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1 Population genomics of the model tree *Populus trichocarpa* identifies signatures of

2 selection and adaptive trait associations

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20 **ABTRACT:**

21	Forest trees are dominant components of terrestrial ecosystems that have global
22	ecological and economic importance. Despite distributions that span wide
23	environmental gradients, many tree populations are locally adapted, and
24	mechanisms underlying this adaptation are poorly understood. Here we use a
25	combination of whole-genome selection scans and association analyses of 544
26	Populus trichocarpa trees to reveal genomic bases of adaptive variation across a
27	wide latitudinal range. Three hundred ninety-seven genomic regions showed
28	evidence of recent positive and/or divergent selection, and enrichment for
29	associations with adaptive traits that also displayed patterns consistent with natural
30	selection. These regions also provide unexpected insights into the evolutionary
31	dynamics of duplicated genes and their roles in adaptive trait variation.
32	
33	A suite of forces and factors, including mutation, recombination, selection,
34	population history, and gene duplication influence patterns of intraspecific genetic
35	variation. Distinguishing which factors have shaped sequence variation across a genome
36	requires extensive whole-genome sequencing of multiple individuals, which has only
37	recently become tractable ¹ . Most large-scale whole-genome resequencing studies have
38	focused on model and domesticated species ^{1–5} . However, large-scale genome sequencing
39	of natural populations holds great promise for advancing our understanding of

40 evolutionary biology, including identifying functional variation and the molecular bases

41 of adaptation. Recent work in a number of species has identified genomic regions that

42 show signatures of positive selection and infer that such regions contain loci that control

adaptive traits^{4,6–8}. Relatively few studies, however, have combined genome-wide scans
with phenotypic data to determine if computationally-identified selected regions
influence adaptive phenotypic variation^{5,9–13}. Genome-wide studies of large natural
populations combined with phenotypic measurements are necessary to determine which
factors shape patterns of genetic variation within species, and therefore enhance our
understanding of adaptation.

49 With large geographic ranges spanning wide environmental gradients and a long history of research demonstrating local adaptation¹⁴, forest trees are ideal for examining 50 51 the processes shaping genetic variation in natural populations. Forest trees cover approximately 30% of terrestrial land area¹⁵, provide direct feedback to global climate¹⁵, 52 53 and are often foundation species that organize entire biotic communities and biogeochemical systems^{16,17}. Clearly, biotic and abiotic interactions have influenced 54 55 population sizes and distributions of forest trees, leaving diagnostic signatures in the genomes of present-day populations^{14,18,19}. A deeper understanding of the evolutionary 56 57 and ecological forces that shaped these patterns will offer insights and options for 58 ecosystem management, applied tree improvement, and accelerated domestication efforts²⁰. 59

Black cottonwood, *Populus trichocarpa* Torr. & Gray, is a dominant riparian tree
that has become a model for the advancement of genomic-level insights in forest trees²¹.
The sequencing of 16 *P. trichocarpa* genomes revealed widespread patterns of linkage
disequilibrium (LD) and population structure²² and extensive genecological studies have
revealed a high degree of adaptive phenotypic variation in growth, vegetative phenology
and physiological traits such as water use efficiency and photosynthesis^{23–25}, suggesting

66 that local adaptation is prevalent. To date, candidate gene association analyses have 67 revealed loci with significant effects on phenotypic traits^{26,27}. However, thus far there 68 have been no publications describing whole-genome associations for adaptive traits in P. 69 *trichocarpa*, and their relationship to signatures of selection in any forest tree species. 70 One of the salient features of the *P. trichocarpa* genome is a remarkably well-71 conserved whole-genome duplication that is shared by all members of the Salicaceae and near relatives: the Salicoid duplication^{28,29}. Despite the extensive occurrence of segments 72 73 of collinear paralogous genes, over two-thirds of the duplicate pairs have been lost since 74 the duplication event and there are substantial functional biases in the remaining gene 75 pairs, in particular, an overabundance of gene categories with large numbers of proteinprotein interactions^{30,31}. A major unexplored question is whether the fundamental, 76 77 diagnostic differences in diversity between retained duplicate pairs and genes lacking 78 paralogs from the Salicoid duplication (singletons) are connected to patterns of natural 79 selection and adaptive phenotypic variation. 80 Here we report the whole-genome resequencing of a collection of 544 *P*. 81 *trichocarpa* individuals, spanning much of the species' natural latitudinal range, that have 82 been clonally replicated in three contrasting environments. We use this resource to detect 83 signatures of recent selection across the *Populus* genome and on adaptive traits 84 themselves. We also show that the signals of association with adaptive traits are stronger 85 in positively selected regions. Finally, we demonstrate that Salicoid duplicate genes have 86 distinctive patterns of adaptive variation that reveal the evolutionary effects of dosage 87 constraints.

88

89

RESULTS

Polymorphism and population structure

92	From high-coverage whole-genome sequencing of 544 unrelated P. trichocarpa
93	individuals (Fig. 1a, Supplementary Table 1) we collected over 3.2 Tbp of data that
94	aligned to 394 Mbp of the P. trichocarpa genome. Approximately 87.5% of the 3.2 Tbp
95	was accessible for analysis based on median sequencing depth across all samples
96	(Supplementary Fig. 1). From these data, we detected 17,902,740 single nucleotide
97	polymorphisms (SNPs).
98	Using this resource, there was a two-fold higher nucleotide diversity in intergenic
99	sequence than in genic sequence, largely consistent with purifying selection (Table 1).
100	Diversity was particularly low in coding sequence, where nonsynonymous diversity was
101	one-third that of synonymous diversity. Most SNPs were rare (MAF ≤ 0.01), particularly
102	those predicted to have major effects (e.g., splice site mutations) (Table 1, Supplementary
103	Fig. 2). We also identified 5,660 large (>100 bp) and 254,464 small (<50bp)
104	insertion/deletion (INDEL) polymorphisms, which will be described in detail in a
105	separate publication.
106	Based on principal components analysis (PCA) of all 17.9 million SNPs, we
107	identified four major regional genetic groups corresponding to geographical origin (Fig.
108	1a). We also found genetic-geographical structure within regional groupings that
109	clustered as separate subgroups within source locations (Fig. 1b). These data indicate that
110	there is genome-wide genetic structure at both broad latitudinal and local spatial scales.
111	

112 **Phenotypic evidence of selection**

113	We examined two different indicators of selection using phenotypic data from
114	three clonally replicated plantations representing the center and southern extent of the
115	extant range of <i>P. trichocarpa</i> . We found that quantitative differentiation (Q_{ST}) in height,
116	spring bud flush, and fall bud set among source rivers was greater than genome-wide
117	marker differentiation (F_{ST}) (Fig. 2a), suggestive of spatially divergent selection ³² , as is
118	commonly observed in forest trees ^{14,24,25} . Furthermore, at all three plantations, these same
119	adaptive traits show correlations with multivariate climate variables (Fig 2b-d;
120	Supplementary Fig. 3). Warmer climates (negative PC1) are associated with earlier bud
121	flush and later bud set, strongly supporting the hypothesis that climate is a major
122	determinant of adaptive genetic variation throughout the sampled range of <i>P</i> .
123	trichocarpa ^{24,25} .
124	Recent positive and divergent selection
125	We next attempted to relate the strong evidence of climate-driven, divergent
126	selection on adaptive traits to genomic regions that also appear to be affected by natural
127	selection. We examined five distinct metrics of natural selection using 1-kb windows

128 across the genome. These metrics included allele frequency differentiation among

129 subgroups (F_{ST}), allele frequency cline steepness across mean annual temperature and

130 precipitation measurements (SPA³³), extended haplotype homozygosity around alleles

131 from rapid allele frequency increase (iHS^8) , and allele frequency clines with each of the

132 first two climate principal components axes (bayenv³⁴, PC1 and PC2, respectively). From

this data we classified the empirical top 1% of windows/regions as "selection outliers,"

i.e., regions with unusually strong polymorphism patterns consistent with recent

135	positive/divergent selection (Fig. 3, Supplementary Fig. 4 & 5, Supplementary Tables 2-
136	6). Most of the selection outlier regions occurred uniquely among selection scan metrics,
137	suggesting that each metric provides a distinct view of selection and that different
138	selective forces are shaping these genomic regions (Fig. 3a). However, we found 397
139	regions in the top 1% for at least two of the selection scan metrics; we termed these
140	regions "candidate selection regions" (CSRs) (Supplementary Table 7).
141	We tested whether the genes spanning or nearest to these CSRs (452 genes) and
142	the selection outliers (1418, 1718, 1151, 257, and 312 genes for F_{ST} , SPA, iHS,
143	bayenvPC1, and bayenvPC2, respectively) were overrepresented among annotation
144	categories, gene families or genes with known involvement in several biological
145	processes (Supplementary Tables 8-11, Fig. 3). Based on Fisher exact tests, certain
146	functional categories were overrepresented, including GO annotations related to:
147	response to stimuli, 1,3- β -glucan (callose) synthesis, and metabolic processes, as well as
148	panther annotations for leucine-rich repeat receptor-like protein kinase and homeobox
149	protein transcription factors (Supplementary Tables 8-10).
150	Despite some similarities, genes associated with the top 1% of each scan were
151	generally overrepresented in unique categories (Fig. 3). For example, transcription factors
152	(TFs) as a group were overrepresented among F_{ST} and SPA outliers; DELLA proteins
153	(PF12041, gibberellin-interacting transcriptional regulators), among F_{ST} and bayenvPC2;
154	and phytochromes (PF00360), genes involved in photoperiodic/circadian clock regulation,
155	ATPase activity, and transmembrane movement (e.g., GO:0042626) were only
156	overrepresented in F_{ST} (Supplementary Tables 8,9). Heat shock-related annotations were
157	significantly overrepresented only in SPA (PTHR10015, PTHR11528), while proteins

158	induced by water stress or abscisic acid (PF02496) were overrepresented in bayenvPC2
159	and SPA outliers. 4-nitrophenylphosphatase, a hydrolase, was overrepresented among
160	bayenvPC1 and weakly in F_{ST} (Supplementary Table 9). Class-III aminotransferases
161	(PTHR11986, involved in abiotic stress ³⁵) were overrepresented most strongly in
162	bayenvPC2 (Fig. 3).
163	Intriguingly, while moderate-effect SNPs were underrepresented among genic
164	regions of all selection scan outliers, presumably due to purifying selection, SNPs with
165	predicted high impacts were overrepresented among strong sweep loci implicated by the
166	iHS scans (Supplementary Table 12), potentially because SNPs with major, presumably
167	beneficial effects are more likely to be swept to high frequency. Because different
168	selection processes (e.g., hard sweeps vs. subtle frequency shifts of standing variation)
169	will influence diversity patterns differently, these five metrics reveal an assortment of
170	potential selection pressures acting on P. trichocarpa through the largely non-
171	overlapping regions identified in each
172	
173	Adaptive trait associations in candidate selected regions
174	If climate is a major force driving the signatures of positive selection, we predict
175	polymorphisms in these regions to be associated with climate-related adaptive traits. In
176	particular, vegetative bud phenology should be a major determinant of fitness in these
177	perennial populations, since timing of the onset and release of dormancy is largely shaped
178	by photoperiod and temperature regimes ^{23,24} . Indeed, genes related to photoperiod,
179	drought, and stress response were overrepresented among the selection outliers
180	(Supplementary Table 11). To more directly test this hypothesis, we performed a

181	genome-wide association study (GWAS) with spring bud flush, fall bud set, and tree
182	height measured at the three test sites, accounting for population stratification and
183	background genetic effects in a mixed model framework for both univariate ³⁶ and
184	multivariate traits ³⁷ (Fig. 1b, Supplementary Tables 1 & 13, Supplementary Fig. 6-10).
185	More specifically, we found that those regions in the top 1% of scans had stronger
186	adaptive trait association signals at all three test sites than expected by chance (i.e., the
187	observed mean association signal was stronger than randomly resampled windows,
188	controlling for gene density; all $p < 0.00005$; Fig. 4, Supplementary Fig. 11). This was the
189	case for all scans, including those based on spatial variation in allele frequency (e.g., F_{ST} ,
190	bayenv) as well as those based on long haplotypes (iHS). This correspondence is
191	therefore unlikely to be artifactual, supporting the hypothesis that these outlier regions
192	are partly driven by selection on adaptive traits.
193	We found strong associations for both univariate analyses as well as the multi-
194	trait GWAS for each trait among test sites (Supplementary Table 13). Though some of
195	the strongest univariate associations were also identified in the multiple-plantation
196	GWAS, many associations were non-overlapping, perhaps due to the strong
197	environmental differences among the locations, which ranged from cool and wet
198	(Clatskanie, OR) to hot and dry (Placerville, CA). Strikingly few individual height-
199	associated SNPs overlapped in comparisons between the Placerville, CA plantation and
200	the other two sites.
201	

203

Dormancy-related candidate genes in the selection and GWAS regions

204 A number of dormancy-related genes were near the strongest GWAS and 205 selection signals. A region on chromosome 10, characterized by high LD, was one of the CSRs and was associated with bud flush ($p=5.19 \times 10^{-6}$, Fig. 5). The strongest selection 206 207 signal occurred near Potri.010G079600, a DNA-damage repair protein, and a number of 208 lipid biosynthesis transferases. A strong bud set association also occurred near this region 209 (Clatskanie and Corvallis, Supplementary Fig. 12). The strongest association signal 210 $(p=5.69 \times 10^{-7})$, within 15 kb of a CSR, was just downstream of the coding region of 211 Potri.010G076100, a ureidoglycolate amidohydrolase (UAH) whose leaf and root expression is down-regulated with short days³⁸. Ureides are transportable intermediates 212 213 of purine catabolism, and by catalyzing the final step in ureide catabolism, UAH plays a role in the remobilization of nitrogen³⁹. The ureide allantoin is also known to influence 214 ABA metabolism and promotes abiotic stress tolerance in Arabidopsis³⁹. However, to 215 216 our knowledge, ureides and UAH have not previously been implicated as having 217 important roles in seasonal N cycling or cold tolerance in *Populus*. 218 Among the photoperiodic and dormancy genes we found an F_{ST} outlier, Potri.010G179700 (FT2), which influences growth cessation in Populus⁴⁰. This gene had 219 220 an intronic SNP strongly associated with bud set and height (p<0.00015, Supplementary 221 Table 13) and was near strong SPA and bayenv outliers. A second gene, 222 Potri.008G117700 (similar to PFT1), occurred as an F_{ST} outlier region and was within 5 223 kb of several multi-trait association signals ($p=7.17 \times 10^{-5}$). Arabidopsis *PFT1* is hypothesized to influence both defense and phytochrome B-mediated FT regulation⁴¹. 224

225	Among the strongest bud flush associations ($p=2.72 \times 10^{-14}$) was a nonsynonymous
226	mutation in a 4-NITROPHENYLPHOSPHATASE locus, Potri.008G077400 (Clatskanie
227	and Corvallis, Fig. 6). This mutation is in high LD with many other significantly
228	associated SNPs in the surrounding 40 kb, including Potri.008G076800, (FAR1
229	transcription factor) and Potri.008G077300 (UDP-galactose transporter), and is in an F_{ST}
230	and bayenvPC1 outlier region. In this same region there is a bud flush association signal
231	in all three test sites ($p=2.01 \times 10^{-7} - 1.08 \times 10^{-5}$) within Potri.008G077700 (<i>FT1</i>), a gene
232	previously implicated in <i>Populus</i> dormancy cycling ⁴² . However, it appears to be an
233	unlinked ($r^2=0.14$), separate association signal from that in Potri.008G077400.
234	In summary, we have detected genomic regions with patterns of diversity that are
235	consistent with divergent and/or recent positive selection on a range of traits, and
236	particularly on climate-related phenological and growth patterns. While our selection
237	scans and GWAS analyses identified genes previously known to influence adaptive traits,
238	they have also identified many loci of unknown function, which would not have been
239	considered in any a priori candidate gene approach. Furthermore, the results and
240	discussion presented above focus primarily on vegetative phenology, but many other
241	traits are likely to be involved in determining fitness in these highly variable
242	environments. In fact, the CSRs contained genes that have been implicated in controlling
243	numerous other adaptive characteristics, including temperature stress tolerance, ion
244	uptake and homeostasis, insect and pathogen defense, and reproduction. These are
245	discussed in more detail in a Supplementary Note.
246	

248 Duplication and Network Connectedness

249 We tested whether genes associated with selection outliers were over- or under-250 represented among the 7,906 identified gene pairs resulting from the Salicoid wholegenome duplication^{29,31} (hereafter referred to as "Salicoid duplicates"), vs. genes that 251 252 occur as singletons (Table 2). These analyses suggest that recent positive selective 253 sweeps (indicated by iHS) are less likely for retained Salicoid duplicates than for 254 singleton genes, but when one occurs, the sweep tends to occur for both duplicates. We 255 also found that genes nearest to the individual F_{ST} , SPA, and iHS outliers had more 256 predicted protein-protein interactions (PPI) than genes in the rest of the genome 257 (Supplementary Fig. 13; $p \le 0.05$). Furthermore, PPI were negatively correlated with 258 nucleotide substitutions ($\pi_{\rm T}$, $\pi_{\rm S}$, and $\pi_{\rm Nonsynymous}/\pi_{\rm Synonymous}$ ratio; r < -0.06, p<0.0001). These 259 results suggest that patterns of selection (both purifying and positive) are influenced by 260 genomic context, including past whole-genome duplication events and gene or protein-261 protein interactions. We discuss these analyses further in the Supplementary Note. 262

263 **DISCUSSION**

A primary goal of evolutionary biology is to determine the influences of positive and purifying selection, as well as neutral forces in shaping genetic variation. Natural populations spanning wide climatic gradients offer an ideal opportunity to investigate these patterns. We sequenced over 500 *P. trichocarpa* individuals from across much of the species range and identified over 17 million SNPs (Table 1, Fig. 2). These polymorphisms revealed significant spatial/geographic structure, even at fine scales. As previously suggested based on small-scale sequencing and genotyping²², such patterns

appear to have resulted from a combination of restricted gene flow and complexdemographic history.

273 Geographically structured, adaptive phenotypic variation is common among forest trees^{14,24,43}. Climate is a fundamental driver of such variation^{14,24,25}, and we identified 274 275 quantitative trait differentiation and climate-related variation within our sample 276 consistent with this pattern. However, the molecular and evolutionary processes 277 underlying such adaptation often remain unknown. While genome-wide polymorphism 278 patterns suggest strong purifying selection throughout genic space, we also identified 279 regions of the genome with unusually long haplotypes, among population differentiation, 280 and climatic gradients consistent with recent positive or divergent selection. Genes within 281 these regions contain a variety of annotations plausibly related to local biotic and abiotic 282 conditions, including photoperiod-responsive and dormancy-related loci, insect and 283 pathogen defense, abiotic stress tolerance, and phenylpropanoid metabolism. Such genes 284 provide excellent targets for natural selection and for functional studies aimed at 285 elucidating the drivers of local adaptation in black cottonwood and other species. 286 These largely non-overlapping regions also provide insight into the variety of 287 selection pressures and modes of selection acting within and among populations. For 288 instance, classic, recent selective sweeps (iHS) are overrepresented among genes with 289 annotations associated with heavy metal homeostasis and symbiosis. On the other hand, if 290 climate-driven selection primarily acts upon standing variation rather than new mutations, 291 subtle allele frequency shifts among populations for many loci of small effects may be 292 expected rather than hard selective sweeps. This is consistent with relatively little overlap 293 among outlier regions identified with bayenvPC2 and iHS. Adaptation, therefore, likely

occurs through different process for different mutations, perhaps dependent on mutation
 age, trait heritability and penetrance, and number of loci involved as has been suggested
 to occur in human populations⁴⁴.

297 Remarkably, the selection outlier loci were also enriched for polymorphisms 298 associated with adaptive traits like bud flush, bud set, and height. While factors such as 299 stratification and linkage may produce erroneous associations⁴⁵, mapping traits to 300 computationally identified selection regions lends greater support to their functional 301 significance. Similar patterns have been observed in the model annual plant Arabidopsis, 302 where genomic regions showing signatures of selection are structured by climate variation^{9,12} and co-located with adaptive trait associations⁹. Similar examples have been 303 identified in domesticated crops^{5,11}. However, to our knowledge this is the first report of 304 305 such concordance in a widespread, ecologically important undomesticated plant species. 306 We recognize that complex peaks of association may also be partially responsible 307 for the overlap between selection scans and GWAS and differences in GWAS signal 308 among gardens. LD combined with spurious patterns of random mutation or neutral stratification may produce synthetic associations⁴⁵ and/or composite phenotypes driven 309 by multiple causal loci⁴⁶. However, there is no reason to expect this correlative effect at 310 311 high frequency on a genome-wide scale. Therefore, our findings suggest that the outliers 312 contain variation relevant to adaptation based on their statistically stronger than expected 313 adaptive trait association signal.

The power of combining selection scans and association analyses is well illustrated by insights gained from our study into winter dormancy control in natural settings. Building upon previous functional studies under highly controlled

environments^{40–42,47}, our results support a model of vegetative bud set and spring bud 317 318 flush timing that centers on regulation of expression and symplastic mobility of the FT1 319 and FT2 proteins. FT1 is known to be transiently induced by chilling during winter and promotes the floral transition⁴⁰. However, associations of *FT1* with vegetative bud flush 320 321 suggest an additional function. Prolonged chilling releases endodormancy, the timing of 322 which is correlated with bud flush through subsequent accumulation of warmtemperature units²⁴. Moreover, the timing of the reopening of callose-plugged symplastic 323 324 paths, endodormancy release, and *FT1* upregulation are correlated⁴². Based on our 325 association results, we hypothesize that *FT1* is also involved in regulating endodormancy 326 release, and hence subsequent bud flush timing. 327 Reported studies of Populus CEN1, a flowering repressor and homolog of the FT antagonist *TFL1*, also provide support for this model⁴⁸. Its winter expression is low when 328 329 FT1 expression is high, but CEN1 is highly and transiently upregulated shortly before 330 bud flush. However, constitutive overexpression of CEN1 delays endodormancy release 331 and bud flush⁴⁸. In Arabidopsis, the balance between *FT* and *TFL1* appears to be central to the transition to flowering versus maintenance of indeterminate meristems⁴⁹. Thus, 332 333 *CEN1* might counterbalance *FT1* promotion of endodormancy release. In this model, the 334 relative timing of FT1 regulation could influence phenotypic variation observed in bud 335 flush timing. 336 Patterns of adaptive variation are not independent of genomic history, and large-337 scale events such as whole-genome duplications can alter the evolutionary trajectories of

338

recent hard selective sweeps are less likely for genes retained from genome duplication,

certain loci. The deficiency of Salicoid duplicates among iHS outliers indicates that

possibly because of fitness costs associated with altered function and/or stoichiometry of
paralogs with large numbers of protein-protein interactions^{50,51}. Furthermore, selective
sweeps tend to affect both paralogs of a duplicated pair when they do occur, providing
further support for the role of dosage constraints in duplicate gene evolution.

344 This is not to suggest that dosage constraints are the sole or even the primary 345 drivers of the retention and evolution of duplicate genes. Abundant evidence supports subfunctionalization and neofunctionalization of Salicoid duplicates³¹. The case of the *FT* 346 347 paralogs is again illustrative. FT1 and FT2 are Salicoid duplicates with divergent 348 functions affecting distinct aspects of phenology, and displaying diametrically opposed expression patterns in *Populus*⁴⁰. While *FT1* is primarily expressed during winter in 349 350 dormant buds, FT2 is mainly expressed during the growing season, maintaining vegetative growth⁴⁰. Short days during fall lead to *FT2* suppression, in part through 351 phytochrome influence on the transcription factor *PFT1*^{40,41}. In support of this model, we 352 353 found bud set associations with FT2 and a PFT1 paralog, and bud flush associations for 354 FT1. This remarkable divergence in function demonstrates the adaptive potential of Salicoid duplicate pairs, consistent with classic models of duplicate gene evolution^{52,53}. 355 356 Intriguingly, a Salicoid duplicate pair that occurred in the CSRs are 1,3-β-glucan 357 [callose] synthase homologs (Potri.002G058700 & Potri.005G203500). Arabidopsis 358 callose synthases, when expressed in the phloem, deposit callose in the plasmodesmata, altering sugar and signaling molecule transport^{54,55}. Returning to the phenological model 359 outlined above, Rinne et al.⁴² hypothesized the formation and degradation of callose 360 361 plugs to be a control point for dormancy onset and release, possibly blocking

translocation of *FT1/FT2*. These duplicates may also have divergent functions and
expression patterns, similar to those observed for the *FT* paralogs.

364 Our findings have important implications for understanding mechanisms of 365 adaptation of ecologically dominant plants with widespread distributions. While forestry 366 trials have for over 200 years indicated substantial local adaptation of dominant trees⁵⁶, 367 ours is the first to explore the genomic legacy of this selection across the entire genome 368 and highlight both the wide range of selection pressures as well as the climatic influence 369 on phenological systems. These findings also have important implications for the 370 management of natural populations in the face of environmental change. Traditionally 371 seed transfer zone guidelines have required large numbers of plantations to accurately estimate transfer parameters⁵⁷. Computationally identifying adaptive variants through 372 373 selection scans and genome-wide phenotypic prediction could provide information in the 374 absence of extensive plantation trials, maximizing genetic diversity while matching 375 germplasm to current and future environmental pressures. Management and modification 376 of such genetic diversity will undoubtedly impact dependent biotic communities and ecosystem functioning, which are known to be influenced by tree genetic variation¹⁷. 377 378 The 17.9 million SNPs we identified represent naturally segregating variants 379 found in wild populations, which can be utilized for multiple objectives. Forest tree 380 improvement has traditionally relied upon natural variation in breeding programs through targeted crossing based on superior phenotypes²⁰. The availability of whole-genome 381 382 sequences can enable alternative breeding approaches, including genome-wide phenotypic prediction⁵⁸ and breeding with rare defective alleles, which relies on rare, 383

384 recessive mutations of large effect that are commonly heterozygous and therefore masked

from many approaches⁵⁹. Most SNPs found here are intergenic and uncommon, but many 385 386 have predicted major effects in genic regions. Several SNPs of the latter type are in the 387 candidate selection regions, including altered start and stop codons and alternative splice 388 variants, which could represent an immediate set of tractable targets for breeding 389 programs constrained by long generation times. Several occur at high frequency in the 390 isolated southern or northern populations, demonstrating that sampling populations 391 throughout the range, including marginal populations, will yield many more variants of 392 potential utility.

- **393 Online Methods see separate document**
- 394 URL
- 395 <u>http://www.phytozome.net/poplar.php</u>

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408 **COMPETING FINANCIAL INTERESTS**

409 The authors declare no competing financial interests

410 Author Contributions:

411 G.A.T., S.P.D., G.T.S., & L.M.E. conceived and designed the study. All authors

412 performed measurements. L.G., J.M., & W.S. performed sequencing. L.M.E., S.P.D.,

413 G.T.S., E. R.-M., J.M., P.R., W.M., & W.S. performed analyses. L.M.E., S.P.D. and

414 A.M.B. drafted the manuscript. All authors read, revised, and approved the

415 **manuscript**.

416 **FIGURE LEGENDS**:

- 417 **Figure 1.** Geographic locations and genetic structure of the 544 *P. trichocarpa*
- 418 individuals sequenced. **a.** Map of collection locations of the 544 *P. trichocarpa*
- 419 genotypes sampled in this study from along the Northwest coast of North America, with
- 420 the species range shaded in tan, and PCA of all 544 individuals color-coded by general
- 421 geographic regions. Yellow diamonds represent plantation locations. **b.** PCA of the
- 422 central WA/BC group of individuals (outlined by box in part (a)) color-coded by
- 423 collection river. The percent of the variance explained by the first two PC axes for both
- 424 the regional analysis and the WA/BC group is shown.
- 425

426 **Figure 2.** Phenotypic evidence of climate-driven selection in *P. trichocarpa*. **a.** Patterns

427 of quantitative trait differentiation (Q_{ST}) are stronger than genome-wide differentiation

428 (F_{ST}) among sampled geographic locations. Shaded area represents the 95% confidence

- 429 interval (CI) of F_{ST} , while points and bars represent the point and 95% CI of Q_{ST} . b-d.
- 430 Genotypic estimates of best linear unbiased predictors for adaptive traits growing in
- 431 multiple plantation environments show strong correlations with the first principal
- 432 component of 20 climate variables measured at the collection location. Negative PC1
- 433 values are associated with warmer conditions, while more positive bud flush and bud set

434	BLUPs indicate more earlier flush or set, respectively. Correlation coefficient and p-
435	value are shown above each.

437	Figure 3. Unique and shared genomic regions among five selection scans. a. A Venn
438	diagram of the number of regions throughout the genome in the top 1% for each selection
439	scan. b. Overrepresentation p-value for panther annotation categories in selection outliers.
440	Only the 10 most strongly overrepresented categories for each selection scan are shown.
441	
442	Figure 4. The selection outliers have a stronger association signal with adaptive traits
443	than expected by chance. a-c. The genome-wide distribution of association signal in 1-kb
444	windows through the genome (blue; left axis) and the association within the selection
445	outliers (green; right axis; red line indicates mean) for three traits in different gardens.
446	
447	Figure 5. A region of chromosome 10 that displays an abundance of bud flush
448	association and strong evidence of selection from multiple different selection scans.
449	Dashed lines represent the 1% cutoff mark for selection scans.
450	
451	Figure 6. A region of chromosome 8 that displays multiple strong bud flush associations,
452	in addition to evidence of positive selection. Dashed lines represent the 1% cutoff mark

for selection scans.

Table 1. Per-site nucleotide diversity, π , estimated across the genome for all annotated

456	features of the P	. trichocarpa v3	genome,	and the	number of	of variants	annotated	in e	ach
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457 class using SnpEff^{60} .

Feature	π (median and central 95% range)		
Overall	0.0041 (0.0004-0.01226)		
Intergenic	0.0064 (0.0012-0.0125)		
Genic ^a	0.003 (0.0006-0.0106)		
5'UTR	0.0028 (0.0001-0.0114)		
3'UTR	0.0033 (0.0001-0.0123)		
Intron	0.0034 (0.0005-0.0114)		
Coding Sequence	0.002 (0.0002-0.0111)		
Nonsynonymous	0.0018 (0-0.0122)		
Synonymous	0.0054 (0-0.0348)		
$\pi_{ m Nonsyn}/\pi_{ m Synon}$	0.3179 (0-14.5447)		
Annotation	Number of variants ^b		
Intergenic	14,520,224		
Intron	1,962,848		
Non-synonymous coding	612,655		
Non-synonymous start	253		
Start lost	1631		
Stop gained	18,702		
Stop lost	2175		

Splice site acceptor	3748
Splice site donor	4449
Synonymous coding	386,103
Synonymous stop	959
3' UTR	389,771
5' UTR	169,083

459 ^a Predicted transcript from 5' to 3'UTR

460 ^b Total is greater than total observed number of variants because some SNPs have

461 multiple annotations for alternative transcripts

462

464 Table 2. Tests of over- and underrepresentation of retained Salicoid duplicate genes and
465 pairs among the selection outliers. Shown are the number of genes in each category and
466 the associated p-value. 39,514 genes are found on the 19 chromosomes, with 7609 pairs
467 from 15,797 genes.

468

	Duplicate		Duplicate	
	Genes in		Pairs in	
Selection	Outlier	Fisher's Exact	Outlier	Fisher's Exact
Scan	Regions	Test (p-value) ^a	Regions	Test (p-value) ^a
CSR	178	NS (0.623)	2	NS (0.263)
F _{ST}	674	Over (2.8x10 ⁻⁹)	27	Over (0.002)
SPA	741	Over (0.004)	24	NS (0.065)
iHS	348	Under (3.0×10^{-12})	8	Over (0.039)
BFPC1	100	NS (0.661)	1	NS (0.263)
BFPC2	134	NS (0.156)	0	NS (1)

469

^a NS, not significant; Over or Under, genes or pairs were significantly

471 overrepresented or underrepresented within outlier regions, respectively,

472 compared to genome-wide expectation.

474 Methods

475 Sequencing, assembly, and variant calling

476 We obtained plant materials from 1100 black cottonwood (Populus trichocarpa 477 Torr & Gray) from wild populations in California, Oregon, Washington, and British Columbia, as previously described²². We resequenced a set of 649 genotypes to a 478 479 minimum expected depth of 15x using the Illumina Genome Analyzer, HiSeq 2000, and 480 HiSeq 2500. Sequences were down-sampled for those individuals sequenced at greater 481 depths to ensure even coverage throughout the population (Supplementary Fig. 1a). Short 482 reads were then aligned to the *P. trichocarpa* version 3 genome using BWA 0.5.9-r16 with default parameters⁶¹. We corrected mate pair metadata and marked duplicate 483 484 molecules using the FixMateInformation and MarkDuplicates methods in the Picard 485 package (http://picard.sourceforge.net). Next, we called SNPs and small indels for the 486 merged dataset using SAMtools mpileup (-E -C 50 -DS -m 2 -F 0.000911 -d 50000) and beftools $(-begv - p \ 0.999089)^{62}$. 487

488 480 Como

489 Genotype validation

490 We compared the samtools mpileup genotype calls for 649 individuals to 22,438 491 SNPs assayed on the *Populus* Illumina Infinium platform, which was designed based on assembly version $2.0^{22,63}$. These were high-quality SNPs that we could confidently place 492 493 on the v3 reference genome. The 649 individuals had, on average, a 97.9% match rate. 494 SNPs with a minor allele frequency (MAF) ≥ 0.05 had a match rate of 98.1%, while those 495 with MAF ≤ 0.01 (n=159 SNPs) had a match rate of 78.2%, similar to other published studies^{4,64,65}. Stringent filtering had minimal impact on match rate, though it reduced 496 497 substantially the number of known SNPs passing the filtering thresholds. For example, 498 requiring an individual minimum depth of 3, minimum mapping quality of 30, minor 499 allele count of 15, and minimum quality score of 30 increased the false negative rate by 500 3.9%, but only increased the match rate by 0.3%. Therefore, no additional filtering after 501 samtools mpileup variant calling was performed.

Nisqually-1 was the original individual sequenced by Tuskan et al.²⁹ using Sanger 502 503 technology, and it was also resequenced during this study using the Illumina platform. 716,691 heterozygous polymorphisms found in the v3.0 reference genome assembly 504 505 (http://www.phytozome.net/poplar.php) had at least three Sanger reads of each allele, and 506 therefore had strong evidence of being heterozygous in the Sanger assembly. In the 507 current study, we correctly identified 557,738 of these (77.82%), including 3,205 of 508 3,220 singleton variants in Nisqually-1 in the Illumina data, suggesting a 22.18 % false 509 negative rate. Conversely, of 1,115,963 heterozygous positions identified in Nisqually-1 510 in the current Illumina genotyping, 972,254 had at least one Sanger read supporting each 511 allele, suggesting a 12.86 % false positive rate. All of these comparisons were done with 512 no filtering of the samtools mpileup genotype calls. It is important to note that errors 513 occur in both the Sanger and Illumina methods, so these are likely to be overestimates of 514 the true error rates in the resequencing SNP data.

515

516 The Accessible Genome

517 Next, we identified the *Populus trichocarpa* "accessible genome" as those
518 positions that had sufficient read depth across enough individuals to enable genotypes to
519 be accurately determined (similar to the approach used in the 1000 Genomes Project¹).

520 We estimated the median and interguartile range of depth for each position in the genome, 521 for all sequenced individuals, using samtools mpileup. With our target of 15X coverage, 522 "accessible" positions were those with median depth between 5 and 45 (inclusive) and 523 with an interquartile range less than or equal to 15 (Supplementary Fig. 1a,b). Of the 524 394,507,732 positions that were sequenced across all individuals, 345,217,484 met these 525 criteria (~87.51%), 17,902,170 of which were single nucleotide polymorphisms (SNPs) 526 (15,454,190 biallelic). We observed a slight deficiency of heterozygotes at lower depth 527 positions; however, these positions cumulatively comprise only between 0.7 and 2.5% of 528 positions at an uncorrected HWE p-value threshold of 0.001 (Supplementary Fig. 1c). 529 Furthermore, these cutoffs did not bias the outcomes of selection scans throughout the 530 genome, as putative selection outliers (see below) had a very similar distribution of depth 531 as the rest of the genome (Supplementary Table 14) and there was no relationship with 532 association p-value (see below; all Pearson |r| < 0.005, Supplementary Fig. 1d).

533

534 Relatedness, Hybridization, and Population Structure

535 We next identified individuals that showed evidence of admixture with other 536 species of *Populus* because hybridization is common within the genus⁶⁶. We used 7 537 additional individuals sequenced to at least 32X depth as above: 3 P. deltoides, 1 P. 538 fremontii, 1 P. angustifolia, 1 P. nigra, and 1 P. tremuloides. These were aligned to the P. 539 trichocarpa v3.0 reference genome using Bowtie2 in local alignment mode and default parameters⁶⁷, and variants were called using the samtools mpileup function for each 540 species separately. We then used smartpca⁶⁸ to identify sampled individuals in this study 541 542 that were genetically similar to these alternative species. This method identified 3 543 individuals that appear intermediate between the *P. trichocarpa* cluster and an alternate 544 species (Supplementary Fig. 14).

545 We performed similar analyses using overlapping genomic regions from 32 *P*. 546 *balsamifera* transcriptomes (provided courtesy of Dr. Matt Olson, Texas Tech University; 547 Supplementary Fig. 15), and, separately, the Illumina Infinium array data, which 548 contained additional individuals of alternative species⁶³. These identified an additional 549 three genetically intermediate individuals. These 6 potentially admixed individuals were 550 removed from subsequent analyses.

We next identified and removed individuals more related than first cousins using the program GCTA⁶⁹. Because this, like most other relatedness estimates, relies on allele frequency estimates within populations, it was necessary to first identify genetic clusters. We iteratively identified genetic clusters using PCA⁶⁸, each representing a putative genetic group. We removed related individuals within each from further analyses, leaving a total of 544 individuals, which were used for all subsequent analyses.

557 To assess population structure, we used PCA analyses with these unrelated 544 558 individuals. This identified roughly 4 major groupings (Figure 1a). We then performed 559 PCA analysis using only those individuals from the Washington/British Columbia group 560 to investigate finer-scale structure (Fig. 1b). PCA was performed using all 17.9 million 561 SNPs.

562

563 **Phenotypic Evidence of Selection**

- below for details of estimation) to differentiation among rivers for second-year height and
- 567 fall and spring phenology using data collected from three replicated plantations (Q_{ST}).
- 568 Briefly, over 1000 *P. trichocarpa* genotypes were planted in 2009 in three replicated
- common gardens (Clatskanie and Corvallis, OR, and Placerville, CA) in a randomized
- 570 block design with three replicates of each genotype. In 2010, we measured spring bud
- 571 flush, fall bud set, and total height in each garden. We removed within-garden micro-site
- variation using thin-plate spline regression (*fields* R package), then estimated among river,
- among genotypes within rivers, and residual variance components (σ_{R}^{2} , σ_{G}^{2} , and σ_{ϵ}^{2} ,
- respectively) using mixed-model regression (*lmer* function of the *lme4* R package). Q_{ST} was estimated at the river level as $\sigma_R^2/(\sigma_R^2 + 2*\sigma_G^2)^{32}$. A 95% confidence interval of Q_{ST} was estimated by resampling rivers, with replacement, 1,000 times and estimating Q_{ST} for
- each bootstrapped dataset. We directly compared the 95% CIs for Q_{ST} and F_{ST} . We note that in using clonal replicates σ^2_{G} includes additive and non-additive genetic effects,
- rather than the additive genetic variance alone; however, simulations have shown that this approach lowers Q_{ST} estimates, and is therefore a conservative test of $Q_{ST} > F_{ST}^{70}$.
- Second, we tested for correlations between these adaptive traits and the climate of the source location. We tested correlations with mean annual temperature, mean annual precipitation, and the first two principal components (cumulatively > 85% of variance explained) of 20 climate variables obtained using ClimateWNA⁷¹. We used the genotypic best linear unbiased predictors obtained from mixed model analysis (*lmer* function of the *lme4* R package) as the phenotypic traits. Climate variables were averaged within collection locations prior to correlation analysis.
- 588

589 Genetic Variation and Signatures of Recent Positive Selection Throughout the 590 Genome

591 We assessed species-wide nucleotide diversity $(\pi)^{72}$ using the MLE estimate of 592 allele frequency from the samtools mpileup output⁶² in all annotated regions (coding 593 sequence, introns, 5' and 3' UTRs) of the v3 genome greater than 150 bp long and with at 594 least 95% accessibility.

595 We performed five genome-wide scans of recent positive selection, using four 596 conceptually different approaches. First, we estimated genetic differentiation⁷² among 597 collection rivers as F_{ST} in 1-kb windows throughout the genome (again, requiring at least 598 95% accessibility and using the accessible positions in a window as the window's full 599 length). We restricted this analysis to rivers/subpopulations with at least eight individuals, 600 and randomly chose 20 individuals from those that contained > 20 individuals (14 rivers 601 total: Homathko, Skwawka, Lillooet, Squamish, Salmon, Fraser, Columbia, Nisqually, 602 Nooksack, Puyallup, Skagit, Skykomish, Tahoe, Willamette). We estimated nucleotide 603 diversity across all individuals ($\pi_{\rm T}$) and weighted within-river nucleotide diversity ($\pi_{\rm s}$), accounting for sequencing error⁷³. We calculated F_{ST} as difference between total and 604 weighted within-river diversity, divided by the total diversity $(\pi_{T-S}/\pi_T)^{72}$. We took the top 605 1% of the empirical distribution of F_{ST} as genomic regions representing unusually strong 606 607 allele frequency differences among rivers and candidates of divergent selection.

608 The second selection scan quantified the steepness of allele frequency clines 609 across two climate variables, using the program SPA^{33} . SPA uses a logistic regression-610 based approach to model allele frequency clines, without *a priori* population assignment 611 and represents a fundamentally different approach than the F_{ST} scan described above. We used mean annual temperature and mean annual precipitation of the source location for
each sample, obtained using ClimateWNA⁷¹, because these variables are significantly
correlated with growth and phenological traits. We averaged SPA in non-overlapping 1kb bins throughout the genome, requiring at least 5 SNPs in each window. We identified
the top 1% of these windows as regions of the genome with unusually steep allele
frequency clines across mean annual temperature and precipitation.

618 Third, we identified regions of the genome with recent, unusually rapid increases 619 in allele frequency across the range. Strong, recent selective sweeps will result in long haplotypes associated with the selected allele^{8,74}. First, we phased the 544 diploid 620 individuals using SHAPEIT2⁷⁵. Because we have no reference haplotype panels to test 621 622 the accuracy of computationally-determined haplotypes, we determined the optimal 623 method by estimating the accuracy of imputed masked loci⁷⁶. We used 10 Mb of 624 chromosome 2 (5-15Mb), using only variants with MAF>0.1 (307,123 sites). We 625 randomly masked out 5% of the center 260,000 positions for each individual (avoiding 626 the ends), treating them as missing for phasing. To determine the optimal number of 627 hidden Markov states (K) and the window size (W) used in SHAPEIT2, we phased the 628 data using combinations of parameters from K=50-600 and W=0.1-2Mb (Supplementary 629 Fig. 14), using the default $N_e=15K$, and run with 4 threads. The genetic position was 630 determined through linear interpolation using a genetic map derived from a *P*. trichocarpa x P. deltoides pseudo-backcross pedigree and 3,559 Infinium SNP markers²². 631 632 Genetic position and recombination rate were estimated using local linear regression with 633 the *loess* function in R. For comparison, we also phased the same data using the default settings of $BEAGLE^{77}$. We then determined the squared correlation coefficient (\mathbb{R}^2) 634 635 between the known allele dosages (0, 1, or 2) and the imputed genotypes for masked positions in each individual. The average R^2 is shown in Supplementary Fig. 16, and 636 637 peaks at approximately K=350, W=0.1 Mb. We varied Ne from 10,000 - 20,000, and 638 found that Ne=15,000 gave the highest correlation between known and imputed allele 639 dosage for masked missing data. Using the same 10Mb region of chromosome 2, we 640 tested whether the 0.1 MAF cutoff affected accuracy, and found that with no MAF cutoff 641 accuracy was actually increased. We therefore phased all chromosomes using SHAPEIT2 642 with K=350 states, W=0.1 Mb window size, and Ne=15,000 effective population size, 643 using all non-singleton and -private doubleton sites, parallelized using 24 threads.

644 We then estimated the integrated haplotype score (iHS^8) for SNPs. Because the 645 program is computationally intensive, we thinned the dataset to SNPs separated by at 646 least 100bp and with a MAF of at least 0.05, resulting in 1,898,506 SNPs throughout the genome. In calculating iHS, we used the genetic distance as described above. iHS was 647 standardized within allele frequency bins⁸, and |iHS| averaged within non-overlapping 1-648 649 kb windows, again requiring at least 5 SNPs in a window. We took the top 1% of these 650 bins as genomic regions that have experienced an unusually rapid allele frequency change, 651 resulting in extended haplotype homozygosity, and potential targets of positive selection.

Finally, we used bayenv 2.0^{34} to identify regions of the genome with unusually strong allele frequency clines along climatic gradients while controlling for background neutral population structure. We performed this analysis with 13 of the populations used in the F_{ST} analysis described above. We excluded the Tahoe population because it was so divergent that the neutral model of bayenv2.0 had difficulty accounting for the covariance in allele frequencies among populations (data not shown). We used the first 658 two principle components (PCs) of the climate data from source locations, averaged 659 within populations, which cumulatively explained >85% of the variance in the correlation 660 matrix. Loadings showed that the first PC was strongly related to all climateWNA 661 variables, while the second PC was more strongly related to precipitation, heat-moisture 662 indices, and frost free period metrics (Supplementary Fig. 17). To estimate the covariance matrix of allele frequency among populations, we used 19,420 genome-wide SNPs that 663 664 were separated by at least 20Kbp and with MAF > 0.01 across the 13 populations using 665 bayenv2.0 with 100,000 steps through the chain, performed three times independently. 666 The three runs were very similar (all Mantel R > 0.985, p<0.001), and the difference in 667 covariances among runs were always less than 3% of the smallest estimated covariance, indicating convergence⁷⁸. We assessed the strength of the correlation of allele frequency 668 669 and the climate variables, as estimated by the Bayes factor (BF) and Spearman 670 correlation, for 9,519,343 SNPS (MAF > 0.01 across the 13 populations). We tested, for 671 20,000 randomly-chosen SNPs, the effect of chain length on the Bayes factors. 672 Correlations of the individual SNPs among the different chain lengths and independent 673 runs for each chain length indicated that 10 chains of 50,000 steps were sufficient to 674 ensure repeatability and accuracy (Supplementary Fig. 18), while tractable for millions of 675 SNPs. For the final analysis of all >9.5million SNPs, we calculated the Bayes factor and 676 Spearman correlation using 50,000 steps in each of 10 independent runs. We averaged 677 the log₁₀(BF) and the posterior Spearman correlation estimate for each SNP, normalized 678 these values within MAF bins (0.05 bin size), and averaged these within 1-kb windows 679 throughout the genome, requiring at least 5 SNPs per 1-kb window.

680 To identify regions of the genome with unusually strong allele frequency-climate 681 correlations, we selected the windows in the top 1% of Spearman climate-allele 682 frequency correlations and top 1% of Bayes Factors as those with unusually strong 683 climate related allele frequency clines. This process was done separately for the first and 684 second PCs, resulting in two separate selection scans.

685

686 Candidate Selection Regions (CSRs) and Annotation Analysis

687 The selection scans represent five different approaches to identifying unusually 688 strong patterns throughout the genome that are consistent with recent positive or 689 divergent selection. Merging nearby windows (≤5Kb), we found 397 regions that were in 690 the top 1% of at least two of the five scans (the candidate selection regions, or "CSRs"), 691 spanning or adjacent to 452 different genes. We identified the genes spanning or nearest 692 to the CSRs and selection outlier regions. We used Fisher Exact Tests to determine if GO, 693 PANTHER, and PFAM annotations were overrepresented in the genes associated with 694 the CSRs and outlier regions.

We also tested whether these genes were overrepresented among lists from known gene families and pathways, and known to be responsive to drought and dormancy cycling. Families of transcription factors were identified using the Plant Transcription Factor Database v3.0 (http://planttfdb.cbi.pku.edu.cn/index.php?sp=Pth⁷⁹). Genes in additional pathways and families are listed in Supplementary Table 11. When necessary, we used the best reciprocal BLAST hit between the v1 and v3 genome assemblies to locate the gene models identified by previous studies for each set of published genes.

703 Genome Duplication and Network Connectedness

704 First, we examined the genes spanning or nearest to the CSRs and the windows of 705 the top 1% of each selection scan in the context of the Salicoid whole-genome 706 duplication using the 7,936 duplicate pairs identified by Rodgers-Melnick et al.³¹. We 707 used Fisher Exact Tests (FET) to test whether these selection scan lists were under- or 708 over-represented among the duplicate pairs. To determine if there were more duplicate 709 pairs in which both genes of the pair were associated with the selection outliers than 710 expected by chance, we used a random resampling procedure. For each selection scan, we 711 resampled without replacement the same number of genes observed in that scan that were 712 also retained duplicates from the total number of retained duplicates (15,812) 10,000 713 times and recoded how many complete pairs were resampled each time, meaning how 714 many times both genes of a pair were randomly sampled. We tested whether genes 715 associated with selection outliers had more protein-protein interactions (PPI) than 716 expected. We used the number of connections in protein-protein interaction networks 717 with 65 % confidence determined by the ENTS random forest prediction $program^{30}$. We 718 tested whether PPIs of the genes in each scan were different from the genome-wide 719 average using Wilcoxon two-sample tests. These analyses examined patterns of genes 720 associated with the CSRs and the selection outlier regions.

We also examined patterns at the whole-gene level, by calculating π_s , π_T , and the ratio of nonsynonymous/synonymous polymorphism ($\pi_{Nonsynonymous}/\pi_{Synonymous}$) for 39,514 genes on the 19 chromosomes using the same methods described above. We then calculated the correlation of each statistic between the 7,936 Salicoid duplicate pairs of genes. To determine if the observed correlation was greater than expected by chance, we randomly chose 7,936 pairs of genes from all genes 10,000 times, as a null distribution of correlation between pairs of randomly chosen genes.

We also tested whether the mean observed selection statistic differed between Salicoid duplicates and non-duplicate gene using Wilcoxon two sample tests. To test whether the connectedness of genes may influence patterns of selection, we examined correlations between PPI and the observed statistics. We assessed significance using 10,000 permutations of connectedness across the test statistic as above. We log₁₀transformed the data as necessary.

734 735

Signal of Association Throughout the Entire Genome and Within the CSRs

736 To determine if loci within the identified regions may have functional 737 significance, we tested for statistical associations with second-year height and fall and 738 spring bud phenology using data collected from three replicated plantations. We 739 estimated genotypic best linear unbiased predictors using mixed-model regression (*lmer* 740 function of the *lme4* R package, see Phenotypic Selection section above) as the 741 phenotypes for GWAS. We used the same set of resequenced, unrelated individuals used 742 described above, excluding the highly differentiated Tahoe, Willamette Valley, and far 743 northern British Columbia samples because strong stratification can lead to spurious associations⁸⁰, leaving 498 individuals. We only tested phenotypic association with SNPs 744 745 having a MAF>0.05, leaving 5,939,334 SNPs. The analysis was performed for single traits in each plantation using emmax³⁶, using the IBS kinship matrix to account for 746 747 background genetic effects. To account for population structure, for each trait we 748 included as covariates the principal components axes that were significant predictors of 749 the trait, chosen using stepwise regression (*step* function in the R package). We used the

gemma multi-trait association model³⁷ to test for SNP association with each trait across
all three plantations simultaneously, and in a 9-trait model as well (3 traits x 3
plantations). We used the mixed-model framework incorporating kinship and principal
component axes that were significant (nominal alpha=0.05) in a multivariate multiple
linear regression.

755 We estimated alpha values for association p-values by permutation⁸¹. We 756 permuted individual alleles among individuals, randomly generating genotypes while 757 mirroring exactly the true MAF distribution. We then tested for association of these 758 random genotypes with the observed phenotype data using the actual kinship matrix and 759 principal components as above, thereby testing only the effect of randomly assigned 760 genotypes while the structure of population stratification, relatedness, and the phenotypes 761 was held constant. For univariate analyses performed in emmax we performed 10^8 762 permutations. For gemma multi-trait analyses, we used $>10^8$ permutations for bud set and 763 height and $8-33 \times 10^6$ permutations for bud flush and the 9-trait model, which were 764 computationally more intensive. For each trait, we then estimated the cutoffs at various 765 alpha levels (Supplementary Table 15).

766 To determine if the observed associations within the selection outliers was greater 767 than expected by chance, we used the $-\log_{10}(p-value)$ as the association signal within 768 each selection outlier, and used the average of these values for each trait. We then 769 randomly sampled the same number of 1-kb bins from throughout the genome 20,000 770 times. The number of random samples with a mean equal to or greater than the observed 771 for each trait represents the probability of finding a median association signal in the 772 selection outliers by chance alone. We also calculated the empirical p-value for each CSR 773 using the distribution of average association p-values within 1-kb windows throughout 774 the genome. This was done while controlling for the distribution of gene density within 775 the surrounding 100 kb of the selection scans (Supplementary Figure 11g). We also 776 repeated this with a 50-kb window and without controlling for gene density, and found 777 the same patterns (data not shown).

778

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Figure 1.

a) 0 0.1 PC 1 (30.8%) 0.2 0 * 0.3 Columbia △ Tahoe + WA/BC × Willamette 0.4 Т 0.00 -0.05 0.05 b) PC 2 (10.4%) 0.10 Dean Fraser + Homathko × Kitimat Klinaklini 0 0.05 ✓ Lillooet Nisqually * Nooksack Olympic_Penninsula PC 1 (9.9%) 0.00 -0.05 Puyallup X Salmon -0.10 ⊞ Skagit Skwawka Ø Skykomish T Squamish -0.10 -0.05 0.00 0.05 VancouverI.East

PC 2 (7.3%)

0.10

Figure 2.



Figure 3.



Figure 4.



Figure 5.



Figure 6.

