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Discrete rearranging disordered patterns: Prediction of elastic and plastic behavior, and application to two-dimensional foams

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We study the elasto-plastic behavior of materials made of individual (discrete) objects such as a liquid foam made of bubbles. The evolution of positions and mutual arrangements of individual objects is taken into account through statistical quantities such as the elastic strain of the structure, the yield strain, and the yield function. The past history of the sample plays no explicit role except through its effect on these statistical quantities. They suffice to relate the discrete scale with the collective global scale. At this global scale, the material behaves as a continuous medium; it is described with tensors such as elastic strain, stress, and velocity gradient. We write the differential equations which predict their elastic and plastic behavior in both the general case and the case of simple shear. An overshoot in the shear strain or shear stress is interpreted as a rotation of the deformed structure, which is a purely tensorial effect that exists only if the yield strain is at least of order 0.3. We suggest practical applications including the following: when to choose a scalar formalism rather than a tensorial one; how to relax trapped stresses; and how to model materials with a low, or a high, yield strain.

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I. INTRODUCTION

Discrete rearranging patterns include cellular patterns, for instance, liquid foams, biological tissues, and grains in polycrystals; assemblies of particles such as beads, granular materials, colloids, molecules, and atoms; and interconnected networks [1]. Many of these disordered materials display elastic and plastic properties, so that the stress tensor can rotate and is not necessarily aligned with the strain rate tensor; in models this effect is included in objective derivatives [2].

Use of simplified geometries, e.g., in a rheometer, allows a first characterization of the material through measurements of shear stress. An overshoot in the shear stress is seen during the first loading in materials such as polymers [3], granular materials [4], and emulsions [5]. For liquid foams this effect has been observed in a plate-plate rheometer [6] and in simulations [7,8]. It is unclear whether this is due to a change in the material’s structure or a tensorial effect of shear; but nevertheless the overshoot is an essential ingredient in a recent model [9] of the strain-rate discontinuity in the cylindrical Couette foam flow experiments of Ref. [10]. Such an overshoot results in mechanical bistability: two different values of strain correspond to the same value of stress between the plateau and the maximum and can thus coexist. Here, we investigate the elastic regime and elasto-plastic transition in a fully tensorial model. To describe the mechanical behavior we use a formalism adapted for discrete rearranging disordered patterns which enables us to quantify rotational effects and to test the relevant parameters [1].

We use as an example a sheared liquid foam [11–18]. Although a liquid foam consists only of gas bubbles surrounded by liquid walls, it exhibits a complex mechanical behavior. It is elastic for small strains, plastic for large strains, and flows at large strain rates [19–21]. This behavior is useful for numerous applications such as ore separation, oil extraction, foods, and cosmetics. The individual objects, namely, the bubbles, are easily identified, which makes a liquid foam (or alternatively an emulsion, made of droplets) a model for the study of other complex fluids.

This paper is organized as follows. In Sec. II, we simulate the quasistatic two-dimensional (2D) flow of a foam in a...
Couette shear geometry; we explain how we perform and represent the measurements. In Sec. III, we present our equations and discuss the specific effects due to the use of tensors such as the overshoot. Section IV compares the model and the simulation and extracts the relevant information. Section V presents applications to practical situations, i.e., how and when to use the model. Section VI summarizes our findings. The Appendix explains the notation and provides the detailed equations.

II. SIMULATIONS

We simulate numerically a 2D foam flowing in a linear Couette shear geometry. Simulations of dry foams offer several advantages: (i) the parameters are homogeneous (liquid fraction, bubble area) and controlled (no diffusion-driven coarsening or film rupture); (ii) the yield strain is of order 0.3, which is large enough to observe a full tensorial elastic regime while small enough that plastic effects can be easily observed; (iii) all physical quantities can be easily measured.

A. Methods

Several ideal two-dimensional dry foams [19] are simulated (Fig. 1 and Table I). We use the SURFACE EVOLVER [23] in a mode in which each film is represented as a circular arc. The value of surface tension is taken equal to 1 throughout, without loss of generality. A realistic foam structure is found by minimizing the total film length subject to the constraint of fixed bubble areas prescribed at the beginning of the simulation. The simulations are quasistatic, which means that the system has time to relax between successive time steps (increments in applied strain). Relaxation effects are thus neglected and viscosity does not need to be included. The behavior is expected to be elasto-plastic.

The simulation procedure is as follows. A Voronoi construction of randomly distributed points [24] (not shown) is first used to generate a fully periodic tessellation of the plane. To create a confined foam, bubbles at the top and bottom are sequentially deleted until the required number of bubbles remains. In each case, the structure is imported into the SURFACE EVOLVER and target bubble areas prescribed, either all the same (monodisperse, \(\delta A/A=0\)), a small random variation of up to 20\% about monodisperse \((\delta A/A=0.025)\), or equal to the areas given by the Voronoi construction \((\delta A/A=0.66)\).

The initial foam configuration for each simulation [e.g., label (1) in Fig. 1] is found by reducing the total film length to a local minimum. During this minimization, neighbor swappings (so-called “T1s” [19]) are triggered by deleting each film that shrinks below a certain critical length \(l_c\) and allowing a new film to form to complete the process. The critical length \(l_c\) defines and measures an effective liquid fraction, \(\Phi_{\text{eff}}\) [22], here chosen to be very dry (Table I).

One geometry consists of a unit cell of 400 bubbles with fully periodic boundary conditions to eliminate any artifacts due to small sample sizes. The second geometry mimics more closely a real experiment and consists of 296 bubbles with two parallel bars (about 15 bubble diameters apart) containing the foam and with periodicity in one direction only. To shear the foams, two different procedures are required. For the periodic foams, one off-diagonal component of the matrix describing the periodicity of the unit cell is adjusted

![Image](image)

**Fig. 1.** Example of 2D foam simulation. Pictures are successive snapshots of a quasistatically sheared, fully periodic foam. Numbers correspond to those of Figs. 2 and 5. Bubbles with six neighbors are displayed in white, otherwise in gray.

**TABLE I.** Characteristics of simulated foams. The different columns correspond to the symbols used in Figs. 8 and 10, effective liquid fraction [22], area dispersity, boundary conditions, and maximal amplitude of the cycles.

<table>
<thead>
<tr>
<th>Symbol</th>
<th>(\Phi_{\text{eff}})</th>
<th>(\delta A/A)</th>
<th>Geometry</th>
<th>(\gamma_{\text{max}})</th>
</tr>
</thead>
<tbody>
<tr>
<td>▼ or ▽</td>
<td>(9.7\times10^{-4})</td>
<td>0</td>
<td>Fully periodic</td>
<td>±2</td>
</tr>
<tr>
<td>× or +</td>
<td>(3.9\times10^{-4})</td>
<td>0</td>
<td>Fully periodic</td>
<td>±2</td>
</tr>
<tr>
<td>◆ or ◇</td>
<td>(3.9\times10^{-4})</td>
<td>0.025</td>
<td>Fully periodic</td>
<td>±2</td>
</tr>
<tr>
<td>▲ or ◇</td>
<td>(3.9\times10^{-4})</td>
<td>0.66</td>
<td>Fully periodic</td>
<td>±2</td>
</tr>
<tr>
<td>● or △</td>
<td>(3.5\times10^{-4})</td>
<td>0</td>
<td>Confined</td>
<td>±2.5</td>
</tr>
<tr>
<td>■ or □</td>
<td>(3.5\times10^{-4})</td>
<td>0.66</td>
<td>Confined</td>
<td>±2.5</td>
</tr>
</tbody>
</table>
DISCRETE REARRANGING DISORDERED PATTERNS: …

[Diagram of shear cycles and stress-strain relation]

FIG. 2. (Color online) Time evolution of the reference simulation ($\mathbf{X}$ in Table I). Horizontal axis: time is in arbitrary units, equivalent to the “cumulated strain” $\int |\dot{\gamma}| dt$, where $t$ is the time and $\dot{\gamma}$ is defined up to an arbitrary prefactor; here 2.25 cycles are represented. Vertical axis: all curves represent $U_{xy}$ (left scale) except for the sawtooth which is the applied $\gamma$ (right scale). Numbers correspond to the pictures in Fig. 1. The first step, plotted with a thick solid line, starts at the $\square$ (indicated also by a number 1) and its end is labeled by number 2: $\gamma=0\rightarrow 2$. The second step, plotted with a thin solid line, is from numbers 2 to 3. The third step, plotted with a middle solid line, starts at the $\bigcirc$ (indicated also by a number 3) and extends to number 5: $\gamma=-2\rightarrow 2$. Four predictions of the model are plotted as dashed lines (see Fig. 6 for explanation of the legend); for clarity they are plotted only from 1 to 2 and from 3 to 5: note that from 3 to 4 all predictions are indiscernible from the simulation.

by a small amount [23]. For the confined foams, a small step in strain is applied by moving one of the confining walls a small distance and then moving all vertices affinely. In each case this is followed by reduction in the film length to a minimum correct to 16 d.p. using a conjugate gradient (without biasing the search by introducing any large-scale perturbations of the structure).

Each foam is subjected to at least two “sawtooth” shear cycles of amplitude $\gamma_{\text{max}}$. Positive and negative steps correspond, respectively, to shear toward increasing or decreasing imposed strain $\gamma$ (Fig. 2).

B. Measurements

At each step, the positions of the bubble centers and films are recorded. Tensorial quantities are measured by averaging over all bubbles as follows [1].

The texture tensor $\mathbf{M}=\langle \hat{\ell} \otimes \hat{\ell} \rangle$ is computed statistically as an average over vector links $\hat{\ell}$ between centers of neighboring bubbles. We assume here that the reference texture at rest, $\mathbf{M}_0$, is isotropic. We thus define it by measuring the average of the determinant of $\mathbf{M}$ over the duration of the whole simulation, $\det(\mathbf{M})=\det(\mathbf{M}_0)$. The elastic strain of bubbles expresses the deviation from the reference state, $\mathbf{U}=(\log \mathbf{M}-\log \mathbf{M}_0)/2$ [Eq. (A1c)].

This tensor is symmetric by construction ($U_{xy}=U_{yx}$); it can be diagonalized and has two eigenvalues ($U_1, U_2$) in two orthogonal eigendirections. The simulations [Fig. 3(a)] verify that we can reasonably assume its trace to be always close to zero, $U_1+U_2=U_{xx}+U_{yy}=0$, as is roughly expected for an incompressible material [1]. Thus, due to its symmetry and vanishing trace, $\mathbf{U}$ has only two independent components:

$$\mathbf{U}=\begin{pmatrix} U_{xx} & U_{xy} \\ U_{xy} & U_{yy} \end{pmatrix} \approx \begin{pmatrix} \frac{U_{xx}-U_{yy}}{2} & U_{xy} \\ U_{xy} & \frac{U_{xx}-U_{yy}}{2} \end{pmatrix}.$$ (1)

Figure 3(a) shows that our measurement of the elastic strain makes evident the effect of shear-induced shuffling [25]: the annealed foam (gray dots) differs significantly from the initial one (black solid line).

The contribution to the stress of the network of bubble walls is obtained by integrating over all films [26,27]; it yields the deviatoric (i.e., traceless) part of the elastic stress tensor $\sigma$. The trace of the stress, namely, the pressure, is unimportant here. The simulations are quasistatic and the viscous part of the stress is not relevant.

We check [Fig. 3(b)] that the stress and strain are strongly correlated; that their correlation is linear; and that it is iso-
tropic (the same for \(xy\) and \(xx\)-\(yy\) components) [28,29]. Half the slope thus defines and measures the elastic shear modulus \(\mu\).

C. Representations

To summarize, \(\mathbf{M}, U\), and \(\sigma\) characterize the current state of the foam. These three tensors carry here the same information, since \(\mu\) appears constant. In what follows, texture, elastic strain, and stress tensors are always aligned.

We choose to display \(U\) only, because it is dimensionless, and thus more general: it makes the comparison of different materials easy. One possibility [1] is to represent the traceless tensor \(U\) as a circle of radius \(U\), with a straight line to indicate the direction \(\theta\) of its positive eigenvalue: see thick lines (circle and straight lines) in Fig. 4(a). We do not use it here, except in the inset of Fig. 8. In fact, it is easier to represent \(U\) at a given time by a point, enabling us to plot trajectories. Its two independent components can be represented in two different but equally useful ways, as follows. Both representations are equally appropriate in the problem considered here because of the circular symmetry of the yield criterion [see Eq. (5)].

First, in the case of a traceless tensor, the absolute value of the two eigenvalues is the same and equal to the amplitude \(U\) of the tensor \(U\) defined as

\[
U = \sqrt{(U_{xx} - U_{yy})^2 + U_{xy}^2},
\]

or equivalently \(U = \|U_1 - U_2\| = \|U\|/\sqrt{2}\), where \(\|U\| = \sqrt{(\Sigma_j(U_j)^2)^{1/2}}\) is the Euclidian norm of \(U\). We call \(\theta\) the direction of the greatest eigenvalue. We call physical space the representation of the parameters \((U, \theta)\). It is useful because it shows the evolution of the structure (elongation and orientation). In particular, we plot the trajectory of the point \([U \cos \theta, U \sin \theta]\) [Fig. 4(a)].

Another representation, which has already been used for foams [8], is called component space. It plots the trajectory of the point \([U_{xx}(\gamma) - U_{yy}(\gamma)/2, U_{xy}(\gamma)]\) [Fig. 4(b)]. It is more suitable for comparison with experimental data, since rheometers measure the tangential stress \(U_{xy}\) and sometimes the normal stress difference \(U_{xx} - U_{yy}\).

These two possible choices are related by

\[
U_{xy} = U \sin 2\theta,
\]

or

\[
\frac{U_{xx} - U_{yy}}{2} = U \cos 2\theta.
\]
Here \( \frac{d}{dt} \mathbf{M} = \mathbf{M} \cdot \nabla \mathbf{v} + \nabla \mathbf{v} \cdot \mathbf{M} - \left( \frac{\mathbf{U}}{\mathbf{U}} \nabla \mathbf{v}_{\text{sym}} \right) \mathcal{H} h \left( \frac{\mathbf{U}}{\mathbf{U}} \right) \mathbf{U} \cdot \mathbf{M} \).

(6)

Equation (6) links the evolution of the foam texture with the elastic strain. It is quasi-static in the sense that the strain is relevant, not the strain rate. It can be generalized to evolutions quicker than the relaxation times of the structure \([32]\). Plasticity occurs only when the elastic strain is oriented in the direction of shear as expressed by the Heaviside function \( \mathcal{H} \) (Appendix, Sec. 4).

The model is continuous and analytic, without fluctuations. The information regarding disorder is recorded in \( h \). Trapped stresses \([3]\) are recorded in the initial value \( \mathbf{M}_0 \) (or equivalently \( \mathbf{U}_0 \)). The material’s yielding criterion is encoded in \( U_Y \). The history of the material only plays a role in determining \( h, \mathbf{M}_0 \) (or \( \mathbf{U}_0 \)), and \( U_Y \), which together fully describe the material. According to the expression for \( h \), Eq. (6) can be integrated analytically or numerically.

2. Simple shear

To study the structure-evolution equation, i.e., the competition between elasticity and plasticity, and predict the rheological behavior, we impose a strain rate \( \dot{\gamma} \) on the material. We take \( x \) as the direction of the shear, which gives the following velocity field:

![Diagram](https://example.com/diagram.png)

FIG. 5. (Color online) Different representations of Fig. 2 (same symbols) according to Fig. 4. (a) \( U_{xy} \) versus \( \gamma \). (b) Physical space. (c) \( U \) versus \( \gamma \). (d) Component space. The dashed circle in (b) and (d) has radius \( U_Y = 0.34 \).

![Diagram](https://example.com/diagram.png)

FIG. 6. (Color online) Model. (a) The different yield functions \( h \) used as examples in the present paper are power laws \( h(U) \equiv (U/U_Y)^n \) with \( n = 1 \) (green dashed dots), \( n = 2 \) (red dashes), \( n = 4 \) (blue dots), and \( n = +\infty \) (black thick dashes; equal to 0 everywhere except at \( U = U_Y \) where it is equal to 1). (b) Corresponding limit cycles predicted by the model plotted in component space (same legend).
FIG. 7. (Color online) Elastic model represented in physical (a) and component (b) spaces. Different initial states are taken: $U_i = 0$ (center; red) and $U_i = 0.3$ (initial points scattered around the circle; black). Here $n \rightarrow +\infty$: all the trajectories evolve elastically. For $\dot{\gamma} > 0$, $U_{sy}$ increases, that is, time evolves upward on (b). If $\dot{\gamma} < 0$, these graphs are unchanged, due to their symmetry with respect to the horizontal axis, showing that the purely elastic trajectories are reversible.

$$
\nabla v = \begin{pmatrix}
\partial_x v_x & \partial_x v_y \\
\partial_y v_x & \partial_y v_y
\end{pmatrix} = \gamma \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}
$$

and hence

$$
\nabla v_{sym} = \begin{pmatrix}
0 & 1/2 \\
1/2 & 0
\end{pmatrix}. 
$$

This factor 1/2 appears when comparing the scalar and tensorial descriptions (Appendix, Sec. 5). In this geometry, the advection term is taken equal to zero and the resulting system of equations is given in the Appendix, Sec. 4. The reference state $\mathbf{M}_0$ is considered isotropic and constant throughout the evolution.

We recall that this evolution is quasistatic: $\dot{\gamma}$ appears as a prefactor in the time evolution, Eqs. (A3). We thus follow the evolution with the strain $\gamma = \int \dot{\gamma} dt$ instead of the time. Tensor operations and the time evolution of $\mathbf{M}$ are implemented by a finite difference procedure. Between two time steps, $\mathbf{U}$ is recalculated with Eq. (A1c).

**B. Predictions**

We now address the resolution of the full elasto-plastic set of equations (A3). Our representation underlines the specifically tensorial effects.

**1. Purely elastic regime**

As a first example of our representation, we consider here the pure elastic regime. This means that we allow the structure to deform elastically (stretching and contraction), but not to relax plastically (no rearrangements). Our formalism allows us to describe pure elasticity by computing the elastic strain and its evolution when the material is deformed. Our formalism extends to large strains, even those of order 1 (for strains much larger than 1, without plasticity, the formalism of large amplitude strain [33] might be preferable). Figures 7(a) and 7(b) show trajectories for different initial elastic strains.

For an initially isotropic material, $U_i = 0$, we recover the classical results in the small strain limit: $U = \gamma/2$ and $U_{sy} \approx \gamma/2$. The Poynting relation [33] thus takes the form of a parabola; we even extend it to an initially anisotropic material, $U_i \neq 0$ [see Fig. 13 and Eq. (A16)]. For higher strains, $U_{sy}$ is less linear with respect to $\gamma$ due to the rotation of the elastic strain.

In all cases, $U_{xy}$ increases monotonically. Note that this is not the case for $(U_{sy} - U_{xy})/2$ nor for $U$. When the structure is aligned perpendicularly to the shearing direction ($\mathbf{U} : \nabla v_{sym} < 0$), it contracts ($U$ decreases) under shear until it aligns with the shear. When the structure is aligned parallel to the shearing direction ($U : \nabla v_{sym} > 0$), it stretches ($U$ increases) under shear. Since $\mathbf{U}$ is a tensor, it can continuously decrease, change direction, and increase again without ever vanishing (as opposed to a scalar, which can change sign only when it is equal to zero). For instance, a trajectory which starts with a direction opposed to that of shear has first a decreasing $U$ (contraction, with $U_{xy}$ negative and increasing), then an increasing $U$ (stretching, with $U_{sy}$ positive and increasing), then a constant $U$ (yielding, with a rotation of $\mathbf{U}$ toward the plastic limit).

**2. Plastic limit**

The yield strain is the amplitude of the strain when the material yields, that is, a scalar number. The plastic limit is defined as the elastic strain tensor $\mathbf{U}$ obtained after an infinitely long shearing ($\gamma \rightarrow +\infty$). The amplitude of this tensor is that of the yield strain. Its direction is obtained by solving Eq. (6) when its left-hand side equals 0, $h$ equals 1, and $\mathcal{H}$ equals 1:

$$
\mathbf{U} = U_Y, 
$$

$$
\cos \theta = \frac{1}{\sqrt{1 + e^{-4U_Y}}},
$$

$$
\sin \theta = \text{sign}(\dot{\gamma}) \frac{1}{\sqrt{e^{4U_Y} + 1}}. 
$$

This plastic limit is represented in Fig. 8. It shows that the larger $U_Y$, the less aligned $\mathbf{U}$ is with respect to $\nabla v_{sym}$. This tensorial effect is strong because $\theta_Y$ decreases quickly with
$U_y$. The scalar limit corresponds to $\theta \approx 45^\circ$ as discussed in Sec. V A.

3. Transient regime

We now consider the shearing of a material with initially anisotropic elastic strain, $U_i \neq 0$. In physical or component space, the state of the material is initially situated on an elastic trajectory and must arrive at the plastic limit point [Figs. 9(a)–9(d)]. At this limit point, an increase in elastic strain is immediately transformed into plastic strain. Plasticity may occur only if $U: \nabla V_{sym} > 0$.

Graphically, both in physical space and in component space (Fig. 9), the plastic limit is represented by the point where a trajectory reaches perpendicularly the circle at $U = U_y$ (the elastic strain increases along the tangent of the trajectory; the plastic strain relaxes toward the center of the circle; to balance each other, they must be parallel).

The shape of the yield function $h$ then determines how the material reaches the plastic limit. For simplicity, we take $h$ as a power-law function: $h = (U_{sym}/U_y)^n$ [Fig. 6(a)]. Two examples of the resulting behavior are plotted on Fig. 9. The limit $n \to \infty$ is intuitive: the material follows the elastic trajectory up to $U = U_y$; then $U$ is fixed and the plastic limit is reached by describing an arc of a circle in physical and component spaces [Figs. 9(a) and 9(b)]. For other cases (finite $n$) plasticity occurs earlier [Figs. 9(e) and 9(f)] and trajectories converge to the plastic limit [Figs. 9(c) and 9(d)].

The behavior changes qualitatively if the sign of $\gamma$ is abruptly reversed. Unlike the elastic term, the plasticity term is irreversible due to the Heaviside function $H$ in Eq. (6). This leads to an inversion of the plastic domain in physical and component spaces [Figs. 9(e) and 9(f)] and to a new plastic limit position [$\theta_f \to -\theta_f$; Eqs. (9)]. This hysteretic effect is shown in Fig. 5 by reversing $\gamma$ once the plastic limit is reached. For high $n$, the new plastic limit is quickly reached, since the two plastic limits are on the same elastic trajectory.

If we perform alternate sign changes of $\gamma$, we observe that the material is stuck in a limit trajectory [Fig. 6(b)]. This trajectory is almost insensitive to $h$ and therefore close to the elastic trajectory joining the two plastic limits. This has an important consequence: once in the plastic regime, the elastic strain (and thus the stress) cannot be totally relaxed if we only reverse the shearing direction. This is examined in more detail below (Fig. 11).

4. Overshoot

As observed for $n \to +\infty$ [Fig. 9(b)], the overshoot is due to the transition from an elastic trajectory to the plastic limit. The structure itself has no overshoot: $U$ increases monotonically. The overshoot appears in the tangential strain $U_{xy}$; it is a purely tensorial effect due to a rotation of the structure. In fact, $U_{xy}$ increases in all elastic trajectories. Upon reaching $U = U_y$, there is a sudden transition to the plastic regime. For trajectories to the left of the plastic limit [Fig. 9(b)], we see that $U_{xy}$ decreases toward the plastic limit. The overshoot corresponds to the difference between the maximum value of $U_{xy}$ (where the trajectory meets the circle) and the plateau value (plastic limit).

From Fig. 9(b), we observe a tiny overshoot for the normal stress difference if the trajectories reach the $U = U_y$ circle to the right of the plastic limit. In that case, the trajectories move toward the right in the elastic regime, then toward the left in the plastic regime. Such trajectories correspond to the right of Fig. 9(b), that is, a structure with a large trapped normal stress difference $U_{xy} - U_{y}$. For smaller $n$, the elastic-plastic transition is smoother and the overshoot is reduced. The overshoot amplitude for different $h$ and $U_y$ is plotted in Fig. 10 for the case of an

![Figure 9](image-url)
initially isotropic structure ($U_\gamma=0$). The overshoot increases with $U_\gamma$ because the plastic limit moves away from the initial elastic trajectory.

IV. COMPARISON BETWEEN SIMULATIONS AND THE MODEL

A. Plastic limit

The model (Sec. III B 3) predicts that after a few cycles a limit trajectory is reached. This trajectory is also displayed in simulations by Kabla and Debregeas [8]. The plastic limit can thus be evaluated in simulations: $U_\gamma$ is estimated by averaging $U$ on the last plateau [using any of Figs. 5(a)–5(d)]; similarly, $\theta_\gamma$ is estimated by averaging $\theta$ on the last plateau of Fig. 5(b) or Fig. 5(d). In Fig. 8, results from simulations are compared with the model. The agreement is good and the model captures tensorial effects, especially because the measured $\theta_\gamma$ deviate much from the 45° scalar limit.

Taking larger $l_\gamma$ in the simulations favors neighbor swapings ("TIs"); thus, it corresponds to an increased effective liquid fraction. As expected [20,22], we see a decrease in $U_\gamma$. However, since the effective liquid fraction we are simulating remains in a very dry range ($<4\times10^{-3}$), it does not influence much $U_\gamma$, which thus varies over a narrow range (0.26–0.37).

Reaching higher $U_\gamma$ is possible with other materials, but not with disordered 2D foams. Reaching lower $U_\gamma$ is possible (and usual) in experiments on disordered wet foams, but not in the present simulations where the algorithm would require adaptation at high $l_\gamma$.

B. Yield strain and yield function

Simulation results fluctuate, due to the limited number of bubbles (discrete description), while model curves are smooth, corresponding to the limit of a large number of bubbles (continuous material description). There is a qualitative agreement, which is good enough to deduce $U_\gamma$ and $h$ approximately.

For instance, Fig. 5 compares a simulation with models using various $h$ functions. We observe that $n\approx2$ (red dashes in Fig. 5) describes well the simulation during the first positive shearing step, and $n\approx4$ (blue dotted lines in Fig. 5) during the second one. Similarly, $U_\gamma$ is deduced from the plateau value of $U$ (Figs. 8 and 10).

In practice, in a first approximation, it is enough to consider $U_\gamma$ and $h$ as constant. Their variations are small and thus have a small effect on the foam rheology. However, these variations do exist.

For instance, in this example of Fig. 5, $n$ (and thus $h$) evolves throughout the simulation revealing that the structure evolves too; $h$ seems to be sensitive to the (topological) disorder of the foam [25]. Here $U_\gamma$ is constant, but there are other cases (data not shown; see [34]) where, due to the decrease in the topological disorder during the shearing, $U_\gamma$ decreases.

More generally, a real foam is constantly evolving under the effect of drainage, coarsening [21], or shuffling [25]. These effects should probably be considered in future models, which would try to predict $U_\gamma$ and $h$, based on the average and fluctuations of the structure, respectively.

C. Overshoot

We can now identify two distinct physical mechanisms which can cause a stress overshoot in shear experiments of elasto-plastic materials. The first one is an orientation effect suggested in Sec. III B 4. $U$ increases monotonically, but if $U_\gamma$ is large enough then the rotation of $U$ under shear implies that the tangential shear strain $U_{\gamma\gamma}$ passes through a maximum. This purely tensorial effect is absent from scalar models. Under certain additional conditions on the initial elastic strain $U_{\gamma\gamma}$, which are also described correctly only when taking into account the tensorial aspects, the normal strain difference $U_{\gamma\gamma}−U_{\gamma\gamma}$ also passes through a maximum.

Figure 10 shows a comparison between the model and the simulations. Given that in the range of simulated $U_\gamma$ the overshoot is tiny and difficult to extract from the fluctuations, the agreement is surprisingly good. In most foam experiments, where $U_\gamma$ is even lower, this effect should be too small to be measurable.

The second mechanism is outside of the scope of the present paper. It is due to an evolution of the structure itself during the first shear step (see Sec. IV B). This might be invoked to explain the larger overshoot of the data corresponding to the confined simulations (last simulations in Table I), as well as most experimental observations (such as that of Ref. [6]).

V. PRACTICAL APPLICATIONS

A. Comparison between scalar and tensorial representations

As long as the applied shear keeps a constant direction, and the elastic strain remains much smaller than 1, its eigenvalues correspond to that of $\nabla\epsilon_{sym}$. That is, they are at 45° to the direction of shear. This is called the scalar approximation and it considerably simplifies the study of the mechanical behavior. In that case, a single (scalar) number is enough to fully describe the elastic strain.

This scalar number can equally well be chosen as the amplitude $U$, or the eigenvalue $U_\gamma$, or the tangential shear strain $U_{\gamma\gamma}$, among others. To switch from one choice to the other requires care regarding the prefactors [29]: this is often a source of confusion in the literature, especially regarding the definition and value of the yield strain. The link between the simplified (scalar) and complete (tensorial) equations is detailed in the Appendix, Sec. 5, using $2U_{\gamma\gamma}$ as a scalar.

If $U_\gamma\ll1$, which is the case for wet foams and emulsions, then $U$ remains always much smaller than 1, and $\theta_{\gamma}=45°$, so that the scalar approximation holds; see Fig. 19 in Ref. [29] (except if the direction of the shear changes, in 2D or in 3D). In that limit tensorial effects such as normal differences or stress overshoot are negligible.

Quantitatively, $U_{\gamma\gamma}$ is linked to $\sin(2\theta)$ [Eq. (3a)]. This implies that a difference of 10% between the scalar and tensorial equations is reached when $\sin(2\theta_\gamma)=0.9$, which corresponds to $U_\gamma=0.23$ [Eqs. (9)]. Very dry foams, such as those
Simulated here, are slightly above this limit: a tensorial model is therefore useful.

B. Trapped strains and stresses

A dry foam is a material with sufficiently high \( U_Y \) that normal stresses may exist even when the material is at rest \([3, 15, 35]\). To relax such residual (or “trapped”) stresses, we should first shear the foam enough to reach the plastic stage, so that plastic rearrangements anneal the disorder. We then must perform cycles of shear. If the direction of shear is kept constant, and the shear simply reversed, the foam asymptotically reaches a limit trajectory, and the stress is not relaxed. Decreasing the amplitude of the shear cycle does not enable the foam to leave this limit trajectory [black dashes in Figs. 11(a) and 11(b)]. Kraynik et al. simulated dry 3D foam and applied shearing cycles (actually uniaxial contractions) of amplitude \( =0.2 \) in different directions rotated by 90°; this procedure decreases the trapped stress by a factor of around 2, which does not improve with more cycles (Fig. 7 of [35]).

Here we propose a reproducible procedure based on Sec. III B 3, which couples shearing cycles in different directions and decreasing amplitudes as follows:

(i) The amplitude \( \gamma_i \) of the first step is large enough to completely reach the plastic stage: \( \gamma_i \gg 2U_Y \).
(ii) At each step, the shearing direction is rotated by $90^\circ$ and the shearing amplitude is decreased.

(iii) The decrease in amplitude between successive steps is smaller than $2U_y/5$ ensuring there are at least five steps between $2U_y$ and 0 (i.e., the total number of steps is at least $5\gamma_t/2U_y$).

The red solid line in Figs. 11(a) and 11(b) shows that the normal stress difference decreases more at each cycle; for instance, six cycles yield a decrease by a factor of 10, apparently without saturating. In 3D the procedure is the same, rotating the shearing direction successively along the $x$, $y$, and $z$ axes [35]. This procedure is easy to apply to simulations especially of fully periodic foams. In experiments, a special setup should be built: in 2D, it can be a rubber frame in the spirit of Refs. [11,25], if the four corners can be independently displaced.

C. Materials with low and high $U_y$

For practical purposes we plot the reference curves for two limiting types of materials: those with $U_y$ much higher or much lower than 0.23. Figure 12 shows the example of $U_y=1$. The plastic limit corresponds to a small angle $\theta_t$ resulting in a strong overshoot.

Figure 13 shows that for small strains in the elastic regime, all curves can be expressed using a single parameter; for instance, as here, $U_y$, which is the normal elastic strain $U_n=(U_{xx}-U_{yy})/2$ at zero tangential shear ($U_{xy}=0$). A rough parabolic approximation and a refined one [Eq. (A16)] are plotted here for $U_y=0.3$. For smaller $U_y$, this approximation is good over its whole range of validity (namely, the elastic regime), but this range is smaller.

VI. SUMMARY

We propose a continuous model of the elasticity and plasticity of disordered, discrete materials such as cellular patterns (for instance, liquid foams or emulsions) and assemblies of particles (for instance, colloids). It is based on statistical quantities including (i) the elastic strain $U$, a dimensionless quantity measurable on images, which facilitates the comparison between different experiments or models and makes apparent the effect of shear on the material’s structure; (ii) the yield strain $U_y$, a classical criterion for the transition between reversible, elastic, and irreversible plastic regimes; (iii) and the yield function $h(U/U_y)$, which describes how progressive this transition is by measuring the relative proportion of elastic and plastic deformation. They suffice to relate the discrete scale with the collective global scale. At this global scale, the material behaves as a continuous medium; it is described with tensors such as strain, stress, and velocity gradient. We give the differential equations which predict the elastic and plastic behavior. The model is fully tensorial and thus general, in 2D or in 3D.

We study in detail the case of simple shear. An original representation, suitable for 2D incompressible materials, is introduced to follow the evolution of the material during shear.

Since $U$ is a tensor, it has an orientation and an amplitude, which both evolve under shear. It can continuously decrease its amplitude, change direction, and increase again its amplitude without ever vanishing (as opposed to a scalar, which can change sign only when it is equal to zero). Predictions of the model regarding orientation and stretching are plotted. They include a rotation of the structure, which can induce an overshoot of the shear strain or shear stress (and a smaller, rarer overshoot in normal stress differences) even without overshoot in the elastic strain amplitude. This purely tensorial effect exists if $U_y$ is at least of order 0.3. Independently, the shear can also induce a change in the material’s structure, sometimes resulting in a (purely scalar) overshoot in the modulus of the elastic strain.

The model extends a classical plasticity criterion to disordered media. It can be solved numerically and yields testable predictions. We successfully compare them with carefully converged quasistatic simulations of shear cycles in 2D foams: the elastic strain increases, saturates, and reverses. From this comparison between model and simulation we determine $U_y$ and estimate $h$. This method is similar to that which we have used in experiments to extract $U_y$ [18,29] and a rough estimate of $h$. We still lack a model to predict $U_y$ and $h$. Both quantities evolve throughout the simulation, probably due to the evolution of the foam’s internal structure, as well as the disorder and fluctuations. In short, the material obeys a continuous description determined by its average properties, while $U_y$ and $h$ account for the effect at large scale of its fluctuations.

All quantities involved in the model are directly measurable, as tensors, in the current state of the material; this in-
includes trapped stresses which we discuss (we also explain how to relax them): the history of the sample which led to this current state plays no other direct explicit role. We explain how and when to use the model in practice and provide a set of curves and analytical approximations including a discussion and an extension of the Poynting relation. At low strain, typically below 0.2, tensorial effects vanish and an approximate scalar simplification holds.

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APPENDIX: DETAILED EQUATIONS

1. Notation for tensors

We collect here a list of our notation, since the definitions are scattered throughout the text. For a symmetric tensor \( A \), we denote by \( A_{ij} \) its components, by \( A_{ij} = A_{ji} \) its traceless term, by \( A_{ij} = A_{ij} - \delta_{ij} A \) its stiffness term, by \( A_{i} = \delta^{i}_{j} A_{ij} \) its trace, by \( \lambda_1 = \lambda_1 \lambda_2 = \lambda_2 \lambda_3 = \lambda_3 \lambda_1 = \lambda_1 \lambda_2 \lambda_3 \) its eigenvalues, by \( \lambda_1 = \lambda_2 \) and \( \lambda_2 = \lambda_3 \) the 0.eigenvalues coincide, and minimized when they are per-

\[
\frac{d}{dt} \mathbf{M} = \mathbf{M} \cdot \nabla \mathbf{v} + \nabla \mathbf{v} \cdot \mathbf{M} - 2 \mathbf{P} \cdot \mathbf{M}. \tag{A1d}
\]

Equation (A1a) is the equation of dynamics, equivalent to Navier-Stokes, except that here the viscous stress is assumed to be negligible compared to the elastic stress. Equation (A1b) assumes that the flow is incompressible; this assumption is often valid for foams at small deformation but can be relaxed if needed. Equation (A1c) defines the elastic strain from the texture [1], that is, it assumes that each bubble’s internal degrees of freedom depend on its shape. Equation (A1d) is the evolution of the texture; see Eq. (6) for the definitions of its terms (transport and source). Here the plasticity rate \( \mathbf{P} \) is predicted according to Eq. 22 in Ref. [29]:

\[
P = \frac{1}{2} \left( \mathbf{U} - \nabla \mathbf{v}_\text{sym} \right) \mathbf{h} \left( \mathbf{U} - \nabla \mathbf{v}_\text{sym} \right) \mathbf{h}^T \frac{\mathbf{U}}{\mathbf{U}_T} 
\]

The meaning of each term is the following. The direction of \( \mathbf{P} \) is set by that of \( \mathbf{U} \) indicating that the plasticity is opposed to the increase in \( \mathbf{U} \). The amplitude of \( \mathbf{P} \), which is the rate of plastic rearrangements, is the inverse of time. It is determined by the total strain rate \( \nabla \mathbf{v}_\text{sym} \); more precisely, by one component of \( \nabla \mathbf{v}_\text{sym} \) determined by the scalar product with \( \mathbf{U} \) (and only if this scalar product is positive, as expressed by the Heaviside function \( \mathbf{h} \)). Finally, the amplitude of \( \mathbf{P} \) depends on the yield criterion as expressed by \( h(U/U_T) \): the plasticity appears (progressively or abruptly) when \( U \) approaches then exceeds the yield strain.

Equation (A2) is written here by assuming that \( \mathbf{M} \) and \( \mathbf{U} \) commute (see Eq. 20 of Ref. [1]), which is always the case if \( \mathbf{M}_0 \) is isotropic. Like Eq. (A1b), it assumes that the flow is incompressible, but can be extended to more general cases. It also assumes that the flow is slow: see ref [31], for a discussion of “quasistatic” flow, and [18,32] for the extension to higher velocity. The next sections examine more restrictive cases, that is, additional approximations: simple shear (Appendix, Sec. 3), small strain (Appendix, Sec. 5), and the purely elastic regime (Appendix, Sec. 6).

3. Simple shear

In our geometry, the notation becomes

\[
\nabla \mathbf{v}_\text{sym} = \frac{\hat{\mathbf{e}}}{2} \begin{pmatrix} 0 & 1 \\ 2 & 0 \end{pmatrix}
\]

\[
\mathbf{M} = \begin{pmatrix} M_{xx} & M_{xy} \\ M_{xy} & M_{yy} \end{pmatrix},
\]

\[
\mathbf{U} = \begin{pmatrix} U_{xx} & U_{xy} \\ U_{xy} & U_{yy} \end{pmatrix}
\]

\[
\mathbf{U} : \nabla \mathbf{v}_\text{sym} = U_{xy} \mathbf{\hat{e}} = U_{xy} \mathbf{\hat{e}} \sin \theta
\]

Here, due to our conventions, the angle between both tensors is \( \phi = \theta - 45^\circ \), hence the term \( \cos 2(\theta - 45^\circ) = \sin 2\theta \). This
scalar product is maximal when the two eigenvectors of the positive eigenvalues coincide (which happens for $\theta=45^\circ$), and minimized when they are perpendicular ($\theta=90^\circ$).

4. Elasto-plastic component equations

Under simple shear the advection term is supposed equal to zero and Eq. \(\text{(A1d)}\) becomes

$$
\frac{1}{\gamma} \frac{\partial t}{\partial M_{xx}} = 2M_{xy} - \frac{U_{xx}}{U_x^2} \mathcal{H}(\gamma U_{xx}) h \left( \frac{U}{U_x} \right) \left[ \frac{\vec{u}}{\vec{U} \cdot \vec{M}} \right]_{xx},
$$

(A3a)

$$
\frac{1}{\gamma} \frac{\partial t}{\partial M_{xy}} = - \frac{U_{xy}}{U_x^2} \mathcal{H}(\gamma U_{xy}) h \left( \frac{U}{U_x} \right) \left[ \frac{\vec{u}}{\vec{U} \cdot \vec{M}} \right]_{xy},
$$

(A3b)

$$
\frac{1}{\gamma} \frac{\partial t}{\partial M_{yy}} = M_{yy} - \frac{U_{xx}}{U_x^2} \mathcal{H}(\gamma U_{xx}) h \left( \frac{U}{U_x} \right) \left[ \frac{\vec{u}}{\vec{U} \cdot \vec{M}} \right]_{yy}.
$$

(A3c)

The elastic regime can be studied by taking the last term of these equations equal to 0 (limit of high $U_1$). The plastic limit is calculated by taking the left-hand sides of these equations equal to 0, $h=1$, and $\mathcal{H}=1$.

5. Scalar limit

In the limit of small strain, $U$ can be linearized:

$$
U = \frac{1}{2\lambda_0} (M - M_0),
$$

(A4)

where $\lambda_0$ is the isotropic eigenvalue of $M_0$. In that limit Eq. (A3c) becomes

$$
\frac{1}{\gamma} \frac{\partial t}{\partial U_{xy}} = \left( U_{xy} + \frac{1}{2} \right) - \frac{1}{2} \left( \frac{U_{xx}}{U_x^2} \right) \mathcal{H}(\gamma U_{xx}) h \left( \frac{U}{U_x} \right).
$$

(A5)

Assuming that $\theta$ remains close to $45^\circ$ leads to

$$
U_{xx} = U_{yy} = 0,
$$

(A6a)

$$
|U_{xy}| = U,
$$

(A6b)

$$
\frac{1}{\gamma} \frac{\partial t}{\partial U_{xy}} = \gamma - \gamma \mathcal{H}(\gamma U_{xy}) h \left( \frac{U_{xy}}{U_x} \right).
$$

(A6c)

The last equation is identified as the scalar elasto-plastic equation [31], by taking $2U_{xy}$ as the scalar elastic strain.

6. Analytical approximation at small strain

In a purely elastic regime, the evolution equation for the texture is

$$
M_{yy} = M_{yy}',
$$

(M7)

$$
M_{yy} = M_{yy}' + M_{xy}',
$$

(A7)

$$
M_{xx} = M_{xx}' + M_{xy}' + M_{yy}',
$$

(A8)

To express all curves analytically, we choose a single parameter, for instance, the elastic strain in a non-sheared state ($U_{xy} = M_{xy} = 0$):

$$
M_n = M_n' + \frac{M_{yy}'}{2} \gamma,
$$

(A9)

$$
M_{yy} = M_{yy}' - \frac{M_{yy}'}{2} \gamma.
$$

(A10)

or equivalently, Eliminating $\gamma$:

$$
M_n = M_n' + \frac{M_{yy}'}{2M_{yy}'},
$$

(A11)

$$
2M_n' + M_{yy}'M_{yy}' = \lambda_0^2.
$$

(A12)

Solving Eq. (A12) yields

$$
\frac{M_{yy}'}{\lambda_0} = \frac{M_n'}{\lambda_0} + \sqrt{\left( \frac{M_n'}{\lambda_0} \right)^2 + 1},
$$

(A13)

or equivalently, eliminating $M_{yy}'$ using Eq. (A12):

$$
M_n = M_n' + \left[ \sqrt{\left( \frac{M_n'}{\lambda_0} \right)^2 + 1} + \frac{M_n'}{\lambda_0} \right] M_{xx}'.
$$

(A14)

Coming back to $U$ using Eq. (A4):

$$
U_{xy} = \frac{1}{2\lambda_0} M_{xy},
$$

(A15)

Equation (A14) yields a parabolic approximation:

$$
U_n = U_n' + \left[ \sqrt{\left( \frac{U_n'}{\lambda_0} \right)^2 + 1} + \frac{U_n'}{\lambda_0} \right] U_{xy}.
$$

(A16)

The parameter which determines each elasticity curve is the normal strain difference at zero shear (which is thus equal to the amplitude of elastic strain at zero shear). Equation (A16) is tested on Fig. 13 for $U$ up to 0.3. The prefactor of the parabola, i.e., the bracketed expression in Eq. (A16), is exactly 1 if $U_n'=0$: this is the Poynting relation [33] (black solid curve in Fig. 13, starting from the point $U_n=U_{xy}=0$). In fact, even for $U_n' \neq 0$, the bracket in Eq. (A16) remains close to 1: as shown in Fig. 13, the Poynting relation extends even to an initially anisotropic material.