

Aberystwyth University

Enhanced resting-state functional connectivity between core memory-task activation peaks is associated with memory impairment in MCI

Zhang, Yifei; Simon-Vermot, Lee; Araque Caballero, Miguel Á.; Gesierich, Benno; Taylor, Alexander N. W.; Duering, Marco; Dichgans, Martin; Ewers, Michael

Published in:
Neurobiology of Aging

DOI:
[10.1016/j.neurobiolaging.2016.04.018](https://doi.org/10.1016/j.neurobiolaging.2016.04.018)

Publication date:
2016

Citation for published version (APA):

Zhang, Y., Simon-Vermot, L., Araque Caballero, M. Á., Gesierich, B., Taylor, A. N. W., Duering, M., Dichgans, M., & Ewers, M. (2016). Enhanced resting-state functional connectivity between core memory-task activation peaks is associated with memory impairment in MCI. *Neurobiology of Aging*, 45, 43-49.
<https://doi.org/10.1016/j.neurobiolaging.2016.04.018>

General rights

Copyright and moral rights for the publications made accessible in the Aberystwyth Research Portal (the Institutional Repository) are retained by the authors and/or other copyright owners and it is a condition of accessing publications that users recognise and abide by the legal requirements associated with these rights.

- Users may download and print one copy of any publication from the Aberystwyth Research Portal for the purpose of private study or research.
- You may not further distribute the material or use it for any profit-making activity or commercial gain
- You may freely distribute the URL identifying the publication in the Aberystwyth Research Portal

Take down policy

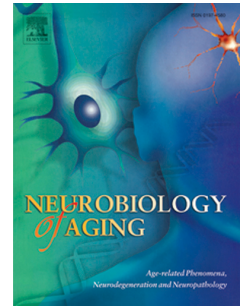
If you believe that this document breaches copyright please contact us providing details, and we will remove access to the work immediately and investigate your claim.

tel: +44 1970 62 2400
email: is@aber.ac.uk

Accepted Manuscript

Enhanced resting-state functional connectivity between core memory-task activation peaks is associated with memory impairment in MCI

Yifei Zhang, Lee Simon-Vermot, Miguel Á. Araque Caballero, Benno Gesierich, Alexander N.W. Taylor, Marco Duering, Martin Dichgans, Michael Ewers



PII: S0197-4580(16)30055-0

DOI: [10.1016/j.neurobiolaging.2016.04.018](https://doi.org/10.1016/j.neurobiolaging.2016.04.018)

Reference: NBA 9596

To appear in: *Neurobiology of Aging*

Received Date: 20 November 2015

Revised Date: 22 April 2016

Accepted Date: 23 April 2016

Please cite this article as: Zhang, Y., Simon-Vermot, L., Araque Caballero, M.Á., Gesierich, B., Taylor, A.N.W., Duering, M., Dichgans, M., Ewers, M., for the Alzheimer's Disease Neuroimaging Initiative, Enhanced resting-state functional connectivity between core memory-task activation peaks is associated with memory impairment in MCI, *Neurobiology of Aging* (2016), doi: 10.1016/j.neurobiolaging.2016.04.018.

This is a PDF file of an unedited manuscript that has been accepted for publication. As a service to our customers we are providing this early version of the manuscript. The manuscript will undergo copyediting, typesetting, and review of the resulting proof before it is published in its final form. Please note that during the production process errors may be discovered which could affect the content, and all legal disclaimers that apply to the journal pertain.

Enhanced resting-state functional connectivity between core memory-task activation peaks is associated with memory impairment in MCI.

Yifei Zhang^{a,b}, Lee Simon-Vermot^a, Miguel Á. Araque Caballero^a, Benno Gesierich^a, Alexander N.W. Taylor^a, Marco Duering^a, Martin Dichgans^{a,c}, Michael Ewers^{a,*}, for the Alzheimer's Disease Neuroimaging Initiative¹

^a Institute for Stroke and Dementia Research, Klinikum der Universität Muenchen, Ludwig-Maximilians-Universität LMU, Munich, Germany

^b School of Management, Shanghai University, Shanghai, China

^c Munich Cluster for Systems Neurology (SyNergy), Munich, Germany

* Corresponding author at: Institut für Schlaganfall-und Demenzforschung, Klinikum der Universität München, Feodor-Lynen-Straße 17, D-81377 Munich, Germany. Tel: +49 (0)89440046221, Fax: +49 (0)89440046113.

Email: Michael.Ewers@med.uni-muenchen.de (Michael Ewers)

Other author email correspondence: zhangyifei@shu.edu.cn (Yifei Zhang)

¹ Data used in preparation of this article were obtained from the Alzheimer's Disease Neuroimaging Initiative (ADNI) database (adni.loni.ucla.edu). As such, the investigators within the ADNI contributed to the design and implementation of ADNI and/or provided data but did not participate in analysis or writing of this report. A complete listing of ADNI investigators can be found at http://adni.loni.ucla.edu/wpcontent/uploads/how_to_apply/ADNI_Acknowledgement_List.pdf

Abstract

Resting-state functional connectivity (FC) is altered in Alzheimer's disease (AD) but its predictive value for episodic memory impairment is debated. Here, we aimed to assess whether resting-state FC in core brain regions activated during memory-task fMRI is altered and predictive of memory performance in AD and amnesic MCI. Twenty-three cognitively healthy elderly controls (HC), 76 amnesic MCI subjects, and 19 AD dementia patients were included. We computed resting-state FC between 18 meta-analytically determined peak coordinates of brain activation during successful memory-retrieval. Higher FC between the parahippocampus, parietal cortex and the middle frontal gyrus was observed in both AD and MCI compared to HC (FDR - corrected $p < 0.05$). The increase in FC between the parahippocampus and middle frontal gyrus was associated with reduced episodic memory in aMCI, independent of amyloid-beta PET binding and APOE $\epsilon 4$ -carrier status. In conclusion, increased parahippocampal-prefrontal FC is predictive of impaired episodic memory in aMCI and may reflect a dysfunctional change within the episodic-memory related neural network.

Keywords: Alzheimer's disease; Functional connectivity; Episodic memory; Mild cognitive impairment; Resting-state functional MRI; Compensation; Network

1. Introduction

Studies on resting-state functional MRI (fMRI) studies have revealed large-scale functional networks. Such resting-state networks show high functional connectivity (FC), i.e. a synchronization of spontaneous low-frequency fluctuations in brain activity between different brain regions. Because resting-state fMRI is acquired without engaging the subjects in a particular cognitive task (i.e. during rest), resting-state FC can be considered a measure of basic activity of intrinsic functional networks in the brain (Smith, et al., 2009). In Alzheimer's disease (AD), altered FC in resting-state networks has been reported across the spectrum of clinical severity including elderly cognitively normal subjects with increased levels of amyloid burden, amnesic mild cognitive impairment (aMCI), and dementia (Greicius, et al., 2004, Hedden, et al., 2009, Sorg, et al., 2007). Such abnormalities of FC were detected in AD even when controlling for grey matter atrophy (Agosta, et al., 2012, Sorg, et al., 2007, Wang, et al., 2013). Together, these results suggest that alterations of FC in resting-state networks are an independent, early brain change in the course of AD.

The functional implications of such resting-state FC changes are debated (for review see (Pievani, et al., 2014)). Resting-state fMRI makes no task-demands to the patient, which has advantages for clinical practice. In the current study, we aimed to identify resting-state network changes that are associated with episodic memory impairment in aMCI. The rationale for using resting-state FC as a predictor of memory performance comes from the known spatial overlap between resting-state networks and task-related networks for cognitive functions (Li, et al., 2015, Smith, et al., 2009, Yeo, et al., 2011). The activity of resting-state networks is modulated during tasks, suggesting that resting-state networks are the building blocks of task-related brain activation underlying cognitive performance (Li, et al., 2015).

In AD, the resting-state network that is most prominently affected is the default mode network (DMN). This network includes several brain regions known to be involved in episodic memory retrieval such as the posterior cingulate and hippocampus (Huijbers, et al.,

2012). A reduction of FC within the DMN has been observed in preclinical AD, aMCI and dementia (Greicius, et al., 2004, Hedden, et al., 2009, Wang, et al., 2013) and was found to be associated with lower episodic memory performance (Wang, et al., 2013). Deficits in episodic memory have further been associated with increased FC in the hippocampus of aMCI and AD patients (Pasquini, et al., 2014, Wang, et al., 2013). However, several studies failed to reveal an association between FC in the DMN and memory impairment in AD or aMCI (Agosta, et al., 2012, Binnewijzend, et al., 2012).

To understand which resting-state FC changes are related to episodic memory performance one has to look beyond the DMN. Brain regions commonly activated during memory encoding or retrieval are distributed across several of the “classic” resting state networks (Li, et al., 2015), suggesting that brain activity underlying episodic memory is not confined to any single network (Damoiseaux, et al., 2006). For example, a recent resting-state fMRI study showed that resting-state FC between different resting state networks such as the DMN, cingular-opercular network, and the fronto-parietal attention network was associated with memory performance in cognitively healthy subjects (Geerligs, et al., 2015).

In the current study we mapped the number of connections and the strength of FC between meta-analytically determined hotspots of brain activation observed during successful memory retrieval (Spaniol, et al., 2009). The aims of the current study were to test whether resting-state FC between these core brain regions i) can be observed during rest, ii) is altered in aMCI and AD, and iii) is predictive of episodic memory impairment as assessed by standard neuropsychological tests.

2. Methods

2.1. Participants

All subjects were recruited within the Alzheimer's Disease Neuroimaging Initiative (ADNI, phase GO and II, www.loni.ucla.edu/ADNI)(Weiner, et al., 2013). For the current study we included a total of 23 elderly cognitively healthy (HC) subjects, 76 subjects with aMCI and 19 AD. The selection criteria were the availability of resting-state fMRI, T1-weighted MRI, AV45-PET, and a memory composite score(Crane, et al., 2012) (Supplementary Fig. 1). HC subjects were required to show low global levels of AV45-PET binding ($SUVR < 1.11$, $A\beta$ -) in order to yield a control group with low probability of AD pathology. In ADNI, the general inclusion criteria were: age between 50 and 90 years, modified Hachinski score ≤ 4 , education of at least 6 grade level and stable treatment of at least 4 weeks in case of treatment with permitted medication.

ADNI is a longitudinal multicenter study started in 2003 in North American as a public private partnership to investigate neuropsychological parameters, neuroimaging features, and other biomarker for tracking and predicting AD-related cerebral and cognitive changes (see Supplementary Method). Ethical approval was obtained by the ADNI investigators (http://www.adni-info.org/pdfs/adni_protocol_9_19_08.pdf). This study was approved by the Institutional Review Boards of all of the participating institutions.

2.2. Episodic memory performance

Episodic memory ability was quantified by a composite score (ADNI-Memory) based on subcomponents of common neuropsychological tests including the Rey Auditory Verbal Learning Test (word list learning trials, recall and recognition), Mini-Mental State Examination (word recall), Alzheimer's Disease Assessment Scale (ADAS, word list learning, recall and recognition) and Logical Memory I and II, as previously described(Crane, et al.,

2012). The composite scores were downloaded from the ADNI Web page (<http://adni.loniuccla.edu/>).

2.3. MRI acquisition

All MRI data were acquired on 3T Philips systems. For rsfMRI, subjects were instructed to keep their eyes open, the following acquisition parameters were used: single shot T2*-weighted echo planer imaging (EPI) pulse sequence in transverse slice orientation, with repetition time/echo time [TR/TE] = 3000/30 ms, flip angle = 80°, 3.3 mm isotropic spatial resolution with 48 slices, with voxel size of 3.31 x 3.31 x 3.31, matrix size of 64 x 59 and 140 volumes (for more detail refer to the MRI Training Manual, <http://adni.loni.usc.edu/>).

2.4. fMRI pre-processing

The first 10 volumes were discarded to ensure steady-state magnetization. The remaining volumes were realigned to correct for head motion. The realigned volumes were registered to the subject's T1-weighted MRI image and high-dimensionally normalized to MNI standard space (see Supplementary Method). The images were adjusted for the realignment parameters and the mean time courses of BOLD signal changes within the WM and GM. The images were smoothed (FWHM 6 mm), detrended and band-pass filtered between 0.01 – 0.08 Hz (see also Supplementary Method for more details).

2.5. Placement of ROIs

We computed the functional connectivity between regions of interest (ROI) of the putative episodic memory network. ROIS were placed according to a previously published voxel-wise activation likelihood estimation (ALE) meta-analysis of 30 published fMRI studies on episodic memory, including 478 cognitively healthy adults (Spaniol, et al., 2009). The meta-analysis yielded 18 peak coordinates of brain activation associated with successful memory

retrieval on forced choice recognition tasks (Spaniol, et al., 2009). The spatial coordinates were converted from Talairach space to the MNI standard space using the Lancaster transform (Lancaster, et al., 2007). Spherical ROIs (radius 6mm) were created around each of the peak coordinates in MNI space, using FSL (v.5; <http://fsl.fmrib.ox.ac.uk/fsl>). The MNI coordinates of each ROI are listed in Supplementary Table 1 and the location in the brain is displayed in Supplementary Fig. 2. The mean time course within each ROI was calculated for ROI-to-ROI FC analysis. In order to assess whether partial volume effects may influence the results, we also obtained GM volume within the ROIs. ROI GM volume was estimated based on the spatially normalized and modulated grey matter maps, where the same spatial normalization parameters were used that had also been applied to the resting state fMRI scans for spatial normalization to the MNI space.

2.6. Statistical analysis

The ROI-to-ROI FC analysis was performed with the *Conn* toolbox v. 13.p based on MATLAB (<http://www.nitrc.org/projects/conn>) (Whitfield-Gabrieli and Nieto-Castanon, 2012). The strength of FC was determined via pairwise Pearson-moment correlations between the mean time courses of the ROIs were computed and Fisher-z-score transformed, resulting in a 18×18 matrix of FC values. In order to test the number of significant connections between the ROIs in each group, we computed one-sample t-tests for each pairwise ROI-to-ROI FC value within each group (false-discovery-rate (FDR) corrected at $p = 0.05$, $n = 153$). Differences in the number of significant connections between groups were computed via two-sample t-tests.

In order to select potential predictors of episodic memory performance among the high number of ROI-to-ROI values, we applied the following two-step procedure. In the first step, we tested for which ROI-to-ROI connections the strength of FC was abnormally changed in

AD dementia subjects compared to HC subjects. To this end, we applied ANCOVAs including diagnosis (HC vs. AD), age, gender and education as independent variables (training sample). The p-value for each ANCOVA was FDR-corrected at $p < 0.05$ (for $n = 153$ multiple comparisons). In the second step, the strength of FC of those ROI-to-ROI connections that were found significantly altered in the AD-dementia group were tested as predictors of episodic memory performance in the aMCI group. We computed linear regression models including episodic memory score as the dependent variable, and strength of FC, age, gender, education and MMSE as independent variables. For exploratory reasons, we compared the strength of FC values among all groups including HC, aMCI and AD. To this end, we used ANCOVA, with diagnosis as a factor and age, gender and education as covariates. Simple main effects of diagnosis were followed up by followed by Fisher's least significance difference (LSD) post hoc test for pair-wise group comparisons, FDR-corrected at $p = 0.05$.

All group-level statistical analyses were done with the *stats* package of statistical software R Studio v. 0.98.501 (Boston, MA, <http://www.rstudio.com/>).

3. Results

Neuropsychological and demographic summary statistics for each diagnostic group are provided in Table 1.

3.1. Diagnostic group differences in FC

The network graphs for the HC and AD dementia group are displayed in Fig. 1 (A) and 1 (C). The number of significant ROI-to-ROI connections was larger in the AD dementia group compared to HC ($t = 3.63, p < 0.001$). The network graphs of FC in aMCI are displayed in Fig. 1 (B). The number of significant ROI-to-ROI connections was larger in the aMCI group compared to HC ($t = 10.23, p < 0.001$) or AD dementia ($t = 5.87, p < 0.001$).

The AD dementia group showed increased strength of FC for 4 ROI-to-ROI connections compared to HC (Fig. 2). The pairs of ROIs included connections between the left middle frontal gyrus (MFG) and the right superior parietal gyrus (SPG) or angular gyrus (AG), and connections between the right MFG and the left parahippocampal gyrus (PHC) or caudate. There were no reductions of FC in AD dementia compared to HC.

Exploratory analysis of all ROI-to-ROI connections (N=153) revealed no additional FC alterations in aMCI other than those found altered in AD dementia.

As displayed in Fig. 3 the strength of FC in aMCI was intermediate between that for HC and AD for each of the four connections. Results of the ANCOVAs, showed significant group differences for each of the four connections, including the MFG-PHC ($F = 5.64, p < 0.01$), MFG-SP ($F = 8.33, p < 0.001$), MFG-AG ($F = 14.5, p < 0.001$), and MFG-CD ($F = 9.36, p < 0.001$). Fisher's LSD post-hoc tests, with FDR-corrected p-values, showed that for each connection the group difference in FC followed the pattern $AD > MCI > HC$.

In order to test whether GM volume changes are a potentially confounding variable, we tested the correlation between the ROI grey matter volume and ROI functional connectivity. Results of the Pearson-moment correlation analysis showed no significant association for any of the four connections, when tested within each diagnostic group or across all subjects (FDR-corrected $p > 0.05$).

3.2. Association between changes in the strength of FC and memory performance in MCI

Focusing on the 4 connections for which the strength of FC was found to be increased in MCI and AD dementia, we found higher strength of FC between the right MFG and the parahippocampus (PHC) to be associated with reduced episodic memory performance in aMCI ($t(70) = -2.14, p = 0.04, r^2 = 0.36, \text{FDR-corrected } p = 0.06, \text{ Fig. 4}$). We computed the diagnosis (HC, MCI, AD dementia) x FC interaction, controlled for age, gender, and

education to predict episodic memory. The results showed that the slopes of FC in HC differed significantly from those in MCI ($\beta = -1.03$, $p = 0.007$) or AD dementia ($\beta = -1.71$, $p = 0.001$). None of the other 3 connections showed associations between strength of FC and episodic memory scores.

For exploratory reasons, we also examined associations between the strength of FC in the MFG-PHC connection and memory performance in the HC dementia and AD group (training set). There was an association between higher strength of FC in the MFG-PHC connection and lower episodic memory score in the AD group ($p = 0.04$) but not in the HC group ($p = 0.1$). Next, we included GM volume of the FC ROIs as an additional covariate in the regression model, using stepwise backward selection to control for any effects of grey matter atrophy. For the MFG-PHC connection, FC remained a significant predictor of episodic memory in the MCI and AD groups.

3.3. FC and elevated levels of AV-45 PET in aMCI

Forty-four aMCI subjects showed abnormally high global AV45-PET binding ($SUVR > 1.11$, aMCI A β +) and 32 aMCI showed normal levels of AV45-PET binding ($SUVR < 1.11$, aMCI A β -). To determine whether FC changes in aMCI are related to abnormal A β deposition, we compared the strength of FC of each of the four connections between MCI A β and MCI A β - subgroups. There was no indication of a difference in the strength of FC between the A β groups for any of the four connections, including those between the left MFG and the right SPG ($F = 0.51$, $p = 0.48$) or AG ($F = 0.68$, $p = 0.41$), and between the right MFG and the left PHC ($F = 0.48$, $p = 0.49$) or caudate ($F = 0.24$, $p = 0.63$), suggesting that the increase in FC in the aMCI group was not associated with the levels of A β . In order to test the influence of A β status on the association between FC (MFG vs PHC) and memory, we repeated the ANCOVA, this time testing the interaction term diagnosis (HC, MCI A β -, MCI A β +, AD dementia) x FC to predict episodic memory. Results showed that the interaction term was significant, where the slope of FC differed between HC compared to MCI A β - ($\beta = -$

1.28, $p = 0.004$), MCI A β + ($\beta = -0.89$, $p = 0.03$) and AD dementia ($\beta = -1.71$, $p = 0.0005$, supplementary figure 3). However, the slopes of FC did not differ between the MCI subgroups and AD ($p > 0.05$).

4. Discussion

The first major finding of the current study was the increased strength and number of connections between peak locations of memory-task related brain activation in aMCI and AD dementia subjects compared to HC. The second major finding was that the abnormal increase in the strength of FC between the middle frontal gyrus and the parahippocampus was associated with reduced episodic memory performance in aMCI and AD dementia.

The current finding of increased strength of FC in aMCI and AD is in line with previous reports of increased strength of FC between the hippocampus and the lateral prefrontal cortex (Wang, et al., 2006). Those studies which explored FC changes within the whole brain in patients with AD dementia reported increased strength of FC within the fronto-parietal and prefrontal resting-state network (Agosta, et al., 2012), and increased strength of FC between widely distributed cortical ROIs (Gardini, et al., 2015, Wang, et al., 2007). In contrast, reduced resting-state FC in patients with MCI and AD was primarily confined to the DMN in previous studies (Agosta, et al., 2012, Binnewijzend, et al., 2012, Greicius, et al., 2004). For the current study, the increase in the strength of FC was found exclusively for connections that were not confined to the DMN but spanned different functional clusters, i.e. the connections were between the fronto-parietal network (middle frontal gyrus ROI) and the posterior DMN (angular gyrus ROI), medial temporal lobe subsystem (hippocampus) or a subcortical cluster (thalamus). In summary, these results suggest an increase in the strength of FC between brain regions that are typically activated during episodic memory retrieval across different resting state networks in MCI and AD patients.

The second major finding showed that an abnormal increase in the strength of FC was associated with reduced episodic memory performance in aMCI. These findings are in

agreement with previous reports of an association between increased local strength of FC within the hippocampus and lower episodic memory performance in AD dementia patients (Pasquini, et al., 2014). In cognitively normal subjects, higher levels of inter-hemispheric FC between the hippocampi were associated with increased with higher age and associated with faster memory decline (Salami, et al., 2014). Here, we extended these findings by showing that the increased strength of FC between the hippocampus and lateral frontal cortex is associated with memory decline in aMCI. Task-related studies have shown elevated levels of hippocampus and parahippocampus activation in patients with aMCI (Dickerson, et al., 2004, Dickerson, et al., 2005). Higher abnormal increase in hippocampus activation was associated with faster subsequent cognitive decline in aMCI (Miller, et al., 2008). Treatment of aMCI patients with an anti-epileptic drug that reduced hippocampus hyperactivation lead to improved memory performance (Bakker, et al., 2012). Together, these results suggest that hippocampus hyperactivity and the hyperconnectivity to brain areas involved in memory-related brain activation is detrimental to episodic memory performance in aMCI.

Results of a recent meta-analysis of resting state fMRI studies suggested increased FC in brain areas such as the subcortical structures and the medial temporal and parietal lobe that occurred early in the course of AD (Jacobs, et al., 2013). Increased activation of medial temporal lobe and parietal brain regions has also been observed in relation to memory task-fMRI, when tested in the preclinical and prodromal stage of AD (Celone, et al., 2006, Sperling, et al., 2010, Sperling, et al., 2009). The question arises whether increased resting state fMRI connectivity within the medial temporal lobe and other brain regions may constitute a candidate biomarker for the early detection of AD-related brain abnormalities (Jacobs, et al., 2013). Compared to task-fMRI, resting state fMRI is due to its task-free and relatively short assessment attractive for clinical application. Few studies, however, have tested resting-state fMRI for the detection of MCI or AD

dementia(Bai, et al., 2011,Dyrba, et al., 2015,Wee, et al., 2012), where resting-state fMRI connectivity measures alone stayed below a clinically relevant classification accuracy below 85%. For resting state fMRI, feature selection, inter-individual stability and multicenter-variability of FC are currently an intense area of research (Biswal, et al., 2010,Feis, et al., 2015), and may provide an important basis to increase the predictive accuracy of resting state fMRI.

The underlying nature of the increase in FC in aMCI and AD is not known. One possible explanation is that increased brain activation results from increased deposition of A β . Previous studies showed that increased brain activity during memory task was associated with A β deposition in elderly cognitively healthy subjects(Elman, et al., 2014) and aMCI patients(Huijbers, et al., 2015). Results obtained in mice suggest that levels of A β are associated with epileptic spikes in neural activity, which may potentially explain increased neural activity in patients with AD. However, whether increased A β levels in the brain can also account for the increase in FC is questionable. If A β leads to aberrant neural activity, an FC may not be increased, since FC requires the *coordinated* activity between different brain areas rather than a local increase in brain activity. In the current study, we did not find the observed increase in FC dependent on A β when tested in the aMCI subjects. Instead, an increase in brain activation in aMCI was found even when controlling for levels of A β (Huijbers, et al., 2015). Together these results suggest that increased levels of A β may not be necessary for the increase in FC in aMCI.

An alternative explanation might be that the increase in FC reflects less efficient neural network activity. According to the dedifferentiation hypothesis age-related changes in brain function lead to more diffuse brain activity due to less efficient neural processing(Dennis and Cabeza, 2011). Consistent with the dedifferentiation hypothesis, a study in elderly cognitively healthy subjects found an age-related decrease in modularity of resting state FC within networks and increased inter-network(Geerligs, et al., 2015). In the current study we found

not only increased strength of FC but also a higher number of connections in MCI and AD compared to HC. The number of connections peaked in MCI, being significantly higher compared to AD. It is possible that this increased density of the connections within the network may represent a more diffuse and less efficient processing. Less efficient neural processing may require increased functional connectivity, i.e. a compensatory recruitment of additional neural resources to maintain task performance (Dickerson, et al., 2004, Grady, et al., 2003). Thus, the current finding of an inverse association between increased FC and memory performance may reflect a failed compensatory attempt (Bakker, et al., 2012, Pasquini, et al., 2014). However, a caveat of this interpretation is that the current study did not aim to assess efficiency of the network and thus no metric of efficiency or experimental manipulation of efficient processing was included. Therefore, this hypothesis requires confirmation in future studies.

It is important to acknowledge the potential limitations of our study. Increased levels of FC during resting-state FC provide only a snapshot of brain activity. During resting-state, “spontaneous” brain activation is sampled without reference to external events, and thus is inherently ambiguous to interpret. To alleviate this draw-back, we attempted to tailor the study in a hypothesis-driven way, focusing on meta-analysis derived brain regions that have previously been found to be activated during memory retrieval processes. However, a combined resting-state and memory task-related fMRI study design (Koch, et al., 2014) is encouraged for future studies to investigate the association between rsfMRI and memory performance.

We also caution that the multi-site acquisition of resting state fMRI may have introduced between-site variability. In ADNI, fMRI scans, however, are acquired exclusively on Philips 3T MRI scanners, where standard resting-state fMRI sequences are applied across sites. Thus, such a study design may reduce the influence of major sources of inter-scanner

variability. A statistical control or assessment of any inter-site variability in the current study is almost impossible due to relatively high number of sites that contribute data.

Despite such drawbacks of resting state fMRI, the current results on FC changes between core brain regions underlying episodic memory retrieval support the notion that resting state fMRI provides a “window” to assess neural network function underlying cognitive performance. The current study provides an approach to design resting-state FC analysis to assess FC changes for predicting episodic memory impairment in MCI. FC is currently not established as a neuroimaging biomarker. Longitudinal studies to examine the value of FC for predicting future cognitive decline are needed.

Disclosure statement

The authors have no conflicts of interest to disclose.

Acknowledgements

The research was funded by grants of the LMUexcellent Initiative and the European Commission (ERC, PCIG12-GA-2012-334259 to Michael Ewers), Alzheimer’s Forschung Initiative (AFI) and FP6 ERA-NET NEURON (01 EW1207, to Martin Dichgans), and China Scholarship Council (to Yifei Zhang). The author (Yifei Zhang) thanks Ms. Jinyi Ren for her assistance with statistical analyses and useful discussion.

References

- Agosta, F., Pievani, M., Geroldi, C., Copetti, M., Frisoni, G.B., Filippi, M. 2012. Resting state fMRI in Alzheimer's disease: beyond the default mode network. *Neurobiol Aging* 33(8), 1564-78. doi:10.1016/j.neurobiolaging.2011.06.007.
- Bai, F., Xie, C., Watson, D.R., Shi, Y., Yuan, Y., Wang, Y., Yue, C., Teng, Y., Wu, D., Zhang, Z. 2011. Aberrant hippocampal subregion networks associated with the classifications of aMCI subjects: a longitudinal resting-state study. *PLoS One* 6(12), e29288. doi:10.1371/journal.pone.0029288.
- Bakker, A., Krauss, G.L., Albert, M.S., Speck, C.L., Jones, L.R., Stark, C.E., Yassa, M.A., Bassett, S.S., Shelton, A.L., Gallagher, M. 2012. Reduction of hippocampal hyperactivity improves cognition in amnesic mild cognitive impairment. *Neuron* 74(3), 467-74. doi:10.1016/j.neuron.2012.03.023.
- Binnewijzend, M.A., Schoonheim, M.M., Sanz-Arigita, E., Wink, A.M., van der Flier, W.M., Tolboom, N., Adriaanse, S.M., Damoiseaux, J.S., Scheltens, P., van Berckel, B.N., Barkhof, F. 2012. Resting-state fMRI changes in Alzheimer's disease and mild cognitive impairment. *Neurobiol Aging* 33(9), 2018-28. doi:10.1016/j.neurobiolaging.2011.07.003.
- Biswal, B.B., Mennes, M., Zuo, X.N., Gohel, S., Kelly, C., Smith, S.M., Beckmann, C.F., Adelstein, J.S., Buckner, R.L., Colcombe, S., Dogonowski, A.M., Ernst, M., Fair, D., Hampson, M., Hoptman, M.J., Hyde, J.S., Kiviniemi, V.J., Kotter, R., Li, S.J., Lin, C.P., Lowe, M.J., Mackay, C., Madden, D.J., Madsen, K.H., Margulies, D.S., Mayberg, H.S., McMahon, K., Monk, C.S., Mostofsky, S.H., Nagel, B.J., Pekar, J.J., Peltier, S.J., Petersen, S.E., Riedl, V., Rombouts, S.A., Rypma, B., Schlaggar, B.L., Schmidt, S., Seidler, R.D., Siegle, G.J., Sorg, C., Teng, G.J., Veijola, J., Villringer, A., Walter, M., Wang, L., Weng, X.C., Whitfield-Gabrieli, S., Williamson, P., Windischberger, C., Zang, Y.F., Zhang, H.Y., Castellanos, F.X., Milham, M.P. 2010.

- Toward discovery science of human brain function. *Proceedings of the National Academy of Sciences of the United States of America* 107(10), 4734-9.
doi:10.1073/pnas.0911855107.
- Celone, K.A., Calhoun, V.D., Dickerson, B.C., Atri, A., Chua, E.F., Miller, S.L., DePeau, K., Rentz, D.M., Selkoe, D.J., Blacker, D., Albert, M.S., Sperling, R.A. 2006. Alterations in memory networks in mild cognitive impairment and Alzheimer's disease: an independent component analysis. *J Neurosci* 26(40), 10222-31.
doi:10.1523/JNEUROSCI.2250-06.2006.
- Crane, P., Carle, A., Gibbons, L., Insel, P., Mackin, R.S., Gross, A., Jones, R., Mukherjee, S., Curtis, S.M., Harvey, D., Weiner, M., Mungas, D. 2012. Development and assessment of a composite score for memory in the Alzheimer's Disease Neuroimaging Initiative (ADNI). *Brain imaging and behavior* 6(4), 502-16. doi:10.1007/s11682-012-9186-z.
- Damoiseaux, J.S., Rombouts, S.A., Barkhof, F., Scheltens, P., Stam, C.J., Smith, S.M., Beckmann, C.F. 2006. Consistent resting-state networks across healthy subjects. *Proceedings of the National Academy of Sciences of the United States of America* 103(37), 13848-53. doi:10.1073/pnas.0601417103.
- Dennis, N.A., Cabeza, R. 2011. Age-related dedifferentiation of learning systems: an fMRI study of implicit and explicit learning. *Neurobiol Aging* 32(12), 2318 e17-30.
doi:10.1016/j.neurobiolaging.2010.04.004.
- Dickerson, B.C., Salat, D.H., Bates, J.F., Atiya, M., Killiany, R.J., Greve, D.N., Dale, A.M., Stern, C.E., Blacker, D., Albert, M.S., Sperling, R.A. 2004. Medial temporal lobe function and structure in mild cognitive impairment. *Annals of neurology* 56(1), 27-35. doi:10.1002/ana.20163.
- Dickerson, B.C., Salat, D.H., Greve, D.N., Chua, E.F., Rand-Giovannetti, E., Rentz, D.M., Bertram, L., Mullin, K., Tanzi, R.E., Blacker, D., Albert, M.S., Sperling, R.A. 2005.

- Increased hippocampal activation in mild cognitive impairment compared to normal aging and AD. *Neurology* 65(3), 404-11. doi:10.1212/01.wnl.0000171450.97464.49.
- Dyrba, M., Grothe, M., Kirste, T., Teipel, S.J. 2015. Multimodal analysis of functional and structural disconnection in Alzheimer's disease using multiple kernel SVM. *Human brain mapping*. doi:10.1002/hbm.22759.
- Elman, J.A., Oh, H., Madison, C.M., Baker, S.L., Vogel, J.W., Marks, S.M., Crowley, S., O'Neil, J.P., Jagust, W.J. 2014. Neural compensation in older people with brain amyloid-[beta] deposition. *Nat Neurosci* 17(10), 1316-8. doi:10.1038/nn.3806 <http://www.nature.com/neuro/journal/v17/n10/abs/nn.3806.html> - supplementary-information.
- Feis, R.A., Smith, S.M., Filippini, N., Douaud, G., Dopper, E.G., Heise, V., Trachtenberg, A.J., van Swieten, J.C., van Buchem, M.A., Rombouts, S.A., Mackay, C.E. 2015. ICA-based artifact removal diminishes scan site differences in multi-center resting-state fMRI. *Front Neurosci* 9, 395. doi:10.3389/fnins.2015.00395.
- Gardini, S., Venneri, A., Sambataro, F., Cuetos, F., Fasano, F., Marchi, M., Crisi, G., Caffarra, P. 2015. Increased functional connectivity in the default mode network in mild cognitive impairment: a maladaptive compensatory mechanism associated with poor semantic memory performance. *J Alzheimers Dis* 45(2), 457-70. doi:10.3233/JAD-142547.
- Geerligs, L., Renken, R.J., Saliassi, E., Maurits, N.M., Lorist, M.M. 2015. A Brain-Wide Study of Age-Related Changes in Functional Connectivity. *Cereb Cortex* 25(7), 1987-99. doi:10.1093/cercor/bhu012.
- Grady, C.L., McIntosh, A.R., Beig, S., Keightley, M.L., Burian, H., Black, S.E. 2003. Evidence from functional neuroimaging of a compensatory prefrontal network in Alzheimer's disease. *The Journal of neuroscience : the official journal of the Society for Neuroscience* 23(3), 986-93.

- Greicius, M.D., Srivastava, G., Reiss, A.L., Menon, V. 2004. Default-mode network activity distinguishes Alzheimer's disease from healthy aging: evidence from functional MRI. *Proceedings of the National Academy of Sciences of the United States of America* 101(13), 4637-42. doi:10.1073/pnas.0308627101.
- Hedden, T., Van Dijk, K.R., Becker, J.A., Mehta, A., Sperling, R.A., Johnson, K.A., Buckner, R.L. 2009. Disruption of functional connectivity in clinically normal older adults harboring amyloid burden. *J Neurosci* 29(40), 12686-94. doi:10.1523/JNEUROSCI.3189-09.2009.
- Huijbers, W., Mormino, E.C., Schultz, A.P., Wigman, S., Ward, A.M., Larvie, M., Amariglio, R.E., Marshall, G.A., Rentz, D.M., Johnson, K.A., Sperling, R.A. 2015. Amyloid-beta deposition in mild cognitive impairment is associated with increased hippocampal activity, atrophy and clinical progression. *Brain* 138(Pt 4), 1023-35. doi:10.1093/brain/awv007.
- Huijbers, W., Vannini, P., Sperling, R.A., C, M.P., Cabeza, R., Daselaar, S.M. 2012. Explaining the encoding/retrieval flip: memory-related deactivations and activations in the posteromedial cortex. *Neuropsychologia* 50(14), 3764-74. doi:10.1016/j.neuropsychologia.2012.08.021.
- Jacobs, H.I., Radua, J., Luckmann, H.C., Sack, A.T. 2013. Meta-analysis of functional network alterations in Alzheimer's disease: toward a network biomarker. *Neuroscience and biobehavioral reviews* 37(5), 753-65. doi:10.1016/j.neubiorev.2013.03.009.
- Koch, K., Myers, N.E., Gottler, J., Pasquini, L., Grimmer, T., Forster, S., Manoliu, A., Neitzel, J., Kurz, A., Forstl, H., Riedl, V., Wohlschlager, A.M., Drzezga, A., Sorg, C. 2014. Disrupted Intrinsic Networks Link Amyloid-beta Pathology and Impaired Cognition in Prodromal Alzheimer's Disease. *Cereb Cortex*. doi:10.1093/cercor/bhu151.

- Lancaster, J.L., Tordesillas-Gutiérrez, D., Martínez, M., Salinas, F., Evans, A., Zilles, K., Mazziotta, J.C., Fox, P.T. 2007. Bias between MNI and Talairach coordinates analyzed using the ICBM-152 brain template. *Human brain mapping* 28(11), 1194-205. doi:10.1002/hbm.20345.
- Li, H.J., Hou, X.H., Liu, H.H., Yue, C.L., Lu, G.M., Zuo, X.N. 2015. Putting age-related task activation into large-scale brain networks: A meta-analysis of 114 fMRI studies on healthy aging. *Neuroscience and biobehavioral reviews* 57, 156-74. doi:10.1016/j.neubiorev.2015.08.013.
- Miller, S.L., Fenstermacher, E., Bates, J., Blacker, D., Sperling, R.A., Dickerson, B.C. 2008. Hippocampal activation in adults with mild cognitive impairment predicts subsequent cognitive decline. *J Neurol Neurosurg Psychiatry* 79(6), 630-5. doi:10.1136/jnnp.2007.124149.
- Pasquini, L., Scherr, M., Tahmasian, M., Meng, C., Myers, N.E., Ortner, M., Muhlau, M., Kurz, A., Forstl, H., Zimmer, C., Grimmer, T., Wohlschlagel, A.M., Riedl, V., Sorg, C. 2014. Link between hippocampus' raised local and eased global intrinsic connectivity in AD. *Alzheimer's & dementia : the journal of the Alzheimer's Association* 11(5), 475-84. doi:10.1016/j.jalz.2014.02.007.
- Pievani, M., Filippini, N., van den Heuvel, M.P., Cappa, S.F., Frisoni, G.B. 2014. Brain connectivity in neurodegenerative diseases--from phenotype to proteinopathy. *Nat Rev Neurol* 10(11), 620-33. doi:10.1038/nrneurol.2014.178.
- Salami, A., Pudas, S., Nyberg, L. 2014. Elevated hippocampal resting-state connectivity underlies deficient neurocognitive function in aging. *Proceedings of the National Academy of Sciences of the United States of America* 111(49), 17654-9. doi:10.1073/pnas.1410233111.
- Smith, S.M., Fox, P.T., Miller, K.L., Glahn, D.C., Fox, P.M., Mackay, C.E., Filippini, N., Watkins, K.E., Toro, R., Laird, A.R., Beckmann, C.F. 2009. Correspondence of the

- brain's functional architecture during activation and rest. *Proceedings of the National Academy of Sciences of the United States of America* 106(31), 13040-5.
doi:10.1073/pnas.0905267106.
- Sorg, C., Riedl, V., Muhlau, M., Calhoun, V.D., Eichele, T., Laer, L., Drzezga, A., Forstl, H., Kurz, A., Zimmer, C., Wohlschlager, A.M. 2007. Selective changes of resting-state networks in individuals at risk for Alzheimer's disease. *Proceedings of the National Academy of Sciences of the United States of America* 104(47), 18760-5.
doi:10.1073/pnas.0708803104.
- Spaniol, J., Davidson, P.S., Kim, A.S., Han, H., Moscovitch, M., Grady, C.L. 2009. Event-related fMRI studies of episodic encoding and retrieval: meta-analyses using activation likelihood estimation. *Neuropsychologia* 47(8-9), 1765-79.
doi:10.1016/j.neuropsychologia.2009.02.028.
- Sperling, R.A., Dickerson, B.C., Pihlajamaki, M., Vannini, P., LaViolette, P.S., Vitolo, O.V., Hedden, T., Becker, J.A., Rentz, D.M., Selkoe, D.J., Johnson, K.A. 2010. Functional alterations in memory networks in early Alzheimer's disease. *Neuromolecular Med* 12(1), 27-43. doi:10.1007/s12017-009-8109-7.
- Sperling, R.A., Laviolette, P.S., O'Keefe, K., O'Brien, J., Rentz, D.M., Pihlajamaki, M., Marshall, G., Hyman, B.T., Selkoe, D.J., Hedden, T., Buckner, R.L., Becker, J.A., Johnson, K.A. 2009. Amyloid deposition is associated with impaired default network function in older persons without dementia. *Neuron* 63(2), 178-88. doi:S0896-6273(09)00505-4 [pii]
10.1016/j.neuron.2009.07.003.
- Wang, K., Liang, M., Wang, L., Tian, L., Zhang, X., Li, K., Jiang, T. 2007. Altered functional connectivity in early Alzheimer's disease: A resting-state fMRI study. *Human brain mapping* 28(10), 967-78. doi:10.1002/hbm.20324.

- Wang, L., Zang, Y., He, Y., Liang, M., Zhang, X., Tian, L., Wu, T., Jiang, T., Li, K. 2006. Changes in hippocampal connectivity in the early stages of Alzheimer's disease: evidence from resting state fMRI. *Neuroimage* 31(2), 496-504. doi:10.1016/j.neuroimage.2005.12.033.
- Wang, Y., Risacher, S.L., West, J.D., McDonald, B.C., Magee, T.R., Farlow, M.R., Gao, S., O'Neill, D.P., Saykin, A.J. 2013. Altered default mode network connectivity in older adults with cognitive complaints and amnesic mild cognitive impairment. *J Alzheimers Dis* 35(4), 751-60. doi:10.3233/JAD-130080.
- Wee, C.Y., Yap, P.T., Zhang, D., Denny, K., Browndyke, J.N., Potter, G.G., Welsh-Bohmer, K.A., Wang, L., Shen, D. 2012. Identification of MCI individuals using structural and functional connectivity networks. *Neuroimage* 59(3), 2045-56. doi:10.1016/j.neuroimage.2011.10.015.
- Weiner, M.W., Veitch, D.P., Aisen, P.S., Beckett, L.A., Cairns, N.J., Green, R.C., Harvey, D., Jack, C.R., Jagust, W., Liu, E., Morris, J.C., Petersen, R.C., Saykin, A.J., Schmidt, M.E., Shaw, L., Shen, L., Siuciak, J.A., Soares, H., Toga, A.W., Trojanowski, J.Q., Alzheimer's Disease Neuroimaging, I. 2013. The Alzheimer's Disease Neuroimaging Initiative: a review of papers published since its inception. *Alzheimers Dement* 9(5), e111-94. doi:10.1016/j.jalz.2013.05.1769.
- Whitfield-Gabrieli, S., Nieto-Castanon, A. 2012. Conn: a functional connectivity toolbox for correlated and anticorrelated brain networks. *Brain connectivity* 2(3), 125-41. doi:10.1089/brain.2012.0073.
- Yeo, B.T., Krienen, F.M., Sepulcre, J., Sabuncu, M.R., Lashkari, D., Hollinshead, M., Roffman, J.L., Smoller, J.W., Zöllei, L., Polimeni, J.R. 2011. The organization of the human cerebral cortex estimated by intrinsic functional connectivity. *Journal of neurophysiology* 106(3), 1125-65.

Table 1
Characteristics of each diagnostic group

	HC	MCI	AD
N	23	76	19
Gender (F/M)	16/7	36/40	10/9
Age (years)	74.8 [6.4]	71.0 [7.5] ^{a*}	73.6 [6.9]
AV45-PET	1.0 [0.1]	1.2 [0.2] ^{a***}	1.4 [0.2] ^{a,b***}
APOE ϵ4 (+/-)	5/18	34/42	16/1 ^{a,b***}
Education	15.8 [2.1]	16.4 [2.6]	15.7 [2.4]
MMSE	28.8 [1.4]	27.9 [1.8] ^{a**}	23.3 [2.6] ^{a,b***}
ADAS-Cog	6.4 [3.3]	9.2 [4.1] ^{a**}	20.0 [5.8] ^{a,b***}
Composite episodic memory score^c	0.8 [0.5]	0.3 [0.5] ^{a**}	-0.6 [0.6] ^{a,b***}

Values represent the mean [standard deviation] or number of subjects. 2 AD subjects had no ApoE4 value.

Key: HC, cognitively healthy; MCI, mild cognitive impairment; AD, Alzheimer's disease with dementia; N, number of subjects; F, female; M, male; APOE ϵ 4+, APOE ϵ 4-allele carrier; APOE ϵ 4-, APOE ϵ 4-allele non-carrier; MMSE, Mini-Mental-State Examination; ADAS-Cog, Alzheimer's Disease Assessment Scale- Cognitive Subscale.

^a Compared to HC.

^b Compared to MCI. * = $p < 0.05$, ** = $p < 0.01$, *** = $p < 0.001$.

^c Composite episodic memory score described previously(Crane, et al., 2012)

Figure captions. (Figures are required color online and black-and-white in print)

Fig. 1.

Caption: Functional connectivity between regions of interest (ROIs) of the episodic memory network for HC (A), MCI (B) and AD dementia (C). Red lines and blue lines refer to positive and anti correlations. *P*-values of significant connections are FDR-adjusted below 5%, corrected for the 153 connections between each pair of ROIs. ROI color corresponds to the number of connections to (or from) each ROI. Abbreviations: HC = cognitively healthy; MCI = mild cognitive impairment; AD = Alzheimer's disease with dementia; M/SFG = middle/superior frontal gyrus; PHC = parahippocampal; SP = superior parietal; AG = angular gyrus; MFG = middle frontal gyrus; CD = caudate; FDR = false-discovery rate.

Fig. 2.

Caption: Spatial projection of ROI-to-ROI connections onto a axial brain slice. The strength of FC was increased in AD dementia compared to the strength of FC in, MCI which in turn was larger than the strength of FC in HC. ROI color corresponds to the number of connections to (or from) each ROI. Abbreviations: HC = cognitively healthy; AD = Alzheimer's disease with dementia; M/SFG = middle/superior frontal gyrus; PHC = parahippocampal; SP = superior parietal; AG = angular gyrus; MFG = middle frontal gyrus; CD = caudate.

Fig. 3.

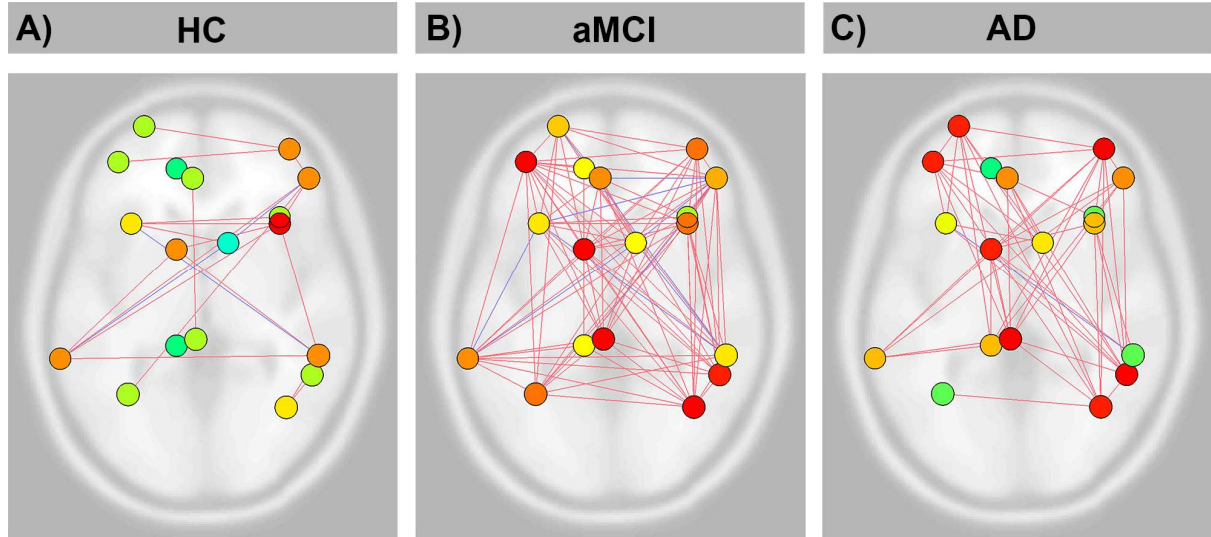
Caption: Boxplot of functional connectivity values as a function of diagnosis on the 4 connections which significantly increased between HC and AD. Abbreviations: HC = cognitively healthy; MCI = mild cognitive impairment; AD = Alzheimer's disease with dementia; FDR = false-discovery rate; AG = angular gyrus; M/SFG = middle/superior frontal

gyrus; CD = caudate; MFG = middle frontal gyrus; SP = superior parietal; PHC = parahippocampal.

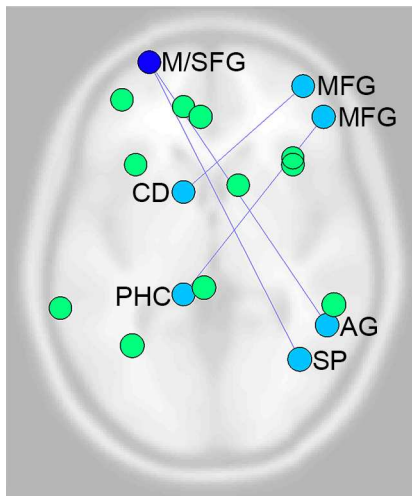
Fig. .

Caption: Regression plot of the association between FC between PHC and MFG and episodic memory composite scores for each diagnostic group including HC, aMCI, and AD dementia.

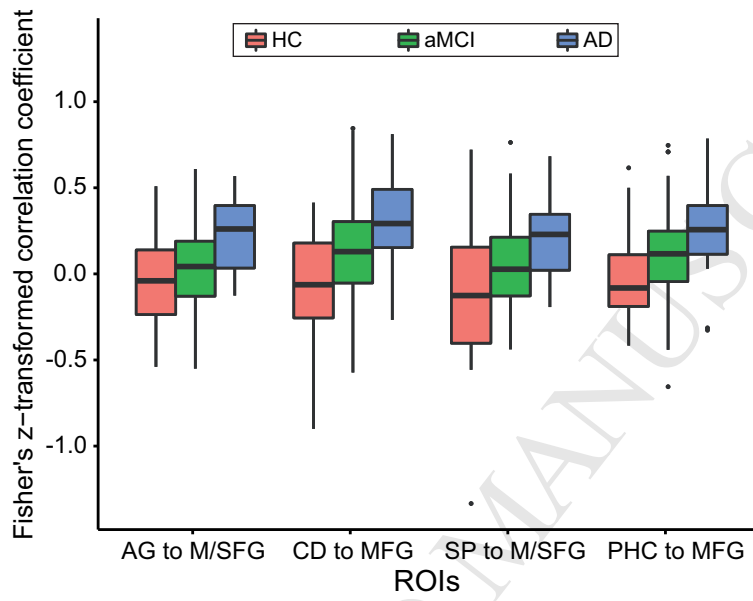
Abbreviations: HC= cognitively healthy; MCI = mild cognitive impairment; AD = Alzheimer's disease with dementia; PHC = parahippocampal; MFG = middle frontal gyrus.

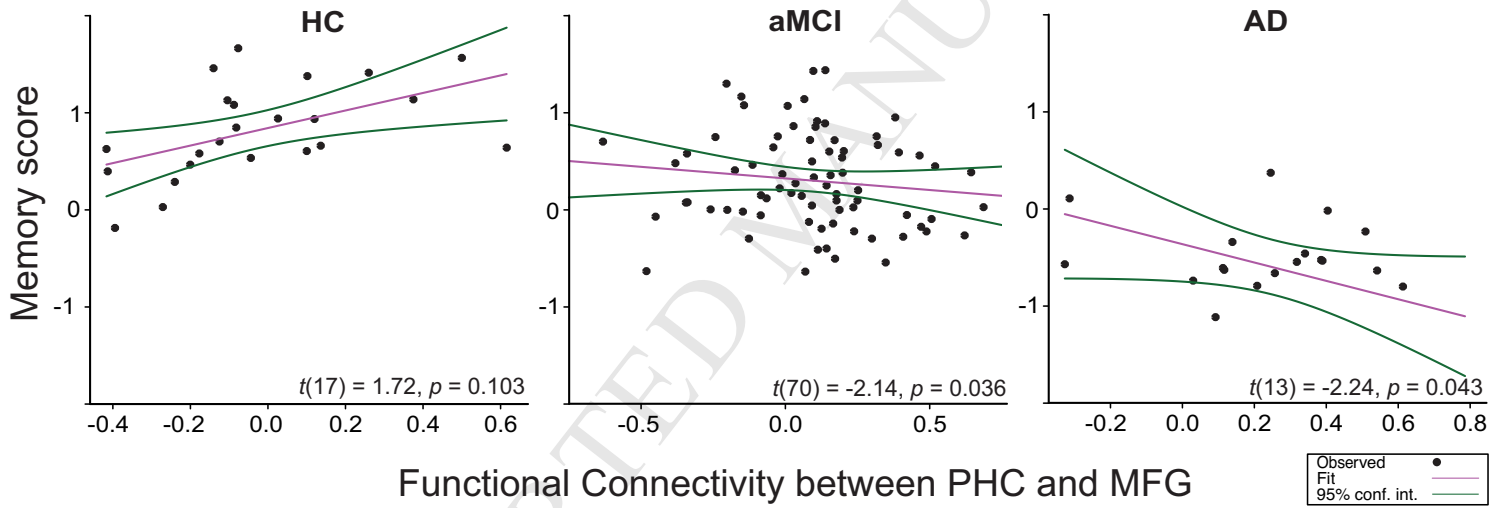


ACCEPTED MANUSCRIPT

AD > aMCI > HC

ACCEPTED MANUSCRIPT





Highlights

- Task-fMRI guided identification of resting-state fMRI correlates of memory in AD
- Temporo-prefrontal resting-state functional connectivity increased in MCI and AD
- Increased parahippocampal-prefrontal connectivity predicts memory impairment in MCI
- Predictive value of connectivity on memory is independent of A β deposition in MCI
- Increase in functional connectivity may represent compensation in MCI