because the uplink and downlink paths do not traverse exactly the same points in space and time (Figure 1) and thus the two time series are not simply offset copies of each other. If the screen thickness is small, the peak correlation will be only slightly smaller than unity (i.e., the practical case for the DOY 149 2001 data). The decorrelation from unity can be used to estimate screen thickness. We simulated Kolmogorov turbulence [Woo and Armstrong, 1979] in uniformly weighted screens of varying thickness taking into account the differences in the raypaths of the uplinks and downlinks to produce synthetic Doppler time series. The simulated uplink and downlink were then processed through the same software used to analyze the real data and the average peak correlation was determined over a simulated “tracking pass” of ≈ 50000 s (a little longer than a typical real tracking pass). The results are shown in Figure A2. (In the simulations, layers were added in 0.001 AU steps to the previous screen, so the points in Figure A2 are not statistically independent of each other.) The interval ≈ 2210 – 2320 on DOY 149 2001 has peak correlation >0.97 . Although this simulation approach is model-dependent (Kolmogorov turbulence, uniformly weighted screen), comparison with Figure A2 suggests the real data arise from a region having full-width thickness ≈ 0.02 AU or smaller.

[20] **Acknowledgments.** We have greatly benefited from discussions with Frank B. Estabrook about the method. We thank W. A. Coles, B. J. Rickett, S. R. Spangler, and an anonymous referee for comments on the paper. A.R.-H.’s work was performed at the Jet Propulsion Laboratory while on a U.S. Air Force–JPL employee exchange program. L.I. and P.T. were supported in part by the Italian Space Agency. S.H.’s and H.M.’s research was supported by NASA grant

NNX07AH90G to the University of Hawaii. For J.W.A., S.W.A., and R.W. the research described here was carried out at the Jet Propulsion Laboratory, California Institute of Technology, under a contract with the National Aeronautics and Space Administration. We also thank the personnel of the Cassini Project, the JPL Radio Science Systems Group, and the NASA/JPL Deep Space Network. The SOHO/LASCO data used here are produced by a consortium of the Naval Research Laboratory (United States), Max-Planck-Institut für Aeronomie (Germany), Laboratoire d’Astronomie (France), and University of Birmingham (United Kingdom). SOHO is a project of international cooperation between ESA and NASA. The Wilcox Solar Observatory’s photospheric field data and extrapolations were obtained from the WSO section of Stanford University’s website courtesy of J. T. Hoeksema. WSO is supported by NASA, the NSF, and ONR.

References

- Altschuler, M. D., and G. Newkirk (1969), Magnetic fields and the structure of the solar corona. I: Methods of calculating coronal fields, *Sol. Phys.*, *9*, 131–149, doi:10.1007/BF00145734.
- Armstrong, J. W. (2006), Low-frequency gravitational wave searches using spacecraft Doppler tracking, *Living Rev. Relativity*, *9*, 1. (Available at <http://www.livingreviews.org/lrr-2006-1>)
- Asmar, S. W., J. W. Armstrong, L. Iess, and P. Tortora (2005), Spacecraft Doppler tracking: Noise budget and accuracy achievable in precision radio science observations, *Radio Sci.*, *40*, RS2001, doi:10.1029/2004RS003101.
- Bertotti, B., L. Iess, and P. Tortora (2003), A test of general relativity using radio links with the Cassini spacecraft, *Nature*, *425*, 374–376, doi:10.1038/nature01997.
- Bracewell, R. (1965), *The Fourier Transform and Its Applications*, McGraw-Hill, New York.
- Brueckner, G. E., et al. (1995), The large angle spectroscopic coronagraph (LASCO), *Sol. Phys.*, *162*, 357–402, doi:10.1007/BF00733434.
- Estabrook, F. B. (1978), Gravitational wave detection with the solar probe. II. The Doppler tracking method, in *A Close-Up of the Sun*, edited by M. Neugebauer and R. W. Davies, *JPL Publ.*, 78-70, 441–449.
- Estabrook, F. B., and H. D. Wahlquist (1975), Response of Doppler spacecraft tracking to gravitational radiation, *Gen. Relativ. Gravitation*, *6*, 439–447, doi:10.1007/BF00762449.
- Iess, L., et al. (2003), The Cassini solar conjunctions experiment: A new test of general relativity, in *Proceedings of the IEEE Aerospace Conference 2003*, pp. 1–211, Inst. of Electr. and Electr. Eng., Piscataway, N. J.
- Jenkins, G. M., and D. G. Watts (1969), *Spectral Analysis and Its Applications*, Holden-Day, San Francisco, Calif.
- Kliore, A. J., et al. (2004), Cassini radio science, *Space Sci. Rev.*, *115*, 1–70, doi:10.1007/s11214-004-1436-y.
- Morgan, H., and S. R. Habbal (2007), An empirical 3D model of the large-scale coronal structure based on the distribution of H^α filaments on the solar disk, *Astron. Astrophys.*, *464*, 357–365, doi:10.1051/0004-6361:20066482.
- Morgan, H., S. R. Habbal, and N. Lugaz (2009), Mapping the structure of the corona using Fourier backprojection tomography, *Astrophys. J.*, *690*, 1119–1129, doi:10.1088/0004-637X/690/2/1119.
- Schatten, K. H., J. M. Wilcox, and N. F. Ness (1969), A model of interplanetary and coronal magnetic fields, *Sol. Phys.*, *6*, 442–455, doi:10.1007/BF00146478.
- Smith, E. J. (2001), The heliospheric current sheet, *J. Geophys. Res.*, *106*(A8), 15,819–15,831, doi:10.1029/2000JA000120.
- Tortora, P., L. Iess, and J. E. Ekelund (2002), Accurate navigation of deep space probes using multifrequency links: The Cassini breakthrough during solar conjunction experiments, paper presented at World Space Congress, Int. Aeronaut. Fed., Houston, Tex., 10–19 Oct.

- Tortora, P., L. Iess, and R. G. Herrera (2003), The Cassini multi-frequency link performance during 2002 solar conjunction, *Proceedings of the IEEE Aerospace Conference*, vol. 3, pp. 1465–1473, Inst. of Electr. and Electr. Eng., Piscataway, N. J.
- Tortora, P., L. Iess, J. J. Bordi, J. E. Ekelund, and D. C. Roth (2004), Precise Cassini navigation during solar conjunctions through multi-frequency plasma calibrations, *J. Guidance Control Dyn.*, 27, 251–257, doi:10.2514/1.997.
- Tyler, G. L., G. Balmino, D. P. Hinson, W. L. Sjogren, D. E. Smith, R. A. Simpson, S. W. Asmar, P. Priest, and J. D. Twicken (2001), Radio science observations with Mars Global Surveyor: Orbit insertion through one Mars year in mapping orbit, *J. Geophys. Res.*, 106(E10), 23,327–23,348, doi:10.1029/2000JE001348.
- Vessot, R. F. C., and M. W. Levine (1978), A time-correlated four-link Doppler tracking system, in *A Close-Up of the Sun*, edited by M. Neugebauer and R. W. Davies, *JPL Publ.*, 78-70, 457–497.
- Wang, Y.-M., and N. R. Sheeley (1992), On potential field models of the solar corona, *Astrophys. J.*, 392, 310–319, doi:10.1086/171430.
- Winterhalter, D., E. J. Smith, M. E. Burton, N. Murphy, and D. J. McComas (1994), The heliospheric plasma sheet, *J. Geophys. Res.*, 99(A4), 6667–6680, doi:10.1029/93JA03481.
- Woo, R. (2007), Space weather and deep space communications, *Space Weather*, 5, S09004, doi:10.1029/2006SW000307.
- Woo, R., and J. W. Armstrong (1979), Spacecraft radio scattering observations of the power spectrum of electron density fluctuations in the solar wind, *J. Geophys. Res.*, 84(A12), 7288–7296, doi:10.1029/JA084iA12p07288.
- Woo, R., J. W. Armstrong, and P. R. Gazis (1995), Doppler scintillation measurements of the heliospheric current sheet and coronal streamers close to the Sun, *Space Sci. Rev.*, 72, 223–228, doi:10.1007/BF00768783.
- Zhou, X.-Y., E. J. Smith, D. Winterhalter, D. J. McComas, R. M. Skoug, B. E. Goldstein, and C. W. Smith (2005), Morphology and evolution of the heliospheric current and plasma sheets from 1 to 5 AU, in *Connecting Sun and Heliosphere: Proceedings of Solar Wind 11/SOHO 16*, edited by B. Fleck and T. H. Zurbuchen, *Eur. Space Agency Spec. Publ.*, ESA SP592, 659–662.
- J. W. Armstrong, S. W. Asmar, and R. Woo, Jet Propulsion Laboratory, California Institute of Technology, Pasadena, CA 91109, USA. (john.w.armstrong@jpl.nasa.gov)
- S. R. Habbal and H. Morgan, Institute of Astronomy, University of Hawai'i at Mānoa, Honolulu, HI 96822, USA.
- L. Iess, Dipartimento di Ingegneria Aerospaziale e Astronautica, Università di Roma "La Sapienza," I-00184 Roma, Italy.
- A. C. Richie-Halford, Los Angeles Air Force Base, El Segundo, CA 90245, USA.
- P. Tortora, DIEM, II Facoltà di Ingegneria, Università di Bologna, I-47110 Forlì, Italy.